# Lecture 8: Spectral Line Diagnostics 2

## **Outline**

- **•** Spectral Lines in LTE
- **2** Photons and Atoms
- <sup>3</sup> NLTE Radiative Transfer

#### Radiative Transfer Equation

• radiative transfer equation without  $\nu$  subscript

$$
\mu \frac{\mathrm{d}I}{\mathrm{d}\tau} = I - S
$$

**o** formal solution

$$
I_{\nu}(\tau,\mu) = I(\tau_0,\mu)e^{-(\tau_0-\tau)/\mu} + \frac{1}{\mu}\int_{\tau}^{\tau_0} S(\tau')e^{-\frac{\tau'-\tau}{\mu}}d\tau'
$$

**e** emergent intensity by integration from  $\tau = 0$  to  $\tau_0 = \infty$ 

$$
I(\tau=0,\mu)=\frac{1}{\mu}\int_0^\infty S(\tau)e^{\frac{-\tau}{\mu}}d\tau
$$

- $\bullet$  LTE: source function = Planck function
- Eddington-Barbier:  $I(\tau = 0, \mu) = S(\tau = \mu)$

### Simple Absorption Line

- absorption: transition gives peak in  $\kappa = \kappa_C + \kappa_I = (1 + \eta_{\nu}) \kappa_C$
- o optical depth: height-invariant  $\kappa \Rightarrow$  linear  $(1 + \eta_{\nu}) \tau_C$
- source function: same for line and continuum
- intensity: Eddington-Barbier (nearly) exact



## Simple Emission Line

- extinction: transition process gives peak in  $\kappa = \kappa_C + \kappa_l = (1 + \eta_\nu) \kappa_C$
- o optical depth: height-invariant  $\kappa \Rightarrow$  linear  $(1 + \eta_{\nu}) \tau_C$
- source function: same for line and continuum
- intensity: Eddington-Barbier (nearly) exact



## Temperature vs Height in Quiet Solar Atmosphere



# Absorption Coefficient at 500 nm vs Height in Quiet Solar Atmosphere



# Density vs Height in Quiet Solar Atmosphere



## Optical Depth at 500 nm vs Height in Quiet Solar Atmosphere



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## Realistic, Strong Scattering Absorption Line

- absorbtion: transition peak lower and narrower at larger height
- optical depth: near-log-linear inward increase
- source function: split into line and continuum source functions
- intensity: Eddington-Barbier for

$$
S_{\nu}^{\text{total}} = (\kappa_{C}S_{C} + \kappa_{I}S_{I})/(\kappa_{C} + \kappa_{I}) = (S_{C} + \eta_{\nu}S_{I})/(1 + \eta_{\nu})
$$



### Numerical Absorption Line Calculation in LTE

- solar atmosphere model as function of height: temperature, density, pressure, velocity, (microtuburbulence, magnetic field vector)
- spectral line atomic information: element/molecule, abundance, transition probability/oscillator strength, ionization stage, excitation potential of lower level, upper level, partition function
- **e** compute continuum absorption coefficient  $\kappa_c$
- **•** integrate  $\kappa_c$  to obtain continuum optical depth  $\tau_c$
- calculate continuum intensity from  $\int B_{\nu} e^{-\tau/\mu} d\tau$
- **•** calculate line absorption  $κ$ <sub>*L*</sub> for each wavelength

• solve 
$$
I_{\nu}(\tau_{\nu} = 0, \mu) = \frac{1}{\mu} \int_0^{\infty} S_{\nu}(\tau_{\nu}) e^{\frac{-\tau_{\nu}}{\mu}} d\tau_{\nu}
$$

**• convolve with macroturbulence profile** 

## Spectral Line Diagnostics

- velocity from Doppler shift, also as function of height
- **•** microturbulence
- macroturbulence, spectrograph smearing  $\bullet$
- **a** abundance
- $\bullet$  temperature, density, pressure

# Observed Doppler Shifts in Quiet Solar Atmosphere



## Line Broadening due to Convection in Quiet Solar Atmosphere



Predicted spatially and temporally averaged 3D LTE solar line profile (solid line) compared with calculation when ignoring all Doppler shifts due to photospheric velocity field (dashed line) From [http://solarphysics.livingreviews.org/Articles/lrsp-2009-2](file:Nordlund et al. (2009))

