

# Astrochemistry

## Lecture 3



### *Chemistry in the Early Universe*

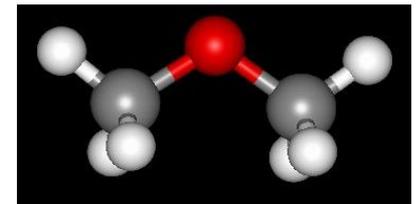
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*Leiden Observatory*

*May-June 2022*



**Literature:**  
**Galli & Palla 2013, ARA&A (GP13)**



# Outline

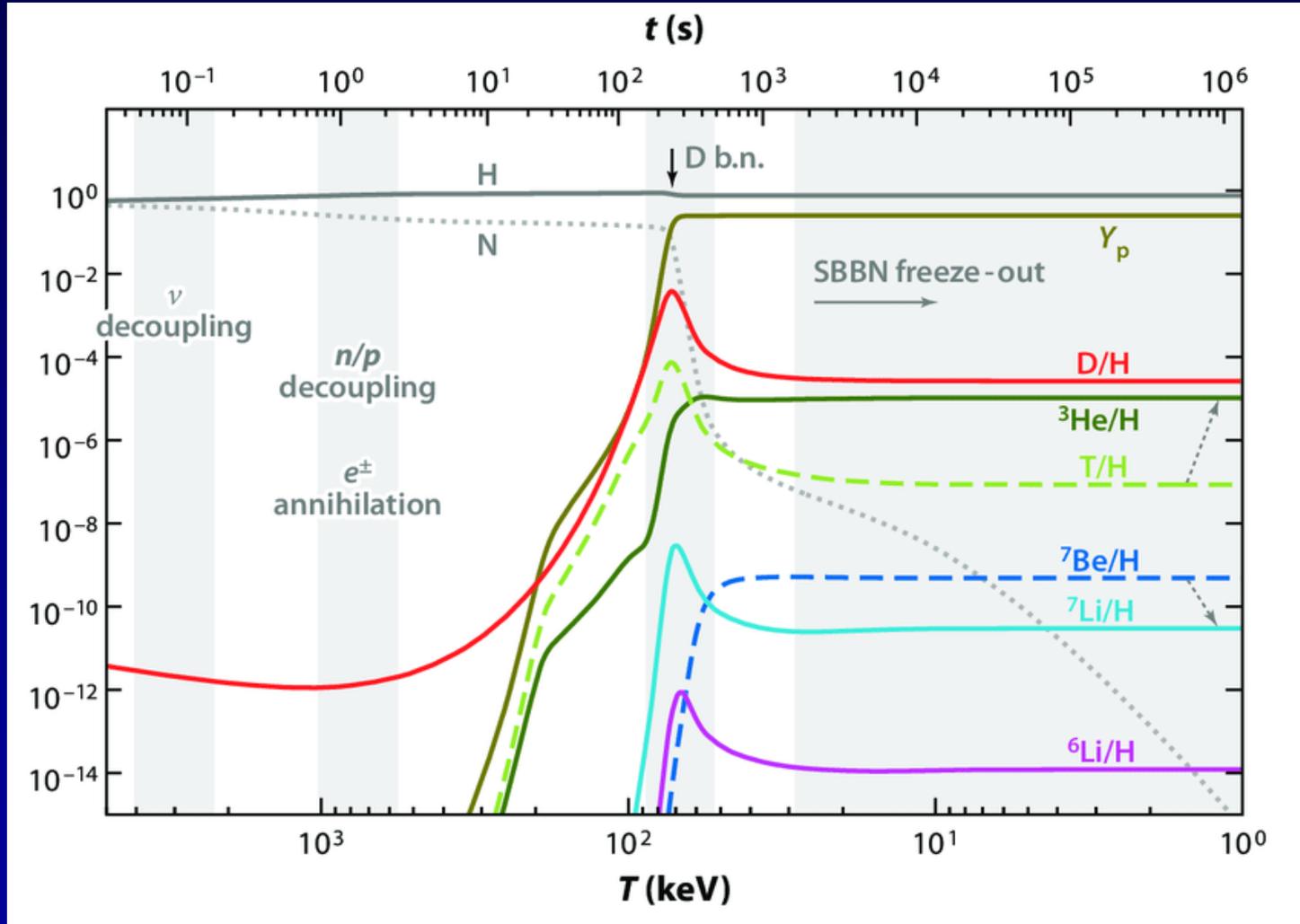
- Introduction to cosmology
- Chemistry in the early universe
- Model results
- Molecular cooling and cloud collapse
- Observations of molecules at  $z < 7$

# 3.1 Introduction

- Chemistry in the early universe is interesting because
  - Chemistry is simple: only H, D, He, Li, *no* dust
  - First molecules may have had profound effects on the thermal properties of matter due to their cooling => collapse and fragmentation
  - Atoms and molecules observed in high- $z$  galaxies can be used to probe physical conditions in interstellar and intergalactic medium
    - Note: the first molecules which formed around the era of recombination ( $z \sim 1300$ ) are not directly observable



# Expansion: abundances 'frozen in' after first few minutes



## 3.2 Intro to cosmology

- Consider the ‘standard’ Big-Bang model (dd. 1990)

<b>Era</b>	<b>Time (s)</b>	<b><math>T</math> (K)</b>
Lepton	$10^{-4}$	$10^{12}$
Radiation-dominated	2	$10^{10}$
Matter-dominated	$10^{11}$ - $10^{12}$	4000
Present	$10^{18}$	2.7 (CBR)

# Cosmology (cont'd)

- Radiation temperature:  $T_R \propto (1+z)$

- Density: 
$$n_b = \frac{\Omega_b \rho_{cr}}{\mu m_H} (1+z)^3$$

$$\rho_{cr} = \frac{3H_0^2}{8\pi G}$$

$z$ =redshift

$\Omega_b$ =baryon mass density parameter

$H_0$ =current expansion rate of universe in  $\text{km s}^{-1} \text{Mpc}^{-1}$

‘Hubble parameter’

- Note: cosmological parameters recently updated but basic conclusions unchanged

$$n_b \approx 2.2 \times 10^{-7} (1+z)^3 \text{ cm}^{-3}$$

# Cosmology (cont'd)

- Composition of baryonic matter determined by nuclear processes in radiation-dominated or nuclear era

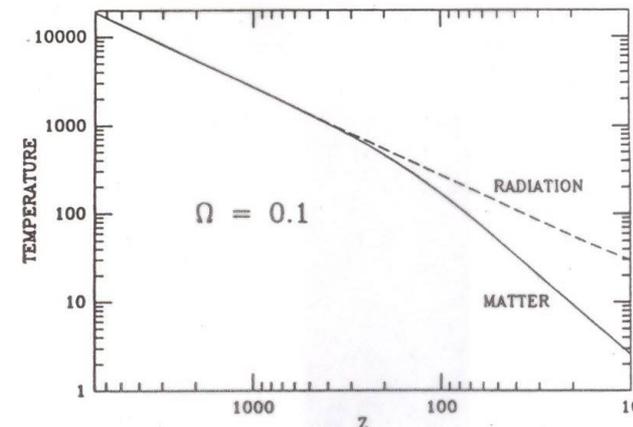
$$\begin{aligned} \text{H} : \text{D} : \quad {}^4\text{He} : \quad {}^3\text{He} : \quad {}^7\text{Li} \\ 1 : 2.6 \times 10^{-5} : 8 \times 10^{-2} : 10^{-5} : 5 \times 10^{-10} \end{aligned}$$

- At end of nuclear era, all atoms fully ionized  $\Rightarrow$  all H in  $\text{H}^+$ , all He in  $\text{He}^{2+}$ , etc.
  - $\text{H} + h\nu \rightarrow \text{H}^+ + e$
  - $\text{H}^+ + e \rightarrow \text{H} + h\nu$  with rate  $k_{\text{rec}}$
- Temperature of matter  $T_{\text{m}}$  is equal to  $T_{\text{R}}$  due to (elastic) Thompson scattering of photons with electrons
- Because  $k_{\text{rec}} \propto T_{\text{m}}^{-0.61}$ , recombination becomes more important as universe cools:
  - $f(\text{H}^+) = f(\text{H})$  at  $z=1340$  when  $T_{\text{R}} = T_{\text{m}} = 3630$  K (for old cosmology)

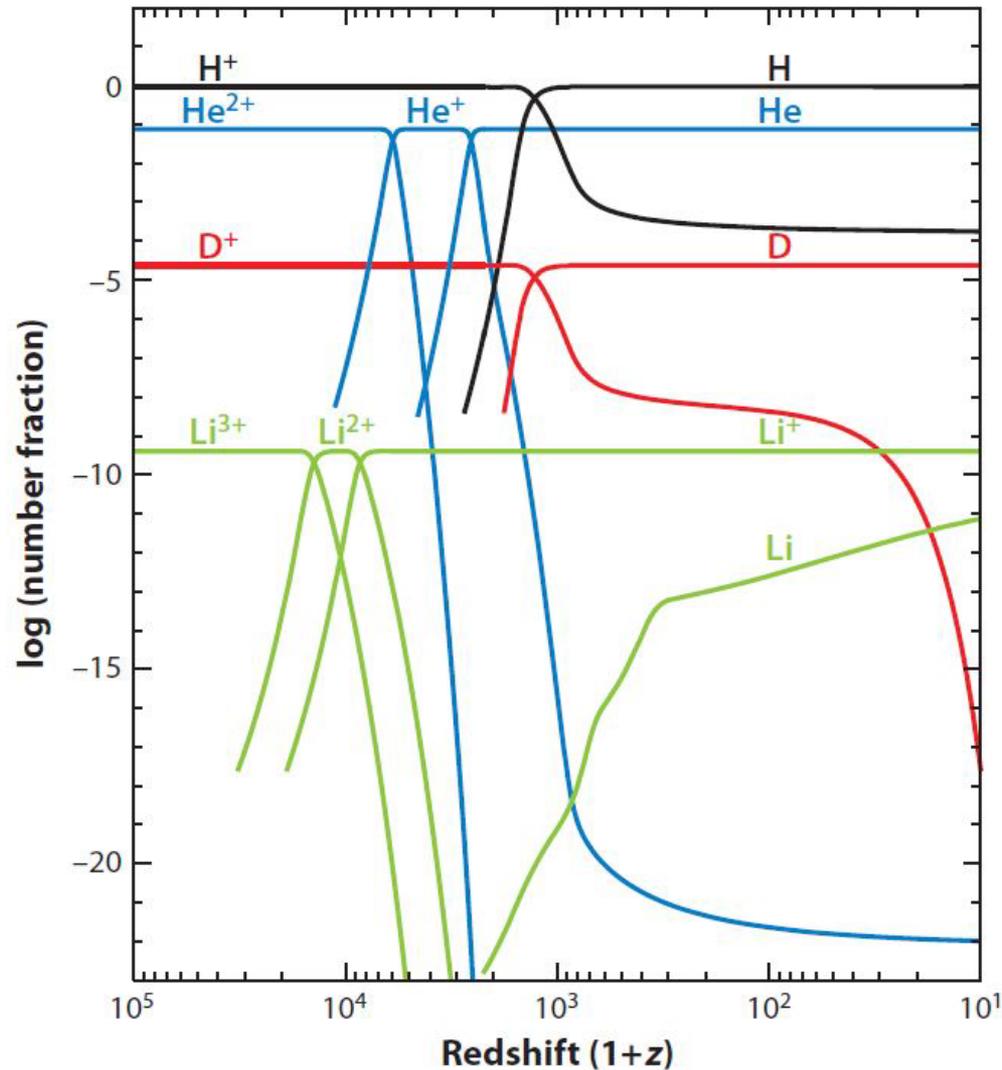
$\Rightarrow$  Universe becomes neutral: *'Era of recombination'*

# Cosmology (cont'd)

- Matter and radiation decouple at about the time of recombination  $\Rightarrow T_m < T_R$ 
  - $T_R \propto (1+z)$
  - $T_m \propto (1+z)^2$
- Thermodynamic equilibrium no longer valid  $\Rightarrow$  need to consider detailed statistical equilibrium among microscopic processes
- As universe expands, density drops  $\Rightarrow$  recombination eventually ceases  $\Rightarrow$  asymptotic ionization fraction  $n(e)/n_H \approx 3 \times 10^{-4}$



# Recombination of main atoms



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- Atoms sequentially recombine with  $z$
- $D^+$  does not reach a constant plateau due to  $D^+ + H \rightarrow D + H^+$   $-43K$

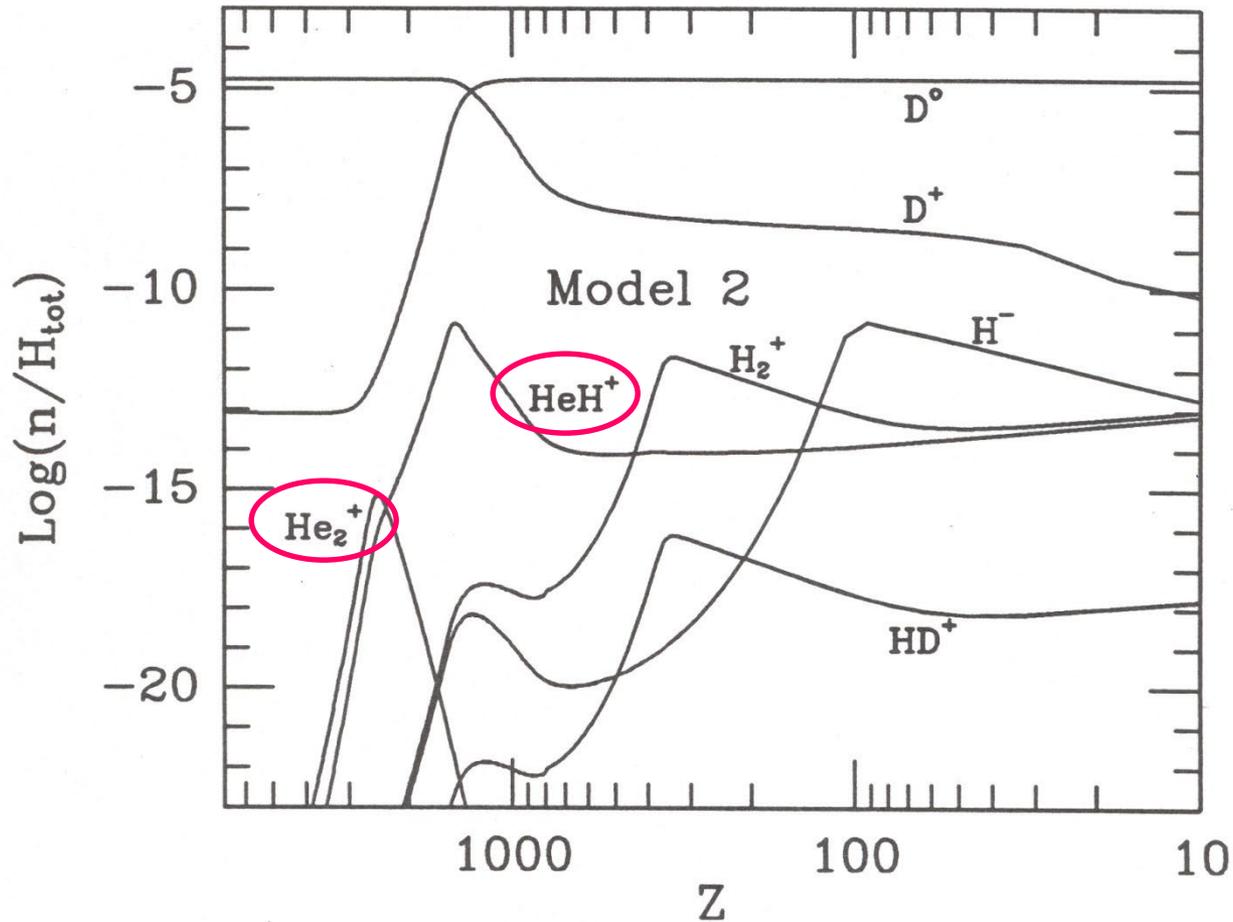
# 3.3 Chemistry

## a. He chemistry

- He-bearing molecules form first, because  $\text{He}^{2+}$  recombines earlier than  $\text{H}^+$ 
  - $\text{He}^{2+} + e \rightarrow \text{He}^+ + h\nu$
  - $\text{He}^+ + e \rightarrow \text{He} + h\nu$
- The first molecules in the universe were  $\text{He}_2^+$  and  $\text{HeH}^+$ , formed by radiative association
  - $\text{He}^+ + \text{He} \rightarrow \text{He}_2^+ + h\nu$
  - $\text{He} + \text{H}^+ \rightarrow \text{HeH}^+ + h\nu$

