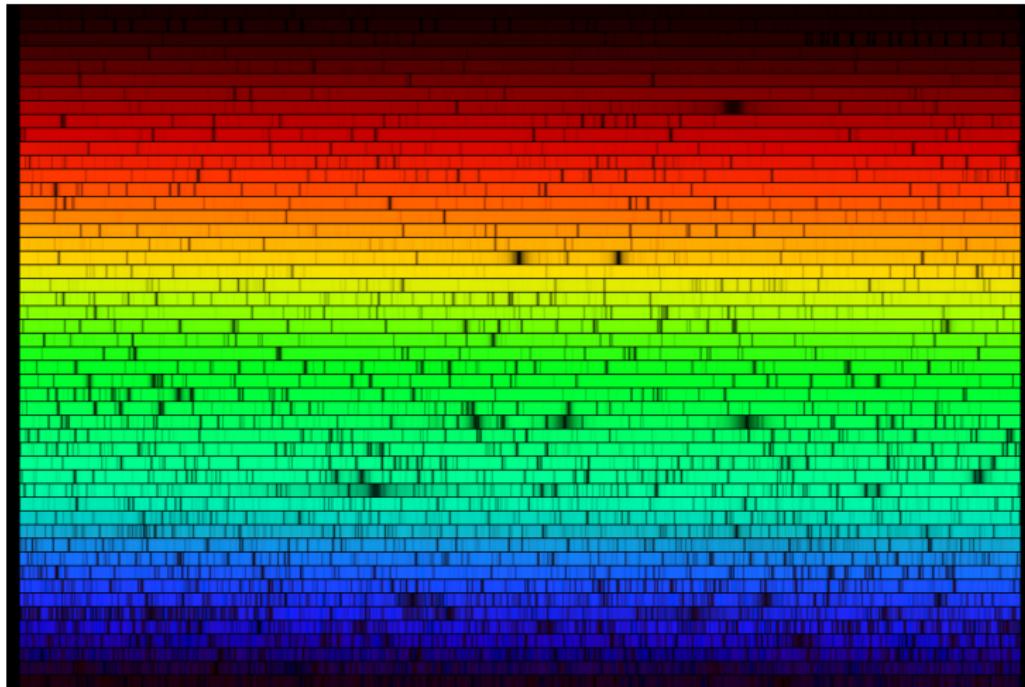


## Outline

- ① Motivation
- ② Radiative Transfer Equation
- ③ LTE Line Formation
- ④ Statistical Equilibrium

## The Visible Solar Spectrum



N.A.Sharp, NOAO/NSO/Kitt Peak FTS/AURA/NSF

## What can be extracted from spectral lines?

- elemental and molecular compositions (abundances)
- atmospheric properties: temperature, pressure, density
- velocity fields: rotation, convection, turbulence, gravity
- magnetic and electrical fields