#### Ionization Equilibria as Function of Temperature



#### [http://www.astrogeo.va.it/astronom/spettri/teoriaen.htm](file:www.astrogeo.va.it/astronom/spettri/teoriaen.htm)

### Temperature in Magnetic Fields



Keller et al. (1990)

## Model Atom

- o given species and ionization states
- *N* discrete *bound states*
- *bound-bound* transitions between:
	- lower state *L* with energy  $E_I$
	- upper state *U* with energy  $E_{U}$
- *bound-free and free-bound transitions* between states and continuum
- *free-free transitions between continuum and continuum*



 $\pm$ 

#### Spontaneous Emission

- $n_U A_{UU}$  photons are spontaneously emitted per unit time and volume
- states are not necessarily sharp, Doppler broadening  $\Rightarrow$ frequency distribution  $\chi(\nu)$
- emission in arbitrary direction:

$$
n_UA_{UL}\chi(\nu)/4\pi
$$

- *AUL*: *Einstein coefficient* for *spontaneous emission*
- **•** inverse of lifetime in upper state against spontaneous emission
- typical values for lifetime:  $10^{-8}$  s
- forbidden transitions have lifetimes of up to  $10^{-2}$  s

#### Induced (or Stimulated) Emission

- atom in upper state
- **•** radiation with frequency  $\nu = (E_U E_I)/\hbar$
- $n_U$  atoms in upper level
- **•** finite width of emitted line:  $\psi(\nu)$
- induced emission in the same direction as inducing radiation:

 $n_U B_U$ *I<sub>V</sub>* $\psi(\nu)/4\pi$ 

*BUL*: *Einstein coefficient* of *induced emission*

#### Absorption (or Radiative Excitation)

- opposite of induced emission
- **•** *n<sub>l</sub>* atoms in lower state
- **•** radiation with frequency  $\nu = (E_U E_L)/\hbar$
- absorption profile  $\phi(\nu)$
- absorption of incoming radiation

*n*<sub>L</sub>*B*<sub>LU</sub><sub> $\nu$ </sub> $\phi(\nu)/4\pi$ 

# *BLU Einstein coefficient* of *radiative excitation*

# Continuum Radiation

# Photoionization

- transitions from bound states to continuum (*bound-free*)
- **•** energy of radiation larger than energy between bound states and continuum
- **e** proportional to incident intensity *I<sub>ν</sub>*
- **•** from level *j* photo-ionization cross-section  $\alpha_i(\nu)$
- $\alpha_i(\nu)I_\nu$  is absorbed energy per time, frequency, target atom, and solid angle
- number density of atoms *n<sup>j</sup>* in state *j*
- number of photoionizations from level *j* per time, volume, frequency interval, solid angle

# *n*<sub>i</sub> $\alpha$ <sub>*j*</sub>( $\nu$ )*l<sub>ν</sub>*/*h* $\nu$

 $\bullet$   $\alpha$ <sup>*j*(*v*)</sub> typically from measurements</sup>

### Radiative Recombination

- inverse of photoionization
- induced component proportional to intensity and spontaneous component
- $\bullet$  both components proportional to number of atoms  $n_C$  in continuum state *C*
- **•** number of radiative recombinations per time, volume, frequency interval, solid angle

$$
n_C\left(\gamma_J(\nu)+\beta_J(\nu)I_\nu\right)/h\nu
$$

**•** frequency interval corresponds to certain velocity interval of electron distribution

## Collisional Transition Rates

- **o** collisional transitions between levels of atoms
- **•** collisions have no direct influence on radiation field
- but indirectly by affecting population of atomic levels
- *Cijcollisional transition rates* from level *i* to *j*
- collisions between (fast) electrons and (slow) atoms
- ratio of collision rates  $C_{ii}/C_{ii}$  can only depend on atomic properties and particle distribution
- if velocity distribution is in LTE

$$
\frac{C_{ij}}{C_{ji}} = \frac{n_j^*}{n_i^*}
$$

# Bound-Bound and Bound-Free Collisional Transitions Rates

**•** transitions between upper and lower state

$$
\mathit{C}_{UL}=\frac{g_L}{g_U}e^{\frac{E_U-E_L}{kT}}C_{LU}
$$

**•** transitions to and from the continuum

$$
C_{Cj}=\left(\frac{\hbar^2}{2\pi m_e kT}\right)^{\frac{3}{2}}\frac{n_e g_j}{2u_C}e^{\frac{E_C-E_j}{kT}}C_{jC}
$$