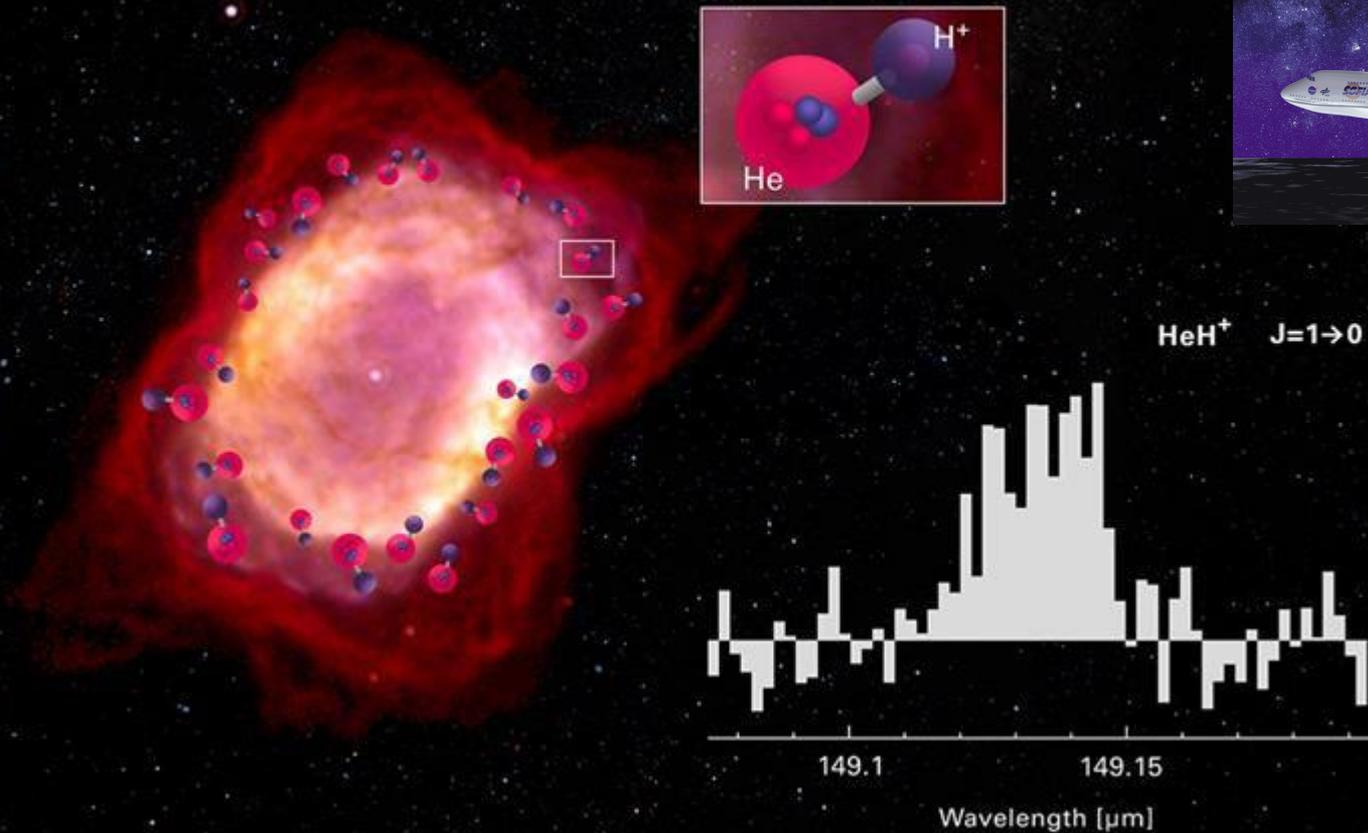
# First molecules in universe:

**He<sub>2</sub><sup>+</sup> and HeH<sup>+</sup>**



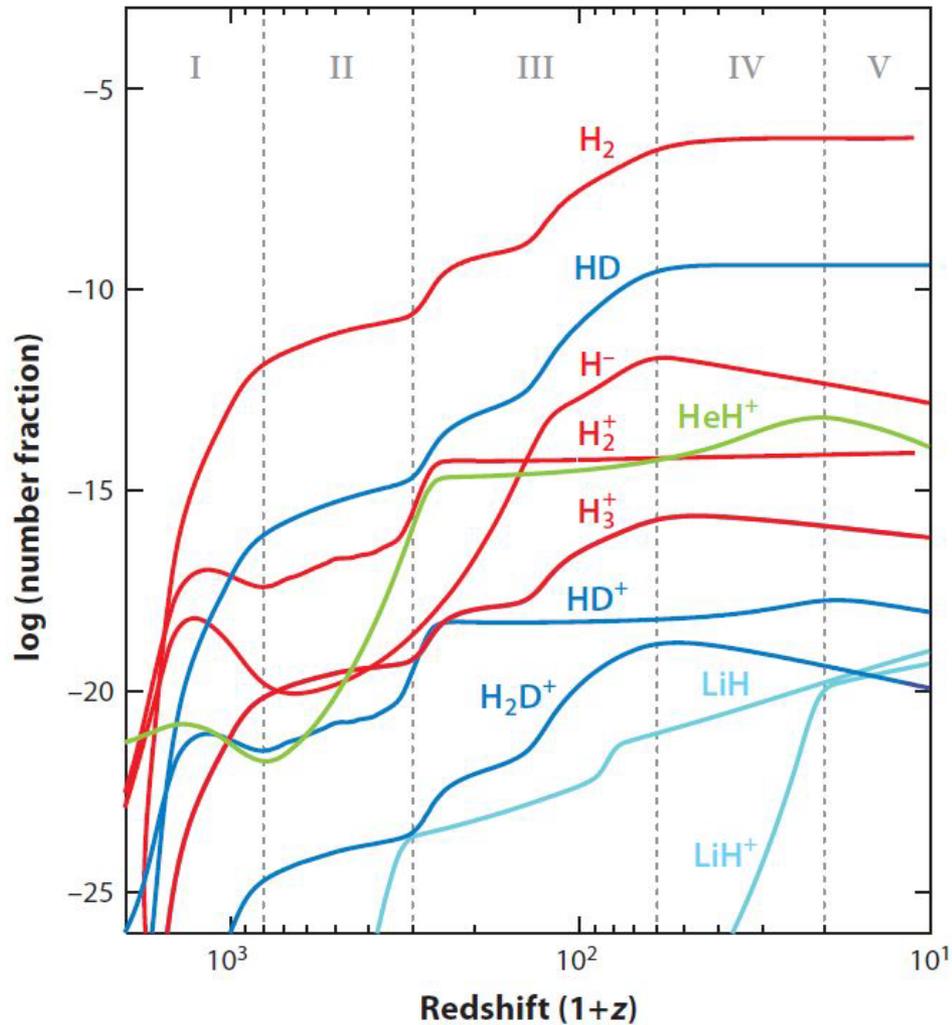
# HeH<sup>+</sup> detection in planetary nebula

Helium hydride detected in NGC 7027



Not primordial HeH<sup>+</sup>, but proves that chemistry is correct

# Formation of molecules



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## b. H chemistry

- Hydrogen chemistry in early universe is very different from that in the current era due to the absence of dust => H<sub>2</sub> must be formed by slow gas-phase reactions
- Direct formation by radiative association  
 $\text{H} + \text{H} \rightarrow \text{H}_2 + h\nu$  is much too slow since H<sub>2</sub> does not have a dipole moment => consider other routes

# H<sup>+</sup> route

- H<sub>2</sub> formation:
    - $\text{H} + \text{H}^+ \rightarrow \text{H}_2^+ + h\nu$
    - $\text{H}_2^+ + \text{H} \rightarrow \text{H}_2 + \text{H}^+$
  - H<sub>2</sub><sup>+</sup> can be destroyed by photodissociation and dissociative recombination
    - $\text{H}_2^+ + h\nu \rightarrow \text{H} + \text{H}^+$
    - $\text{H}_2^+ + e \rightarrow \text{H} + \text{H}$
- ⇒ Formation of H<sub>2</sub> only becomes effective when  $T_{\text{R}} < 4000$  K and photodissociation of H<sub>2</sub><sup>+</sup> ceases

# H<sup>-</sup> route

- At later times ( $z \sim 100$ ), H<sub>2</sub> can be formed through H<sup>-</sup>
  - $H + e \rightarrow H^- + h\nu$
  - $H^- + H \rightarrow H_2 + e$
- H<sup>-</sup> is destroyed by photodetachment
  - $H^- + h\nu \rightarrow H + e$

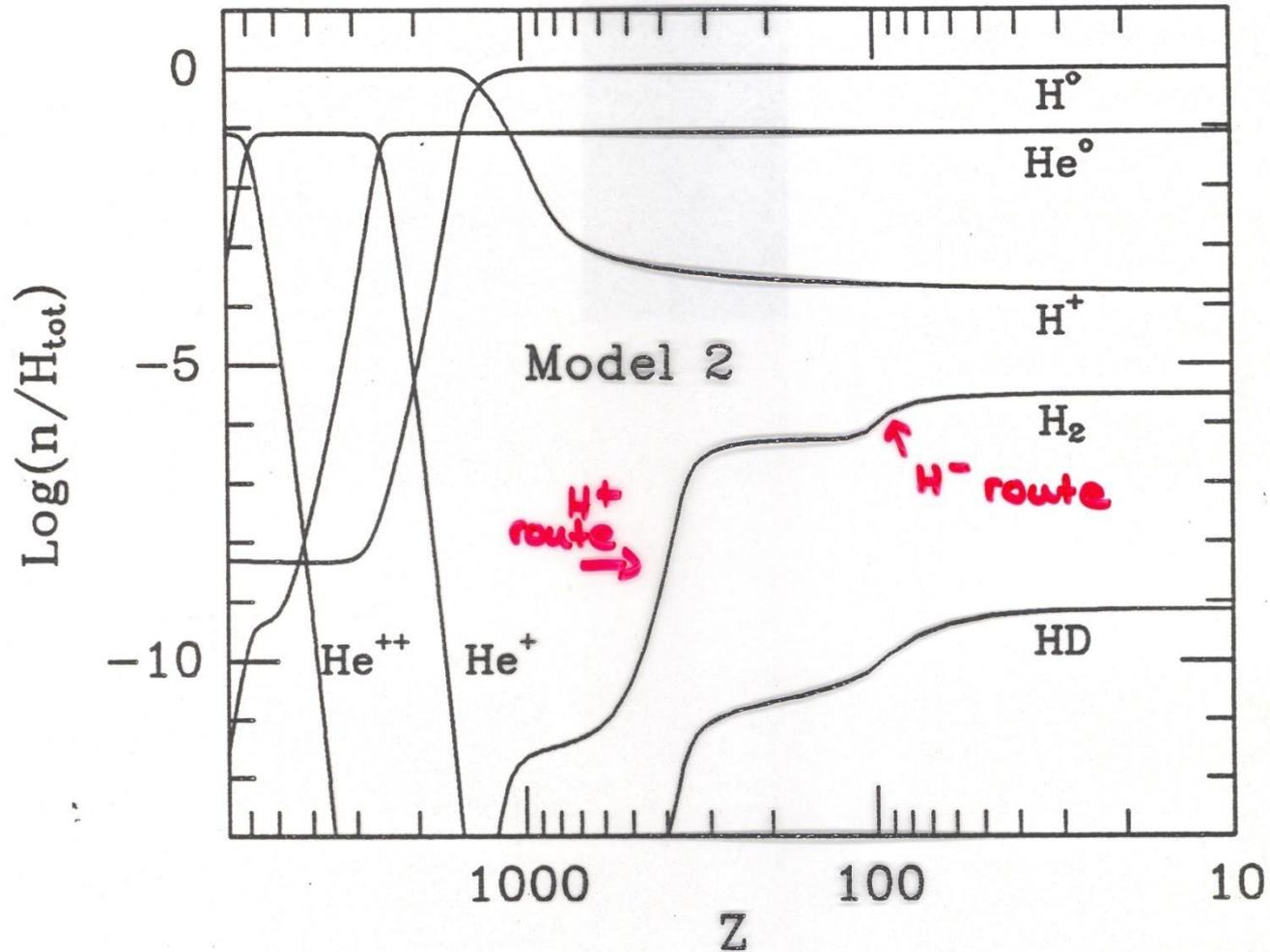
with threshold of 0.75 eV  $\Rightarrow$  need  $T_R < 1000$  K before route becomes effective

# H<sub>2</sub> chemistry

- Both H<sup>+</sup> and H<sup>-</sup> routes are catalytic, since H<sup>+</sup> and *e* returned
- H<sub>2</sub> destroyed by
  - H<sub>2</sub> + H<sup>+</sup> → H<sub>2</sub><sup>+</sup> + H
  - H<sub>2</sub> + *e* → H + H<sup>-</sup>
- Net result:  $f(\text{H}_2) = n(\text{H}_2)/n_{\text{H}} \approx 10^{-6}$  as  $z \rightarrow 0$

*Small molecular fraction in early universe*

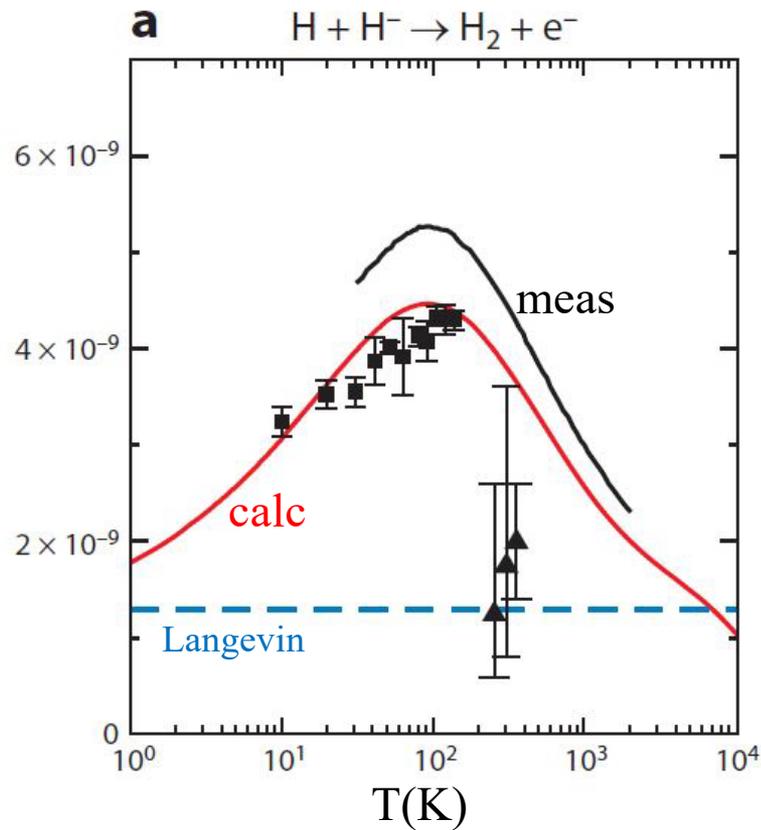
# H<sub>2</sub> formation by H<sup>+</sup> and H<sup>-</sup> routes