# NLTE Radiative Transfer

#### Overview

- **o** departure from local thermal equilibrium, i.e. upper atmosphere
- both continuum and line absorption in NLTE because lower levels do not obey Boltzmann's law
- **•** assume Maxwellian velocity distribution because of frequent collisions
- in corona and solar wind non-Maxwellian velocity distributions
- **•** assume that all particles have Maxwellian velocity distribution with the same temperature
- collisions with electrons play dominant role for atomic processes  $\Rightarrow$  assume  $T = T_e$

### NLTE Line Source Function

**•** differentiate between line and continuum

$$
\kappa = \kappa_l + \kappa_C \quad \epsilon = \epsilon_l + \epsilon_C
$$

**•** source function for spectral line radiation

$$
S_l = \frac{n_U A_{UL} \chi}{n_L B_{LU} \phi - n_U B_{UL} \psi}
$$

*Complete Redistribution (CRD)* under special circumstances

$$
\chi(\nu)=\phi(\nu)=\psi(\nu)
$$

**•** line source function independent of frequency

$$
S_l = \frac{n_U A_{UL}}{n_L B_{LU} - n_U B_{UL}}
$$

General case: *Partial Redistribution (PRD)*

# NLTE Source Function

total source function is weighted sum of individual source functions

$$
S = \frac{\kappa_l S_l + \kappa_C S_C}{\kappa_l + \kappa_C}
$$

#### Statistical Equilibrium

- in statistical equilibrium, number of transitions from and to a level must be the same
- 2-level atom with states *L* and *U* only

$$
n_L (B_{LU} \bar{J}_{LU} + C_{LU}) = n_U (A_{UL} + B_{UL} \bar{J}_{UL} + C_{UL})
$$

• intensity averaged over frequency and solid angle assuming CRD

$$
\bar{J}_{LU}=\bar{J}_{UL}=\frac{1}{4\pi}\int\, I_{\nu}\phi(\nu)\textrm{d}\nu\textrm{d}\Omega
$$

• abundance  $\epsilon$  relative to hydrogen with number density  $n_H$ 

$$
n_L + n_U = \epsilon n_H
$$

● source function *S* depends on radiation field  $\overline{J}$ 

## Equilibria: LTE





*LTE = large collision frequency* – interior, low photosphere

- up: mostly collisional = thermal creation  $(d + e)$
- $\bullet$  down: mostly collisional = large destruction probability (a)
- photon travel: "honorary gas particles" or negligible leak

# Equilibria: NLTE





*NLTE = statistical equilibrium* – chromosphere, TR

- photon travel: non-local impinging (pumping), loss (suction)
- two-level scattering: coherent/complete/partial redistribution  $\bullet$
- multi-level: photon conversion, sensitivity transcription  $\bullet$

## Equilibria: Coronal Equilibrium







*coronal equilibrium = hot tenuous* – coronal EUV

- $\bullet$  up: only collisional = thermal creation (only d)
- down: only spontaneous (only d)
- photon travel: escape / drown / scatter bf H I, He I, He II

Relations between Einstein Coefficients

monochromatic bound-bound rates expressed in Einstein coefficients (intensity units)

> *n*<sub>*u*</sub>*A*<sub>*ul*</sub> $\chi$ (*ν*)/4π  $n_{\mu}B_{\mu}I_{\nu}\psi(\nu)/4\pi$ *n*<sub>*l*</sub>*B*<sub>*lu</sub>I<sub>v</sub>* $\phi(\nu)/4\pi$ </sub>  $n_{\mu}C_{\mu\mu}$ ,  $n_{\mu}C_{\mu\nu}$