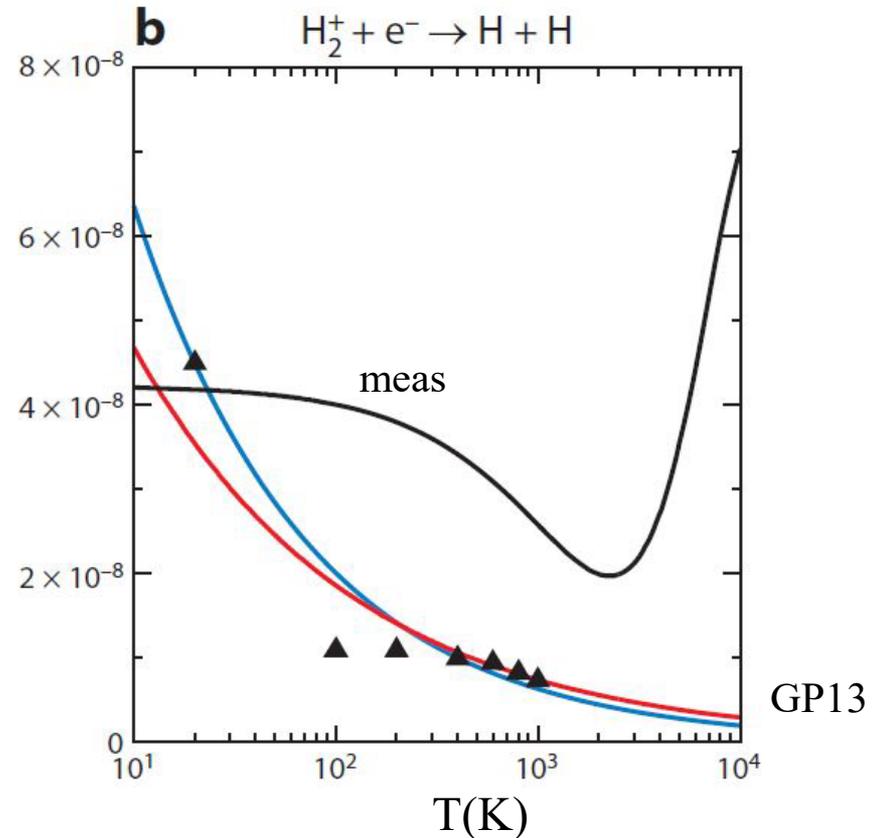
# Recent developments

- CMB field has non-thermal contribution from absorption and emission in H Lyman bands
  - Affects H<sup>-</sup> photodetachment
- H<sub>2</sub><sup>+</sup> is vibrationally excited
  - Enhanced photodissociation rate for  $v > 0$
- Laboratory experiments on some critical reaction rate coefficients

# Recent lab experiments important rate coefficients

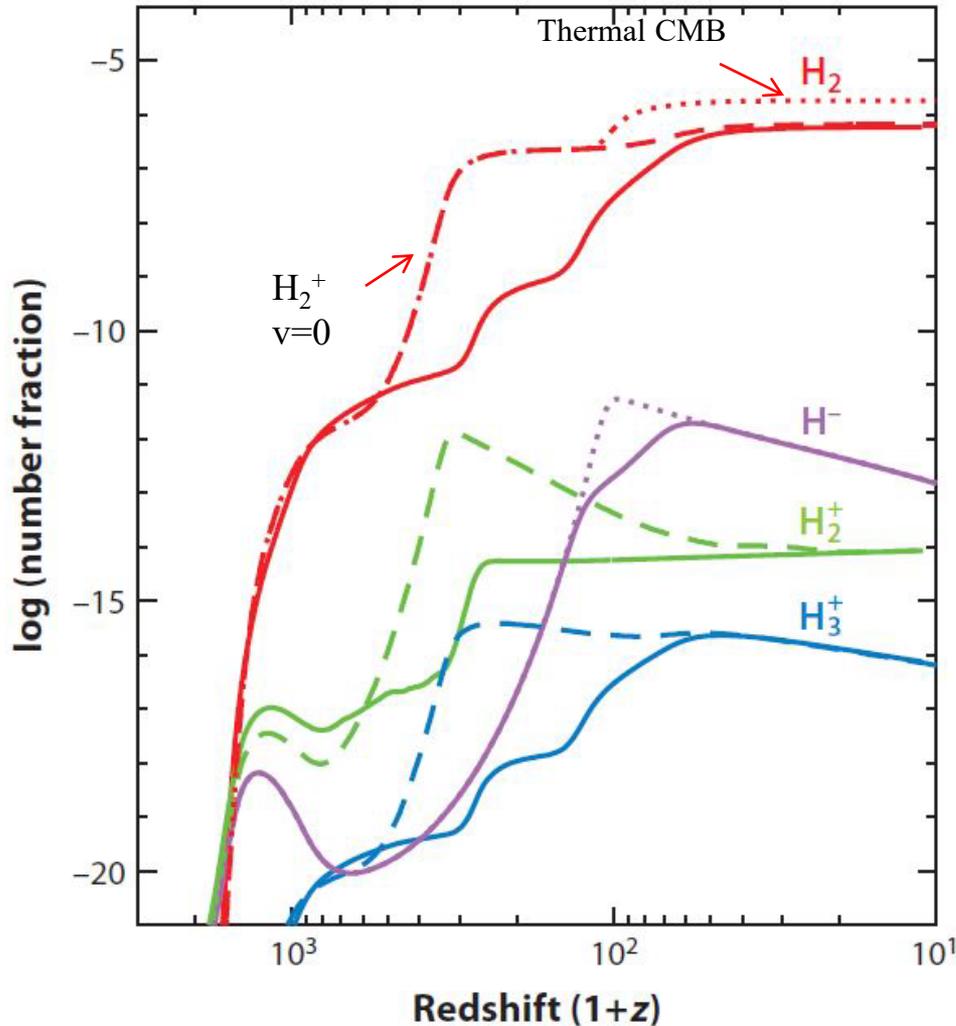


Gerlich et al. 2012, Bruhns et al. 2010



Schneider et al. 1994, Coppola et al. 2011

# Hydrogen chemistry

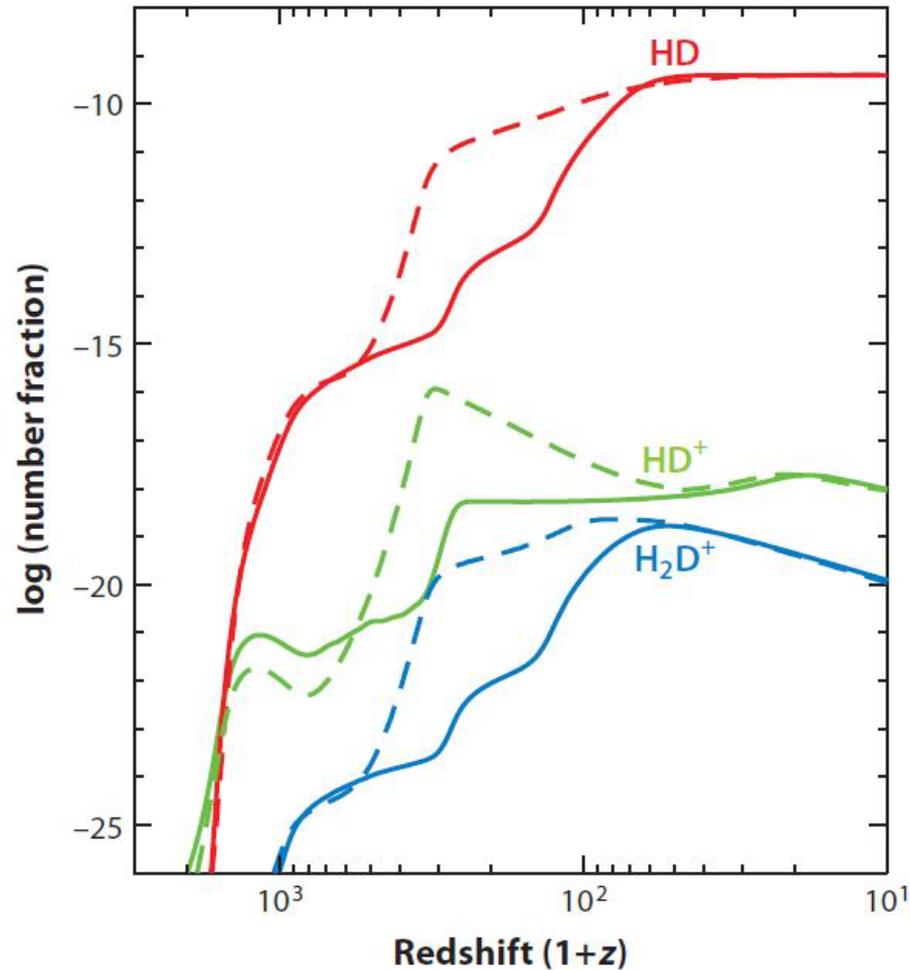


Full: all processes included  
Dashed: neglect  $\text{H}_2^+$  ( $v>0$ )  
Dotted: neglect non-thermal  
CMB photons

## c. D chemistry

- Formation of HD is dominated by
  - $\text{H}^+ + \text{D} \rightarrow \text{H} + \text{D}^+$
  - $\text{D}^+ + \text{H}_2 \rightarrow \text{HD} + \text{H}^+$
- The molecule is also formed by
  - $\text{H} + \text{D} \rightarrow \text{HD} + h\nu$
  - $\text{H}^+ + \text{D} \rightarrow \text{HD}^+ + h\nu$
  - $\text{H} + \text{D}^+ \rightarrow \text{HD}^+ + h\nu$
  - $\text{HD}^+ + \text{H} \rightarrow \text{HD} + \text{H}^+$
- HD is destroyed by similar processes as  $\text{H}_2$   
 $\Rightarrow f(\text{HD}) \approx 10^{-10} - 10^{-9}$

# Deuterium chemistry



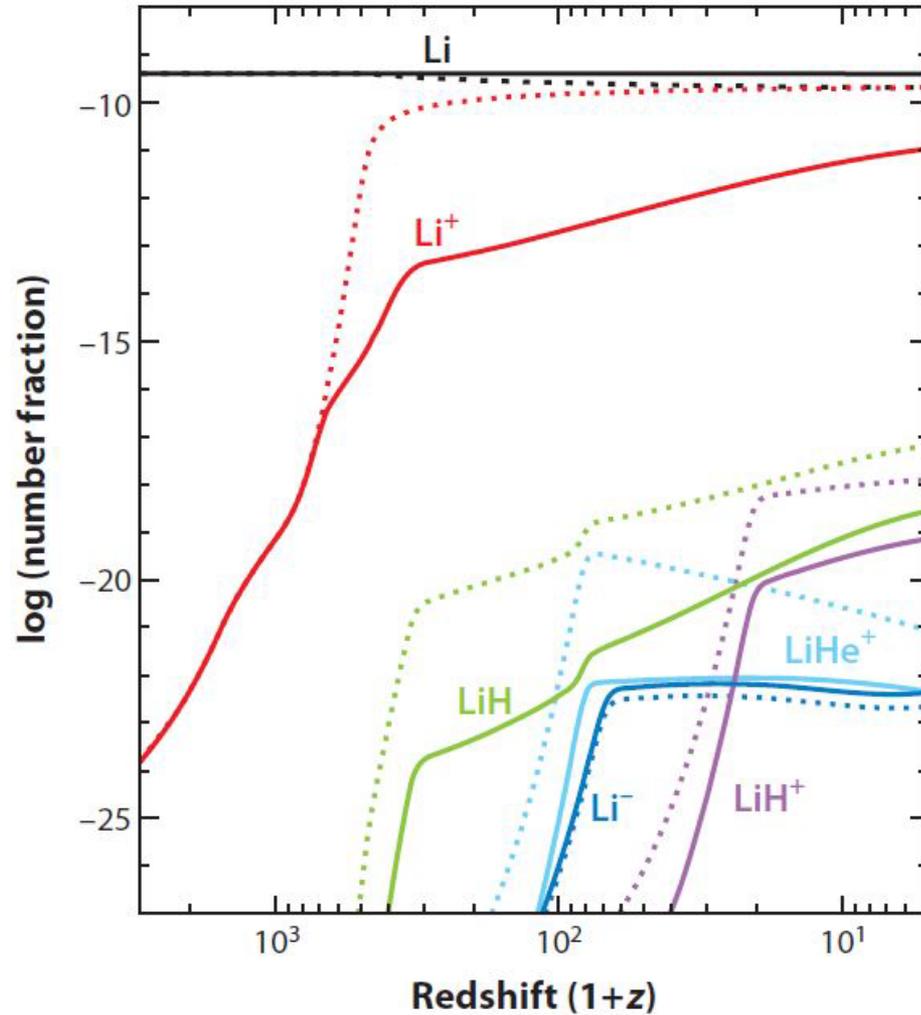
Dashed: neglect H<sub>2</sub><sup>+</sup> (v>0)

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# d. Li chemistry

- Lithium chemistry started at  $z \approx 450$  when
  - $\text{Li}^+ + e \rightarrow \text{Li} + h\nu$
  - $\text{Li}^+ + \text{H}^- \rightarrow \text{Li} + \text{H}$
- **LiH<sup>+</sup>** chemistry
  - $\text{Li}^+ + \text{H} \rightarrow \text{LiH}^+ + h\nu$  formation
  - $\text{LiH}^+ + e \rightarrow \text{Li} + \text{H}$  destruction
  - $\text{LiH}^+ + h\nu \rightarrow \text{Li}^+ + \text{H}$
- **LiH** chemistry
  - $\text{Li} + \text{H} \rightarrow \text{LiH} + h\nu$  formation
  - $\text{Li} + \text{H}^- \rightarrow \text{LiH} + e$
  - $\text{Li}^- + \text{H} \rightarrow \text{LiH} + e$
  - $\text{LiH} + h\nu \rightarrow \text{Li} + \text{H}$  destruction
  - $\text{LiH} + \text{H} \rightarrow \text{Li} + \text{H}_2$

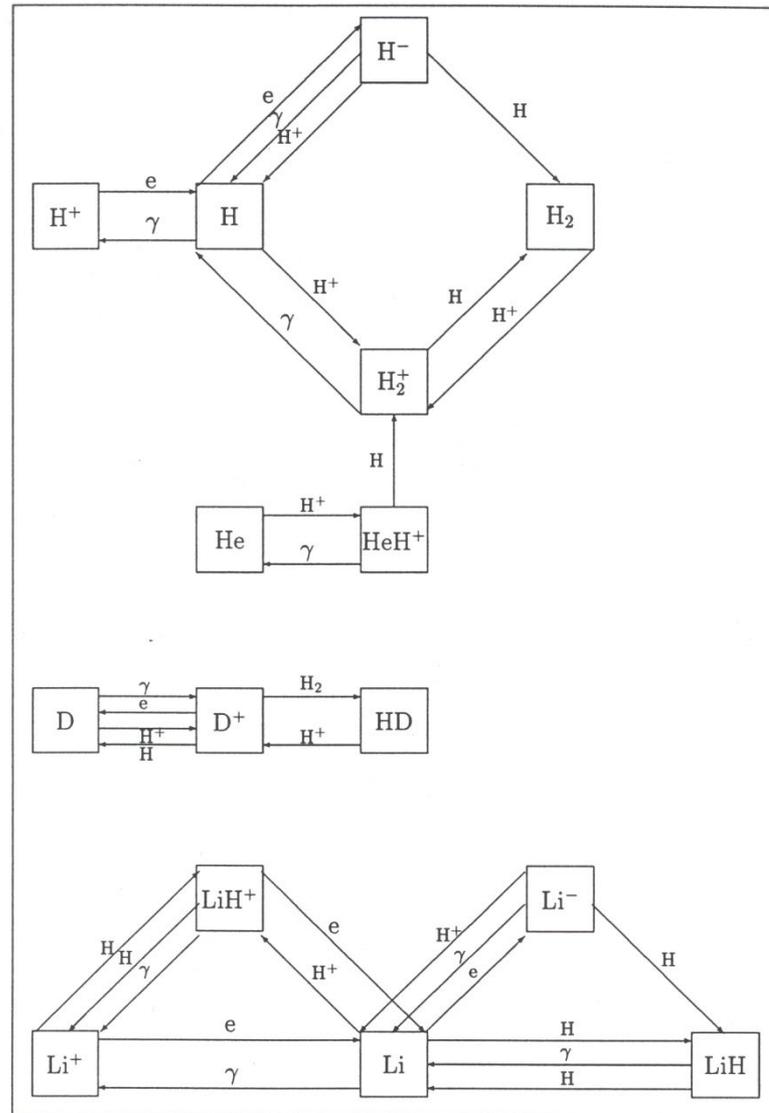
# Lithium chemistry



Dotted: neglect non-thermal CMB photons

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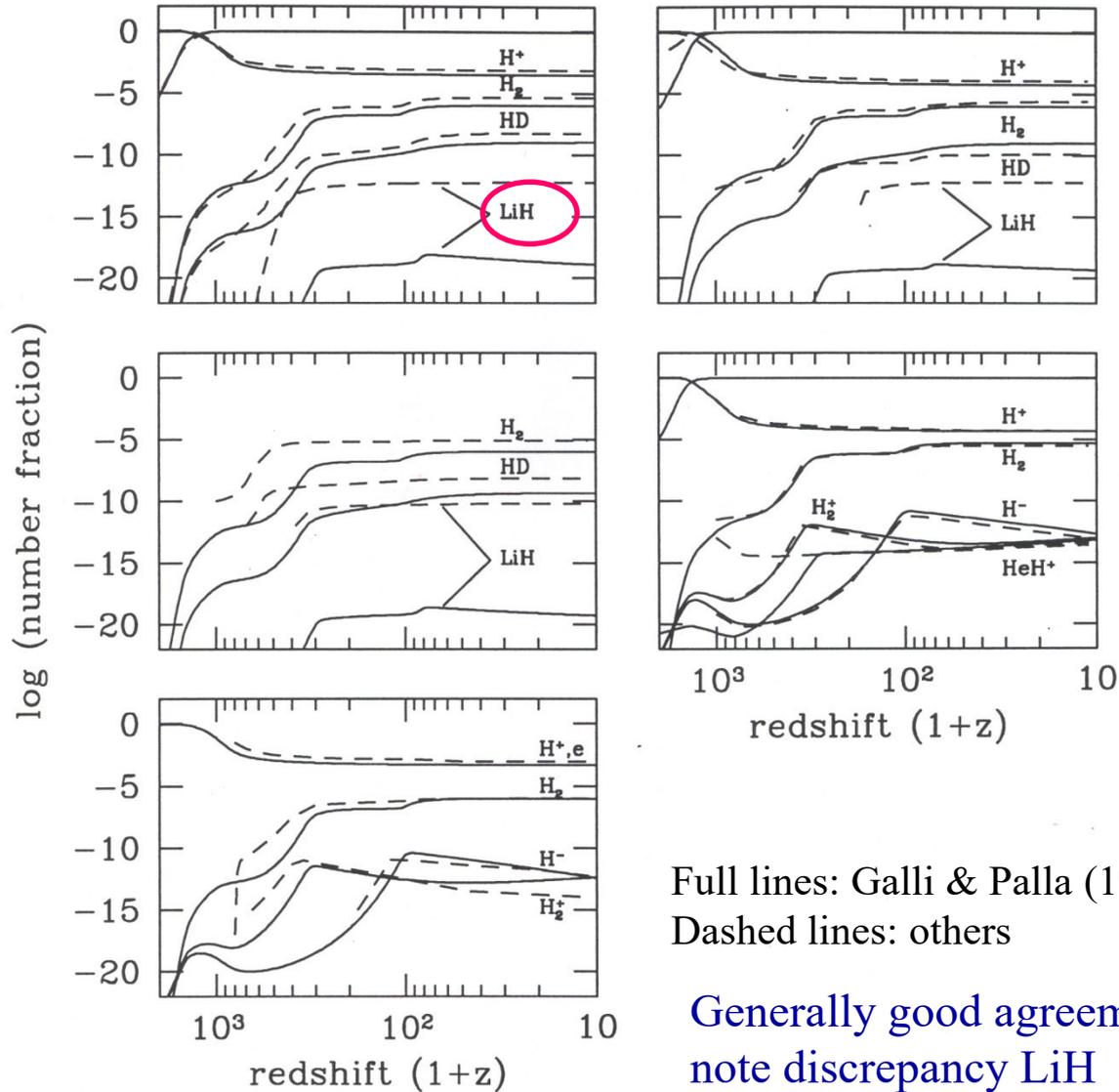
# Summary principle processes



# 3.4 Comparison models

- Models of early universe chemistry have been developed by various groups
  - Lepp & Shull (1984), Dalgarno & Lepp (1987), Latter (1989), Black (1990), ..., Stancil et al. (1996, 1998), Galli & Palla (1998)
  - Summary in Galli & Palla (2013)
- General trends are similar, but some discrepancies found, especially for LiH
  - $f(\text{H}_2) \approx 10^{-6}$
  - $f(\text{HD}) \approx 10^{-10}$ - $10^{-9}$
  - $f(\text{HeH}^+) \approx 10^{-13} - 10^{-12}$
  - $f(\text{LiH}^+) \approx 10^{-18} - 10^{-17}$
  - $f(\text{LiH}) \approx 10^{-20} - 10^{-16}$
- Differences can be due to
  - Different networks (some reactions not taken into account)
  - New values for rate coefficients
  - Different cosmology

# Comparison models



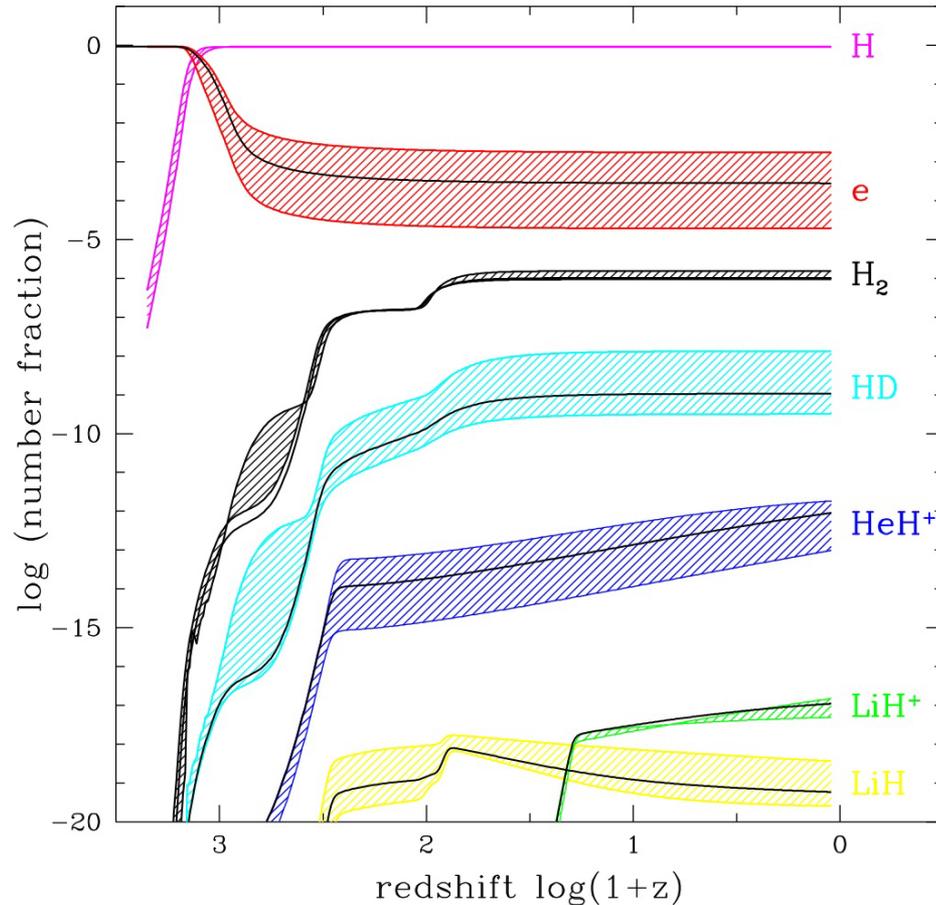
GP98 vs  
Black

Full lines: Galli & Palla (1998)  
Dashed lines: others

Generally good agreement but  
note discrepancy LiH

Galli & Palla 1998

# Sensitivity to cosmological parameters



GP98

- Hatched area covers range of variation of  $\Omega_0=0.1-1$ ,  $h=H_0/100=0.3-1$  and  $\eta_{10}=\text{baryon/photon}=1-10$
- Cosmological parameters now well determined so no longer an issue

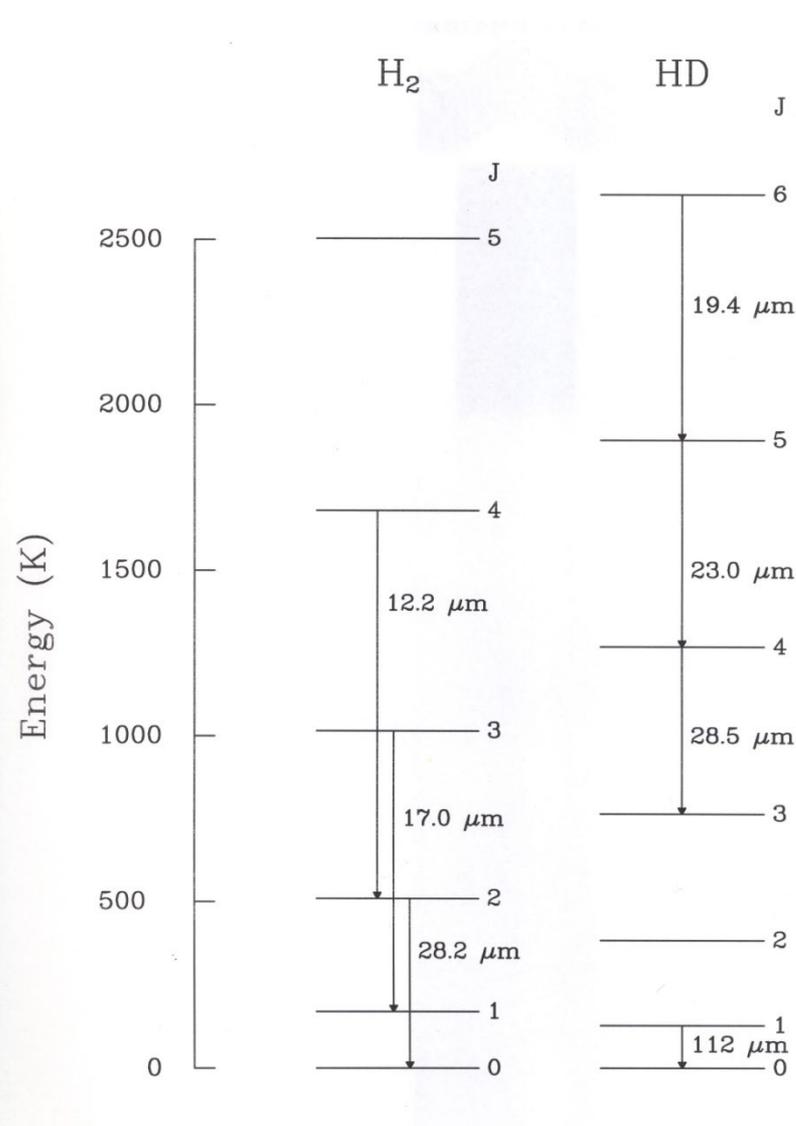
# 3.5 Molecular cooling and cloud collapse

- Cooling of universe is dominated by adiabatic expansion globally. Cooling by lines of atomic species, e.g.
  - $\text{H}(1s) + \text{H} \rightarrow \text{H}(2p) + \text{H}$
  - $\text{H}(2p) \rightarrow \text{H}(1s) + h\nu$  Lyman  $\alpha$