Einstein relations (LTE and NLTE)  $\bullet$ 

$$
g_uB_{ul}=g_lB_{lu}
$$
  

$$
(g_u/g_l)A_{ul}=(2h\nu^3/c^2)B_{lu}
$$
  

$$
C_{ul}/C_{lu}=(g_l/g_u)\exp(E_{ul}/kT)
$$

# Statistical Equilibrium Equations

statistical equilibrium equations for level *j*

*Jji* ≡

$$
n_j \sum_{j \neq i}^{N} R_{ji} = \sum_{j \neq i}^{N} n_j R_{ij}
$$

$$
R_{ji} = A_{ji} + B_{ji} \overline{J_{ji}} + C_{ji}
$$

$$
\overline{J_{ji}} \equiv \frac{1}{4\pi} \int_0^{4\pi} \int_0^{\infty} I_{\nu} \phi(\nu) d\nu d\Omega
$$

# Continuum Opacity in Solar Atmosphere



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# H<sup>-</sup> Opacity

- hydrogen atom with additional electron
- **•** requires neutral hydrogen and free electrons
- binding energy: 0.754 eV (1.64 $\mu$ m)
- opacity minima at 0.36 $\mu$ m and 1.6 $\mu$ m
- major opacity in solar-like stellar  $\bullet$ photospheres
- Balmer jump at 3646 Å: electrons in second energy level are ionized
- **•** bound-free in visible, free-free in infrared and radio



#### Radiative Processes in Solar Atmosphere

• *bound-bound* –  $S_{\nu}$ ,  $\kappa_{\nu}$  NLTE? PRD?

- **•** neutral atom transitions
- **e** ion transitions
- **e** molecule transitions

• *bound-free* –  $S_{\nu}$ ,  $\kappa_{\nu}$  NLTE? always CRD

- H<sup>-</sup> optical, near-infrared
- HI Balmer, Lyman; HeI, HeII
- FeI, SiI, MgI, AlI electron donors
- *free-free*  $S_{\nu}$  always LTE,  $\kappa_{\nu}$  NLTE
	- H <sup>−</sup> infrared, sub-mm
	- HI radio

## Radiative Processes in Solar Atmosphere (continued)

- *electron scattering* always NLTE, Doppler?
	- Thomson scattering
	- Rayleigh scattering
- *collective* p.m.
	- cyclotron, synchrotron radiation
	- **•** plasma radiation

#### Radio Continuum

- **•** free-free/thermal bremsstrahlung: individual electrons being accelerated by Coulomb field of ions
- **•** main emission/absorption at radio wavelengths
- **•** incoherent radiation from optically thick source
- **•** intensity only depends on temperature of emitting electrons
- $\bullet$  intensity expressed as brightness temperature  $T_b$  (in Rayleigh-Jeans approximation)

$$
T_b=\frac{I_\nu c^2}{2k\nu^2}\ ,
$$

#### Radio Continuum (continued)

 $\bullet$  for given atmospheric stratification

$$
\mathcal{T}_b = \int_0^{\tau_{max}} \, \text{TeV} \, d\tau \;,
$$

- *T* is temperature, τ is optical depth, τ*max* >> 1 is suitably large optical depth
- **•** can be used to test models of quiet sun temperature stratification with  $T_b$  as a function of wavelength and center-to-limb variation
- problem 1: no information about optical depth
- problem 2: solar atmosphere is inhomogeneous in space and time
- **•** problem 3: intensity only depends on square of temperature
- **•** problem 4: optically thin corona contributes, too

## Full-Disk Solar Radio Observations



### Full-Disk Solar Radio Observations



Sun on Feb. 1, 1998 (from left to right)

- **1** Kitt Peak magnetogram
- 2 Nancay radioheliograph at 164 MHz
- <sup>3</sup> Nancay radioheliograph at 327 MHz
- <sup>4</sup> Nobeyama radioheliograph at 17 GHz

## Quiet Sun at 2 cm



Magnetogram of quiet Sun close to disk center with contour lines showing 2-cm radio emission intensity as observed with VLA

## Rapid Variation of 2-cm Radio Signal



Two radio maps of the quiet Sun obtained with the VLA at 2 cm spaced 2 minutes apart

#### Connection between Radio and X-Ray Emission



Comparison of network flares in soft X-ray and radio waves at 2 cm (from Krucker et al. 1997)