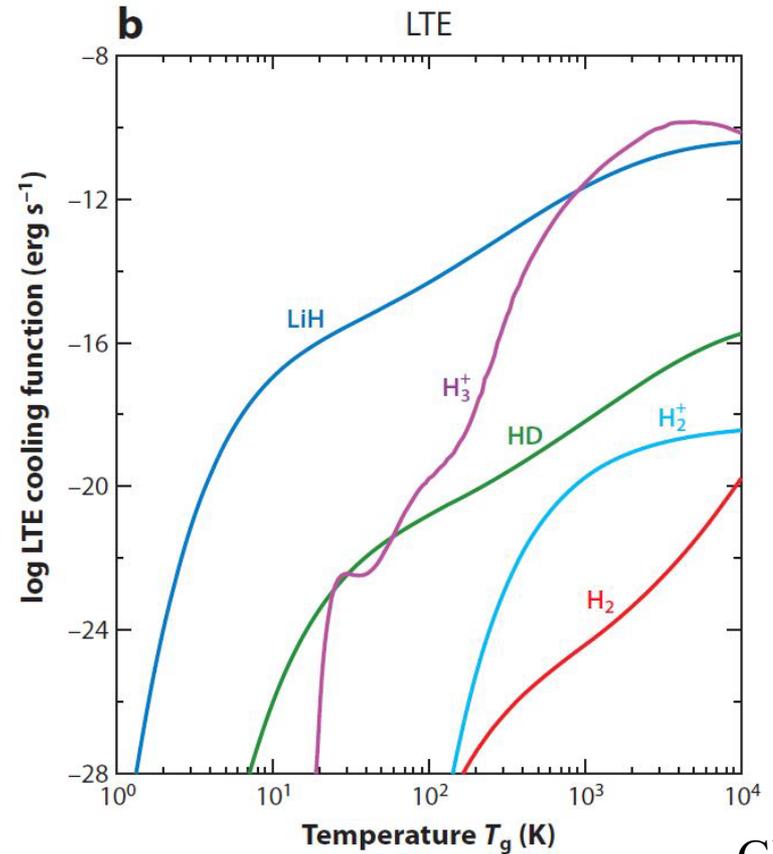
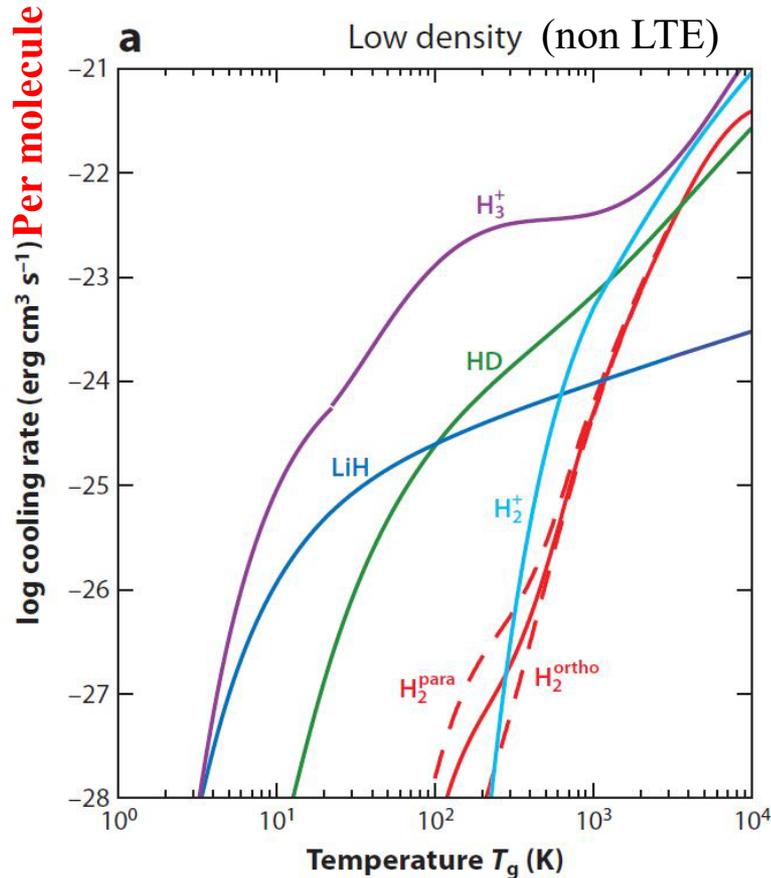
is ineffective at  $T_m < 10^4$  K

- Molecules  $\text{H}_2$ , HD and LiH are more important coolants at low temperatures due to their rotational lines
  - **H<sub>2</sub>**:  $J=2$  at  $\sim 500$  K  $J=2 \rightarrow 0$
  - **HD**:  $J=1$  at  $\sim 100$  K  $J=1 \rightarrow 0$
  - **LiH**:  $J=1$  at  $\sim 30$  K  $J=1 \rightarrow 0$

# H<sub>2</sub> and HD energy levels



# Cooling rate per molecule



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- Need to multiply by abundance molecule to get overall cooling rate

# Cloud collapse

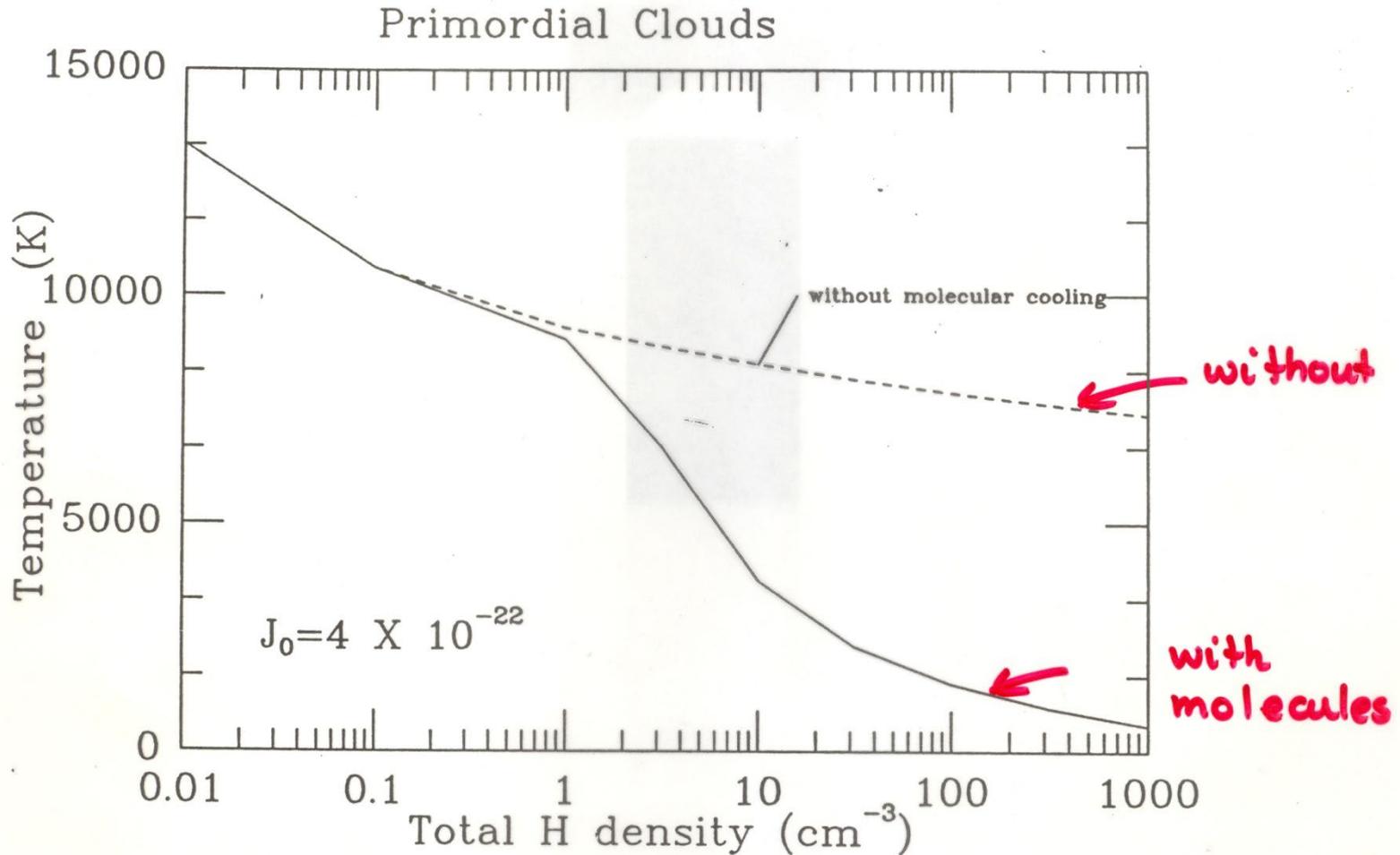
- Ability of cloud to collapse is given by Jeans' mass

$$M_J \approx 60 T_m^{3/2} n_H^{-1/2} M_\odot$$

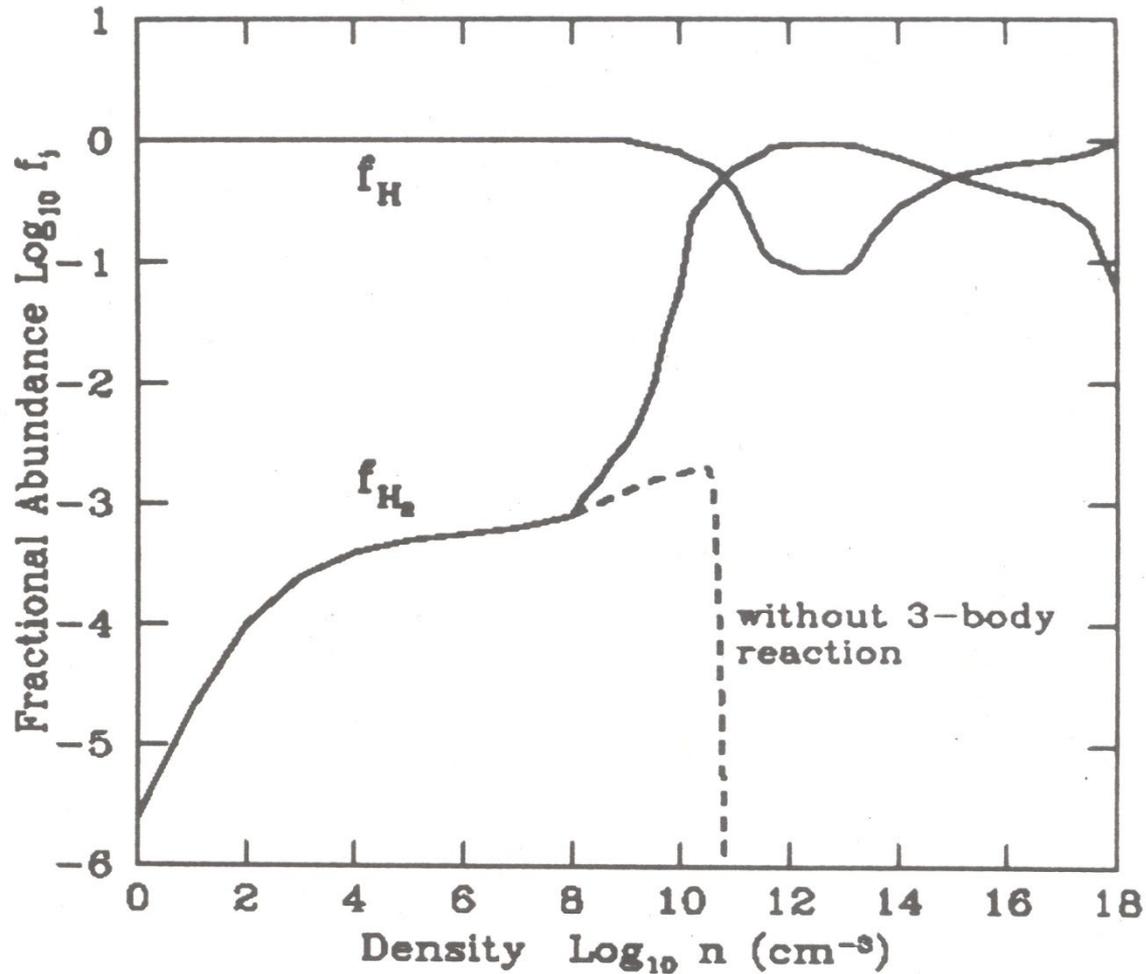
which is the smallest mass for which gravitational contraction can overcome thermal gas pressure

- Ex:  $M_J = 9 \times 10^5 M_\odot$  at  $z=1300$ ;  $10^4 M_\odot$  at  $z=10$
- Additional cooling due to molecules lowers  $T_m$  and thus  $M_J$ , permitting fragmentation and contraction to occur on smaller scales (down to  $< 1 M_\odot$ )
- As density in condensations increases due to collapse,  $H_2$  formation can be enhanced by 3-body processes
  - $H + H + H \rightarrow H_2 + H \quad n_H \geq 10^9 \text{ cm}^{-3}$

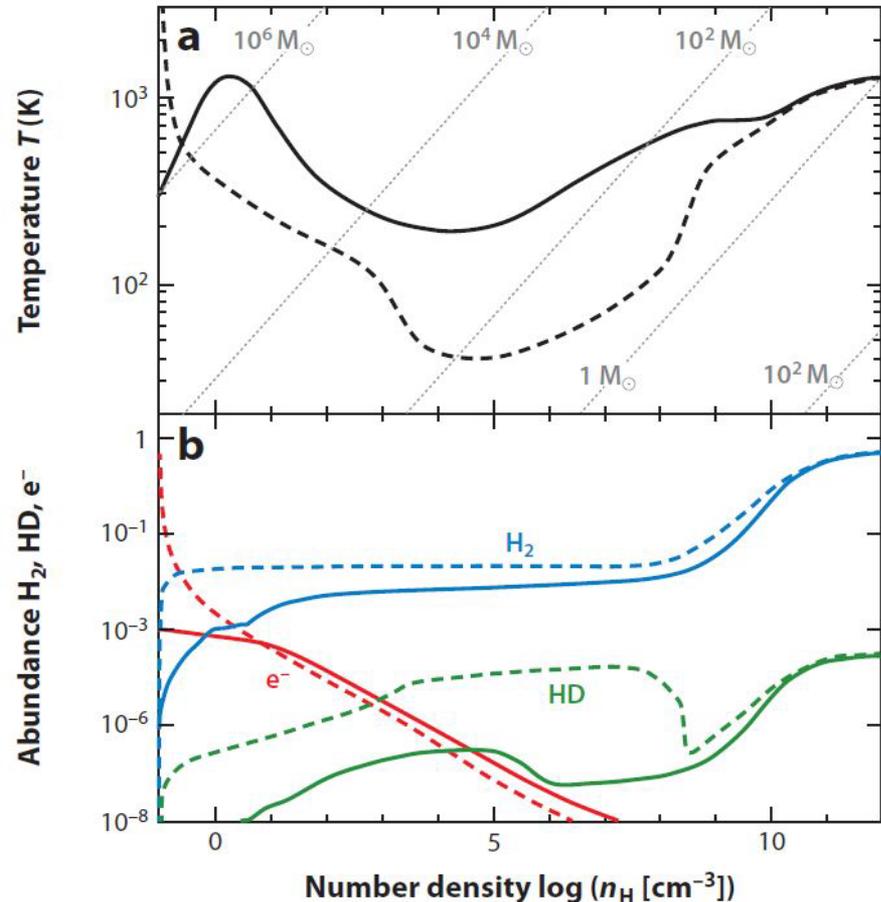
# Effect of molecular cooling



# Importance of 3-body H<sub>2</sub> formation



# Collapsing primordial cloud



GP13

Figure 6

(a) Thermal and (b) chemical evolution of collapsing primordial gas clouds. The solid lines show collapse in a predominantly neutral minihalo, whereas the dashed lines display the evolution of gas that is preionized and cooled from high temperatures. Lines of constant Jeans mass are shown as dotted lines in panel *a*. Courtesy of K. Omukai.

# Recent developments

- Couple chemistry and cooling with hydrodynamical simulations of cosmological evolution, e.g., in Cold Dark Matter models, overdense regions with  $M \approx 10^5 - 10^7 M_\odot$  are found at  $100 < z < 10$ . Can baryonic matter collapse to form the first stars?

- Critical  $H_2$  fraction:

$$f_{H_2} \approx 2 \times 10^{-4} \left( \frac{h\Omega_b}{0.03} \right)^{-1} z_{100}^{-3/2} \left( 1 + \frac{10T_3^{7/2}}{60 + T_3^4} \right) e^{512/T}$$

$\approx 5 \times 10^{-4}$  ‘rule of thumb’

$\Rightarrow$  determine minimum mass for collapse as function of  $z$

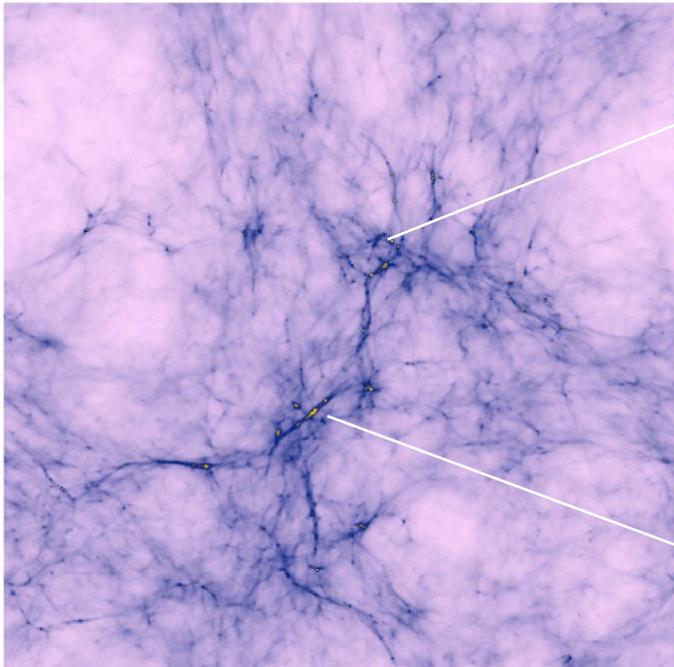
- Work by Tegmark et al. (1997), Abel et al. (1997), Bromm et al. (2002), Yoshida et al. (2003), and now many more ....

# End of dark ages and onset of star formation if

$$t_{\text{cool}} \ll t_{\text{Hubble}} \rightarrow$$

## The role of $\text{H}_2$ and HD within first structures

Projected gas distribution at  $z = 17$



Yoshida et al 2003

Mini-halos  $M \sim 10^6 M_{\text{sun}}$   $z = 20 - 30$   
 $T_{\text{vir}} \ll 10^4 \text{ K}$   $\text{H}_2$  cooling



positive feedback  
from X-rays, shocks,  
relic HII regions ...

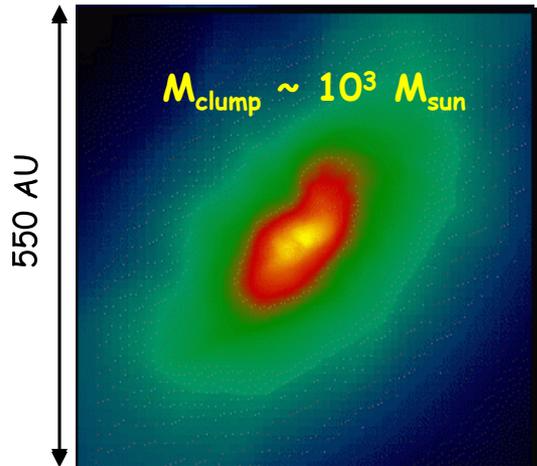
negative feedback  
from UV background

Dwarfs  $M \sim 10^8 M_{\text{sun}}$   $z = 10-20$   
 $T_{\text{vir}} \geq 10^4 \text{ K}$   $\text{H}$  cooling ( $\text{Ly}\alpha$ )

# PopIII star formation in mini-halos

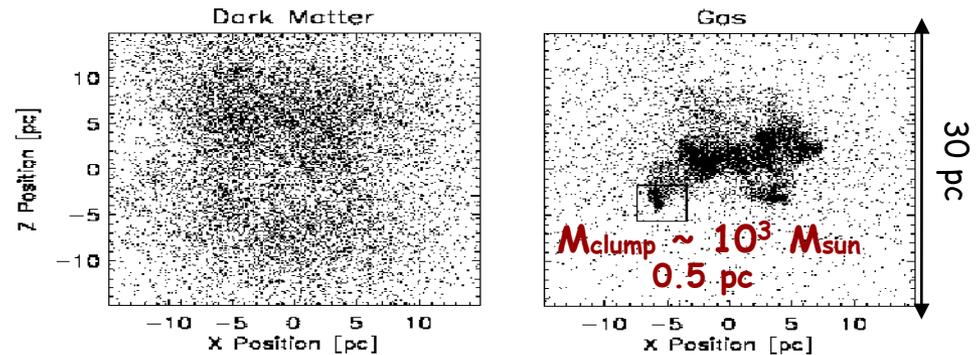
3D simulations: from cosmological initial conditions to molecular clouds

Adaptive Mesh Refinement



Abel, Bryan & Norman (2000-2002)

Smooth Particle Hydrodynamics



Bromm, Coppi & Larson (2000-2002)

Convergence toward a regime with  $T_{cr} \sim 200$  K and  $n_{cr} \sim 10^4$  cm<sup>-3</sup> ← H<sub>2</sub> properties

Fragmentation into  
protostellar clouds with

$$M_{clump} \geq M_{jeans}(n_{cr}, T_{cr}) = 700 M_{sun} (n/n_{cr})^{-1/2} (T/T_{cr})^{3/2}$$

**Final stellar mass  $30 M_{sun} < M_* < 150 M_{sun}$**

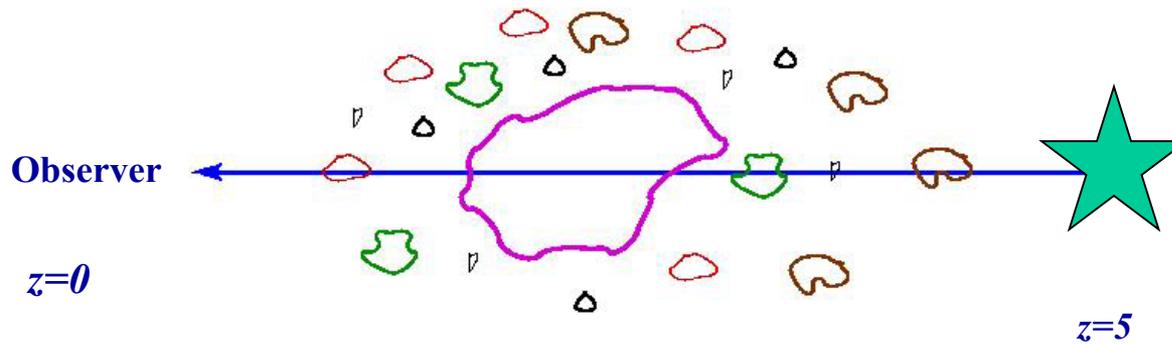
? Radiative feedback  
during accretion ?

## 3.6 Observations of molecules at $z < 7$

- Molecules at high redshifts  $z < 7$  can be observed in optical and mm spectra of quasars, and through submm emission of CO and other molecules from starburst galaxies

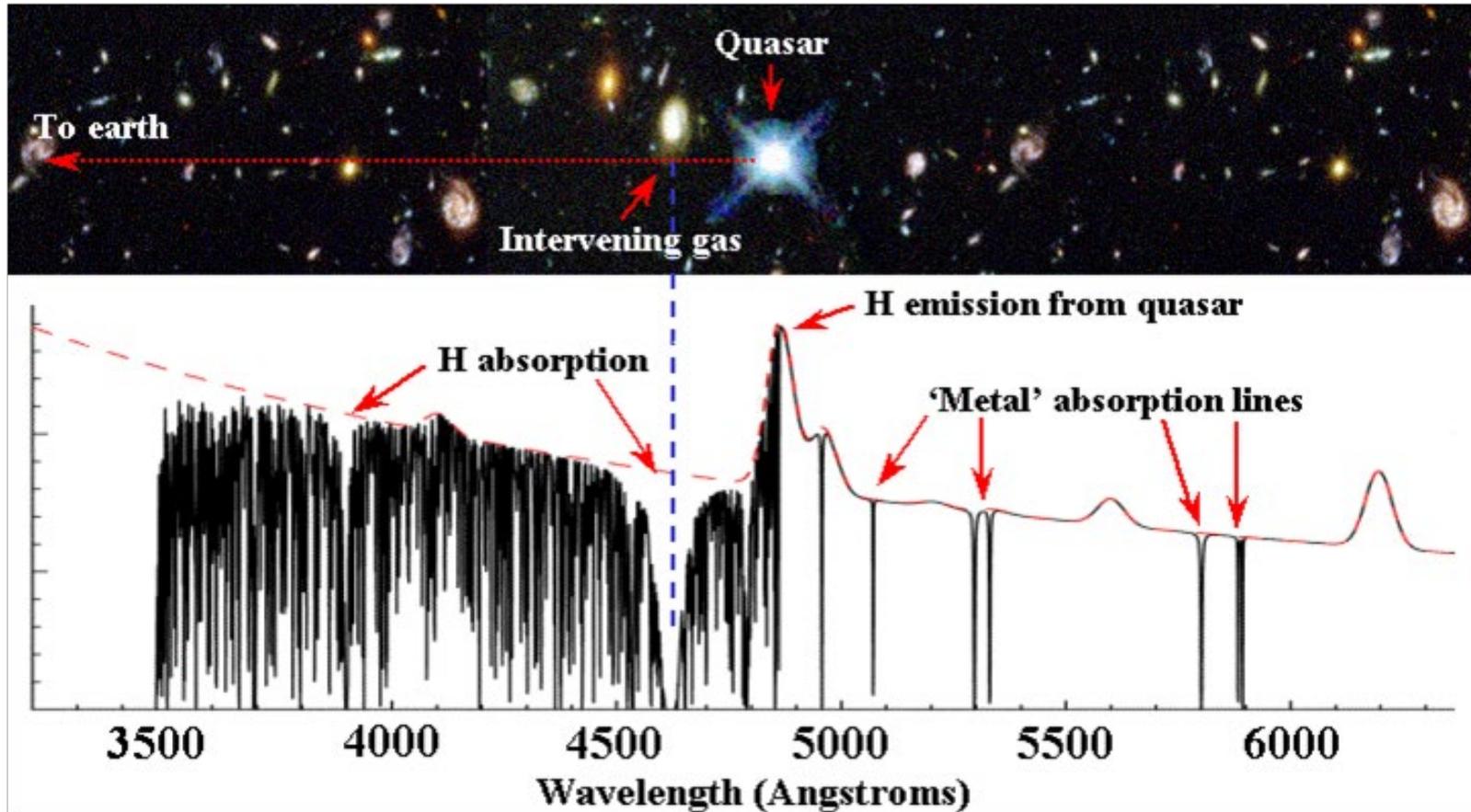
# a. Quasar absorption lines

- QSO shines through intergalactic medium



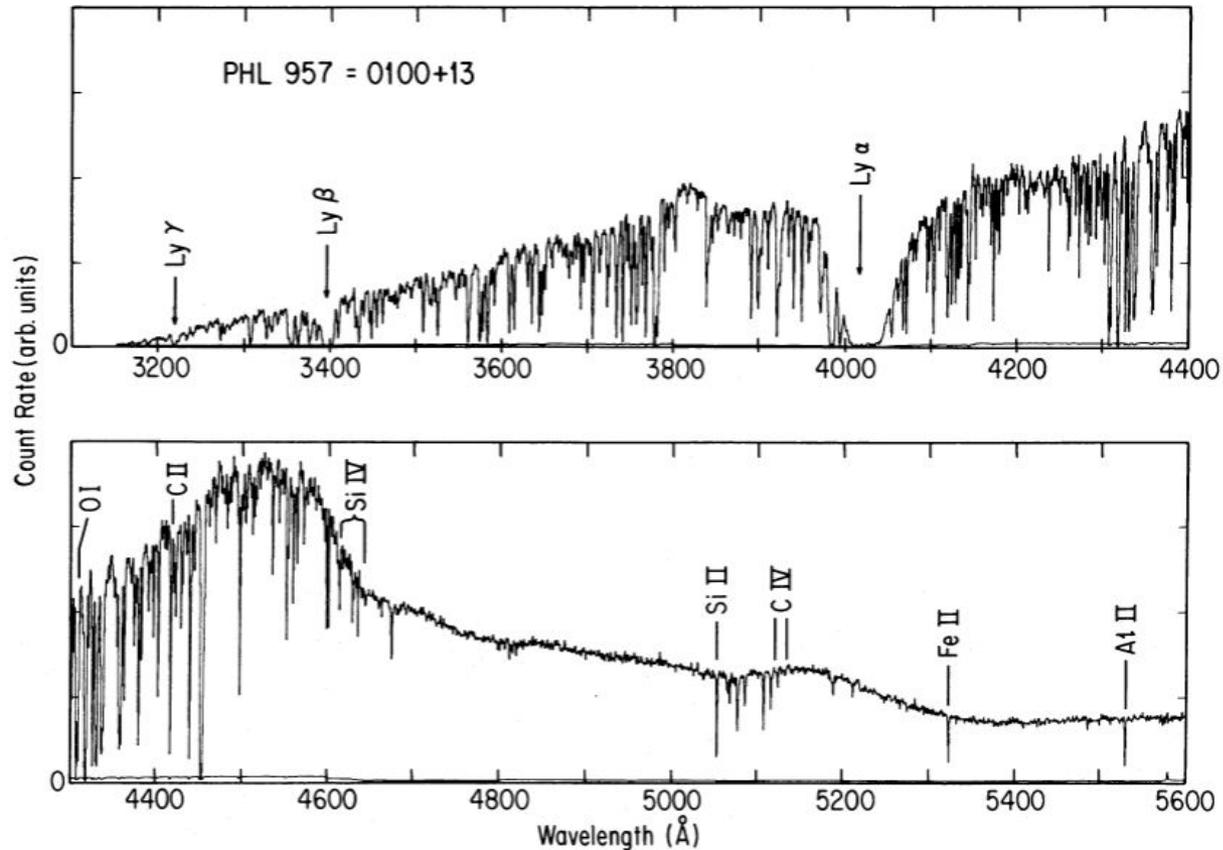
- Radiation encounters many clouds / structures containing H between  $z=5$  and  $z=0$   $\Rightarrow$  strong absorption by each cloud at  $\lambda_{\text{obs}} = (1+z)\lambda_{\text{rest}}$
- Dominant line: **H 1s  $\rightarrow$  2p** Lyman  $\alpha$   
 $\Rightarrow$  **‘Lyman- $\alpha$  forest’**

# Quasar absorption line forest



# Quasar PHL 957 at $z=2.7$

## Lyman $\alpha$ forest



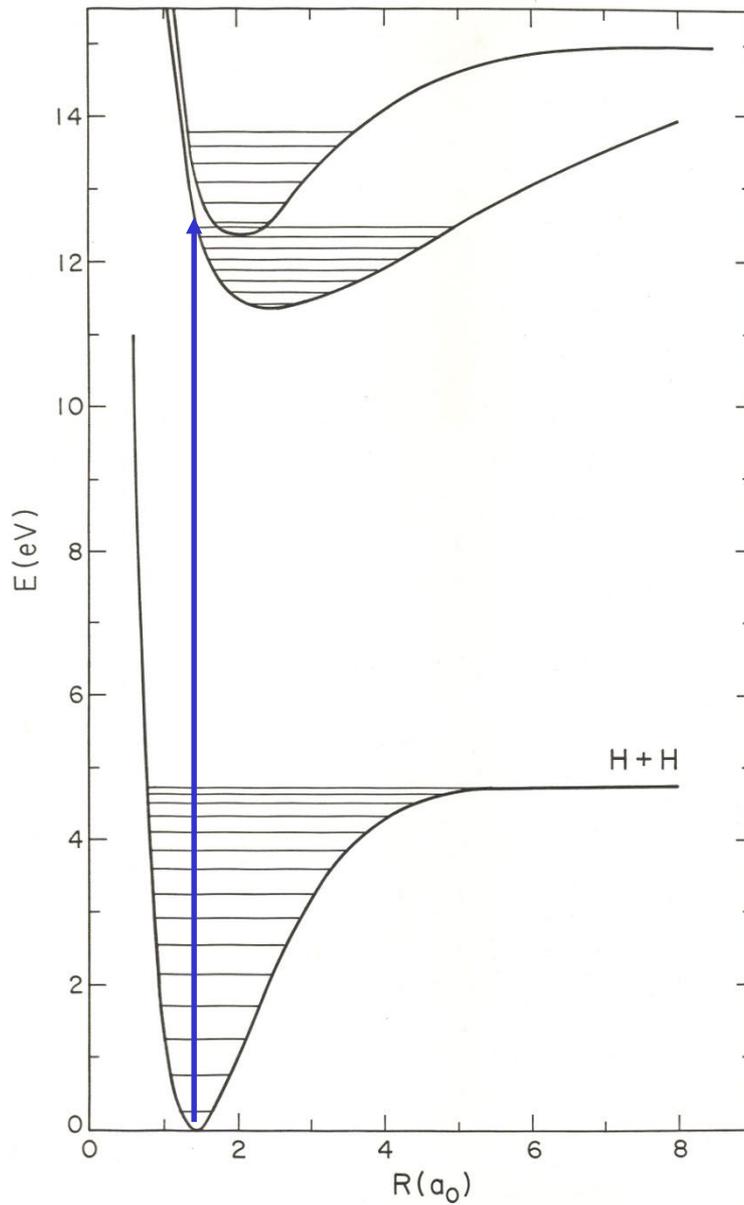
Black 1990

Note damped Ly- $\alpha$  system at  $z=2.3$  and corresponding 'metal' lines longward of Ly  $\alpha$

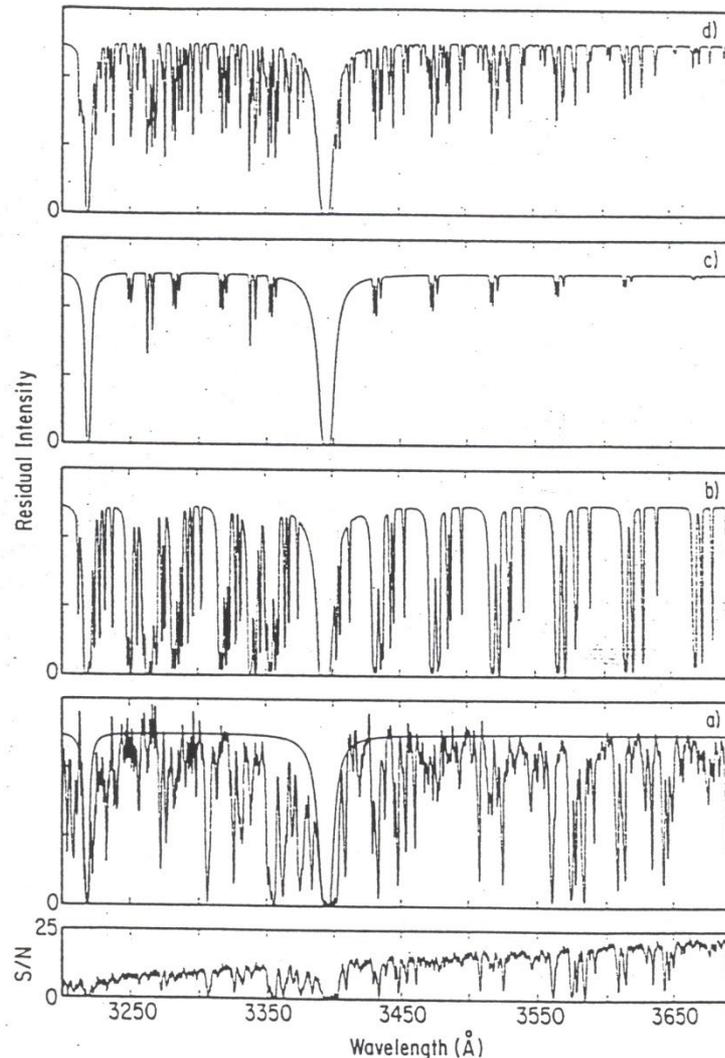
# H<sub>2</sub> searches

- Searches for H<sub>2</sub> in QSO spectra problematic since H<sub>2</sub> only has strong transitions at  $\lambda_{\text{rest}} \approx 900\text{-}1100 \text{ \AA}$ , i.e., the same region where H Ly  $\alpha$  at other redshifts absorbs  $\Rightarrow$  need to search for H<sub>2</sub> lines in Ly- $\alpha$  forest
- Careful modeling of H<sub>2</sub> in systems with damped (saturated) Ly- $\alpha$  H profiles ( $\Rightarrow N(\text{H}) > 10^{21} \text{ cm}^{-2}$ ) has resulted in detection of H<sub>2</sub> in a few systems
- Fraction of H<sub>2</sub> generally low  $\Rightarrow$  H<sub>2</sub> efficiently destroyed by UV at high  $z$ ?
- Abundances of C, O, N, ... often factor of 10-100 lower than solar

# H<sub>2</sub> absorptions



# Search for H<sub>2</sub> toward PHL 957



Synthetic H+ H<sub>2</sub> spectra

$$N=10^{16} \text{ cm}^{-2}$$

$$T_{\text{ex}}=1000 \text{ K}$$

$$N=10^{15} \text{ cm}^{-2}$$

$$T_{\text{ex}}=100 \text{ K}$$

$$N=6 \times 10^{19} \text{ cm}^{-2}$$

$$T_{\text{ex}}=100 \text{ K}$$

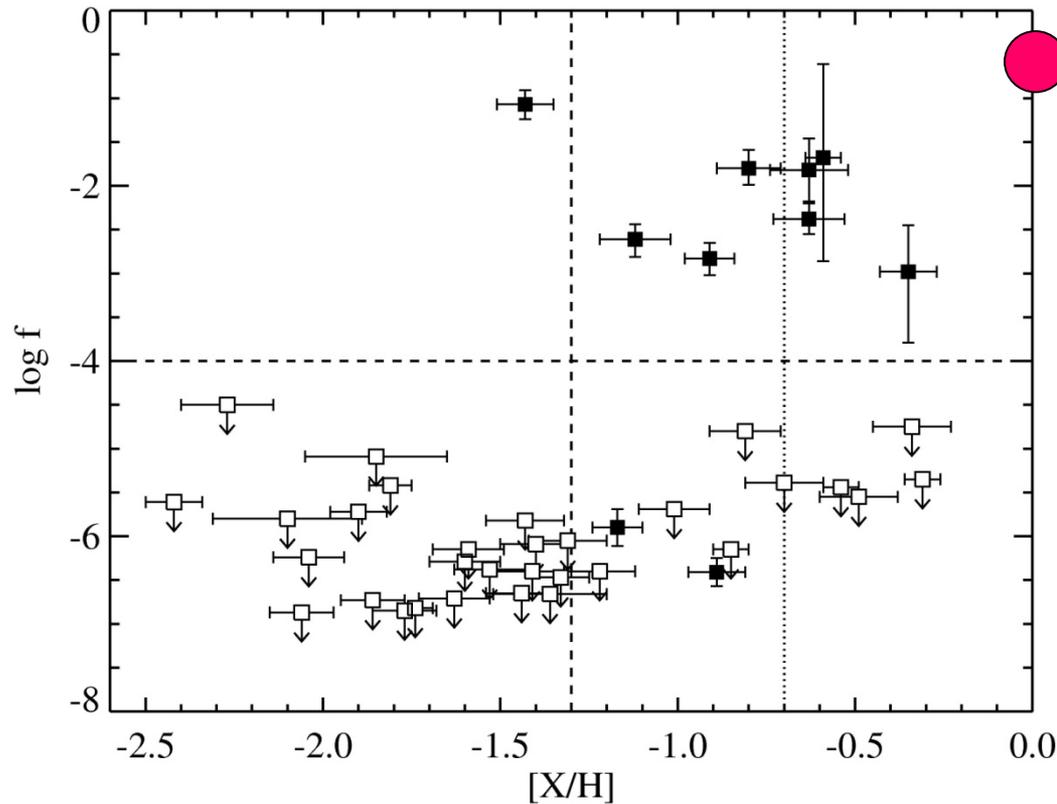
Obs + H fit

Obs

Black et al. 1987

- Note *anti*-coincidences  $\Rightarrow$  No H<sub>2</sub> detection with  $f(\text{H}_2) \leq 5 \times 10^{-6}$

# Recent H<sub>2</sub> searches



$\zeta$  Oph

**Filled symbols:  
detections**

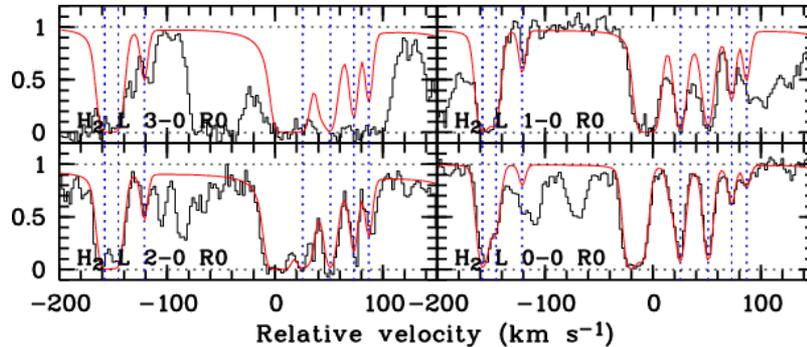
**Open symbols:  
upper limits**

- Search for H<sub>2</sub> in damped Ly- $\alpha$  systems with  $z > 1.8$
- Trend to have more H<sub>2</sub> with higher metallicity
- H<sub>2</sub> fraction generally low  $f < 10^{-4} - 10^{-6}$

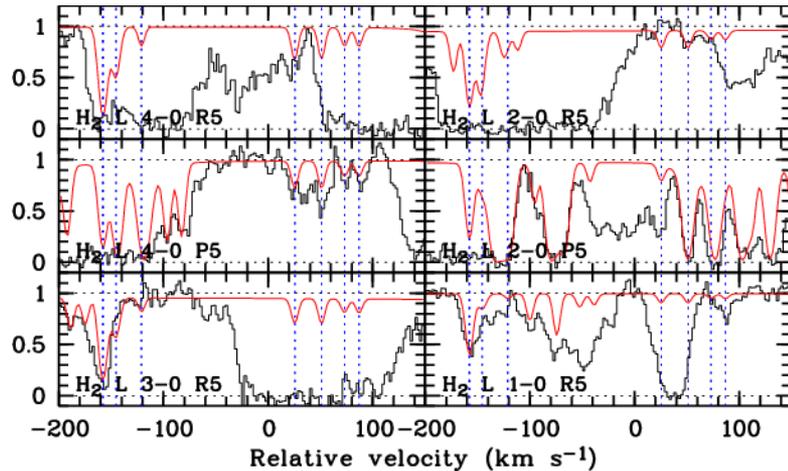
# H<sub>2</sub> detection toward Q2348-011

$z=2.426$

$J=0$



$J=5$

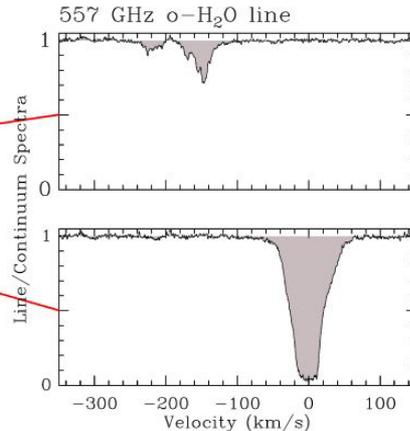
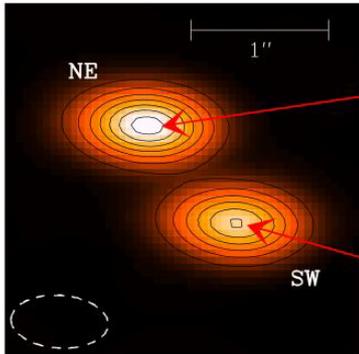


Noterdaeme et al. 2007  
Petitjean et al. 2008

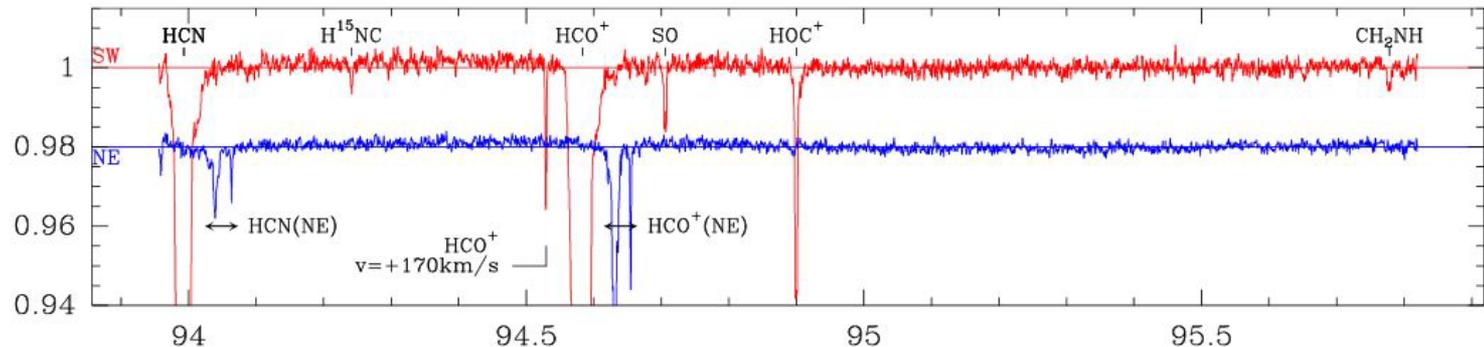
- Molecular fraction  $f=1.7 \times 10^{-2}$
- Lines out of  $J=0-5$  detected  $\Rightarrow T > 130$  K,  $n \sim 100-200$  cm<sup>-3</sup>

# b. QSO absorption at mm

ALMA 290 GHz continuum



**PKS1830-211  $z=0.89$**   
**ALMA**



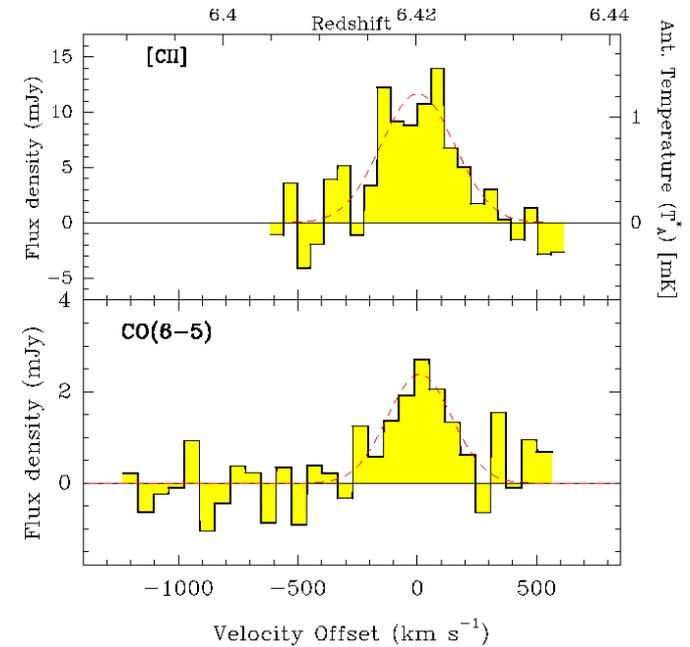
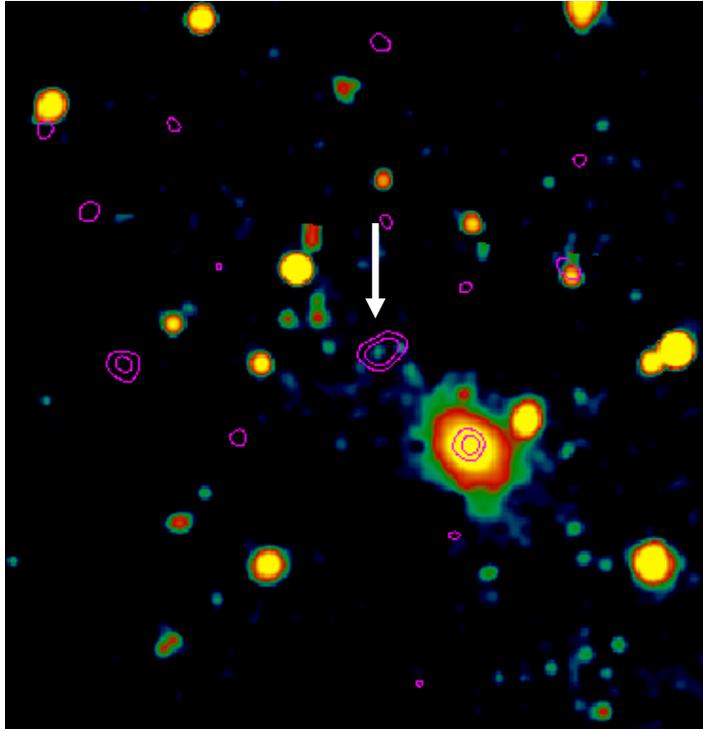
Muller et al. 2014  
Combes, Wiklind & Nakai 1997

- Observe molecules at high  $z$  in mm, up to  $z \sim 3$
- Detection of CO, HCO<sup>+</sup>, HCN, CS, ....
- Even detection of minor species such as CF<sup>+</sup>

## c. Submm emission lines

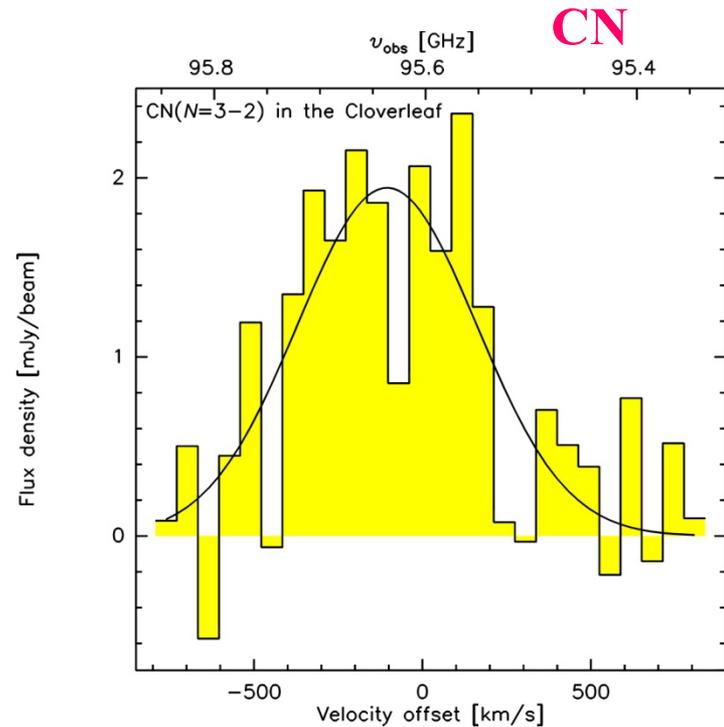
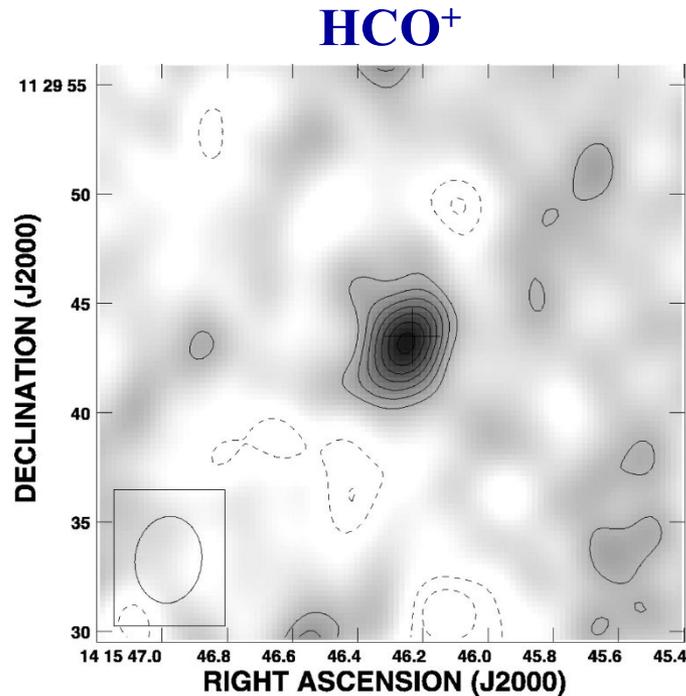
- Recent detections of CO  $J=3 \rightarrow 2$ ,  $4 \rightarrow 3$ ,  $5 \rightarrow 4$ , ...,  $7 \rightarrow 6$  in starburst galaxies and active galactic nuclei at  $z=2-7$
- Strong dust continuum emission up to  $z=7$  observed as well
- [C I], [C II], HCN, HCO<sup>+</sup> detected in a few systems
- Starburst galaxies have 100× more molecular gas than Milky Way ⇒ enormous reservoir of material for forming stars
- Elemental abundances close to solar ⇒ stars formed very fast ⇒ *'burst'*

# Molecules at high redshift: $z=6.4$ !



**CO and [C II] in quasar SDSS J1148+5251 at  $z=6.4$**

# HCO<sup>+</sup>, CN at high z

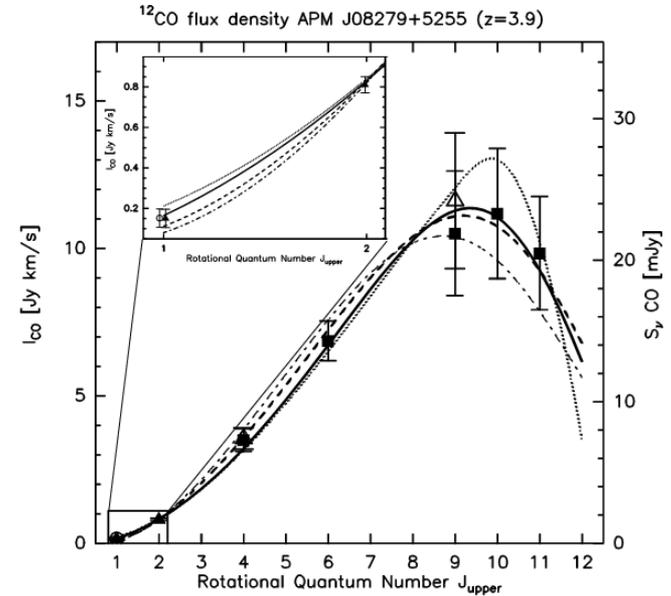
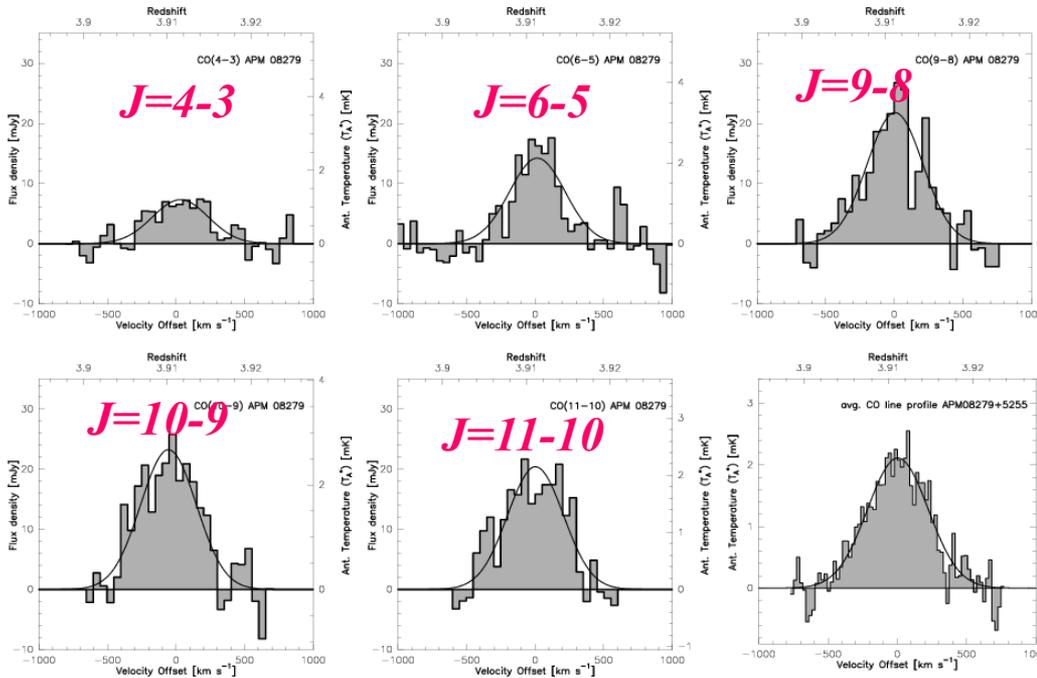


Riechers et al. 2007a,b

- Detection of HCO<sup>+</sup> and CN toward Clover Leaf quasar at  $z=2.56$  (lensed system => signal enhanced)
- Both lines require high densities  $10^5$ - $10^6$  cm<sup>-3</sup> for excitation

# CO excitation at high z

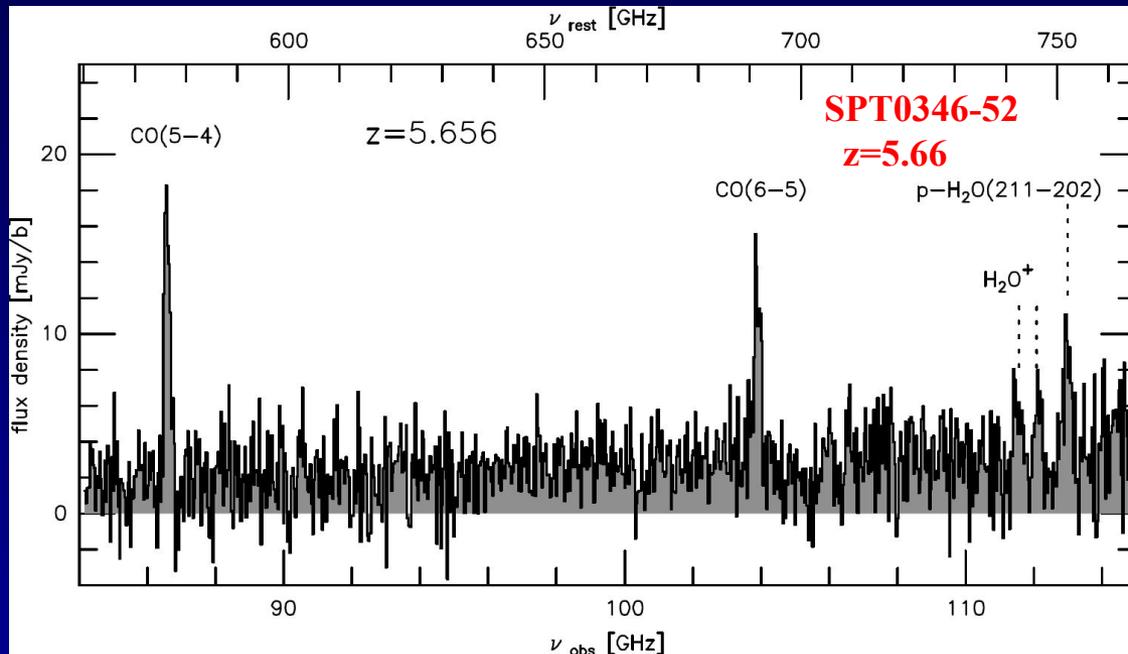
APM 0827+5255  $z=3.9$



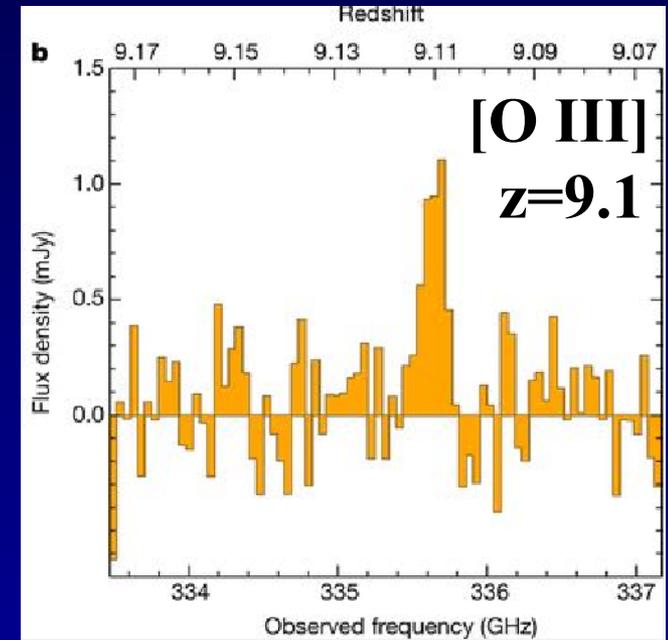
Weiss et al. 2007

- CO 4-3 up to 11-10 detected at  $z=3.9$
- Excitation best fit by two components:
  - Cold:  $T \sim 65$  K,  $n = 10^5$  cm $^{-3}$
  - Warm:  $T \sim 220$  K,  $n = 10^4$  cm $^{-3}$

# ALMA: Water, CO in high-z galaxies

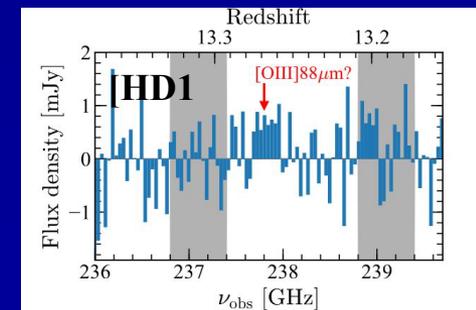


Weiss et al. 2013



Hashimoto et al. 2018

- [O III] 88  $\mu\text{m}$  at  $z=9.1$  (and perhaps at  $z=13.2$ )
- H<sub>2</sub>O and H<sub>2</sub>O<sup>+</sup> at  $z=5.7$



Harikane et al. 2022

# Summary Lecture 3

- Write down your own summary points here