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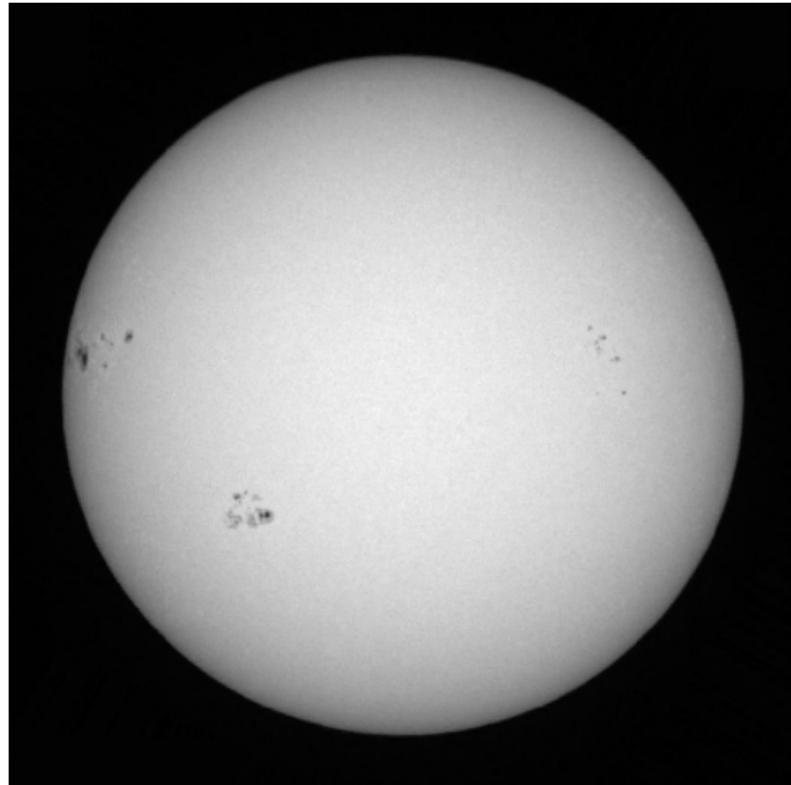
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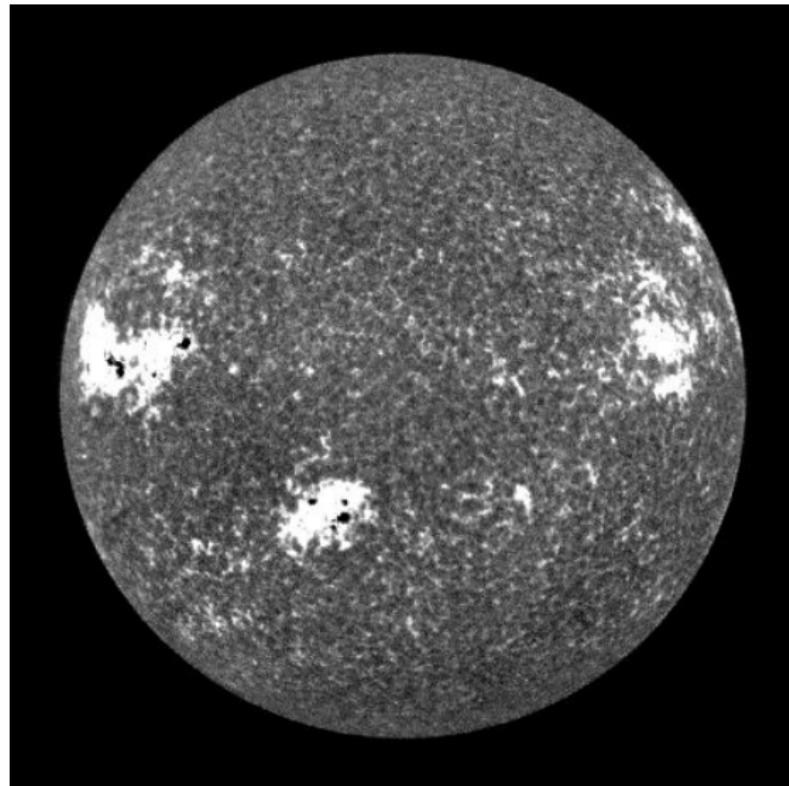
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## White-Light



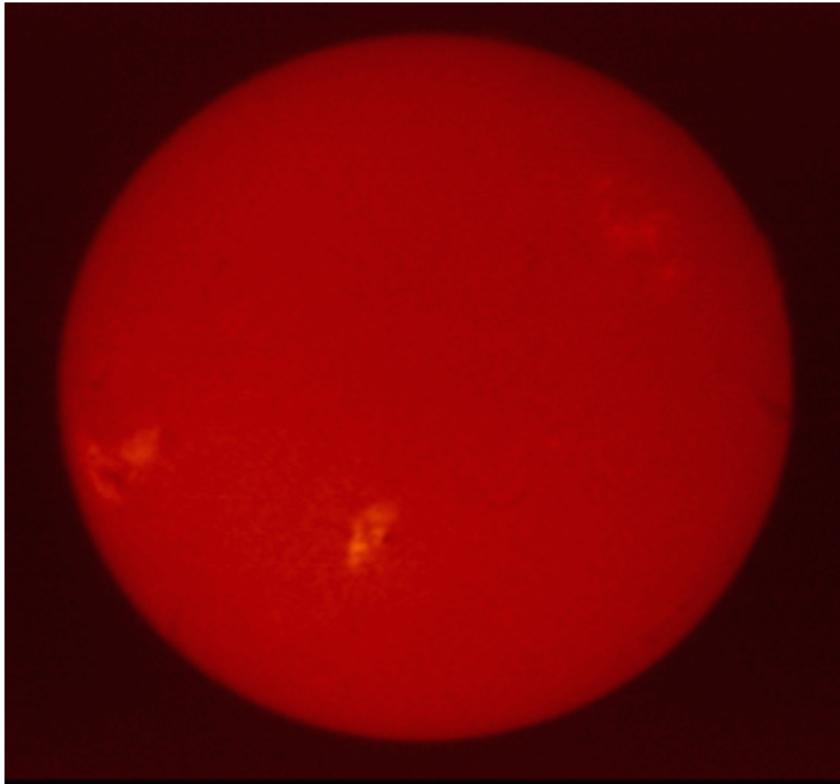
Courtesy of Big Bear Solar Observatory

## Calcium II K



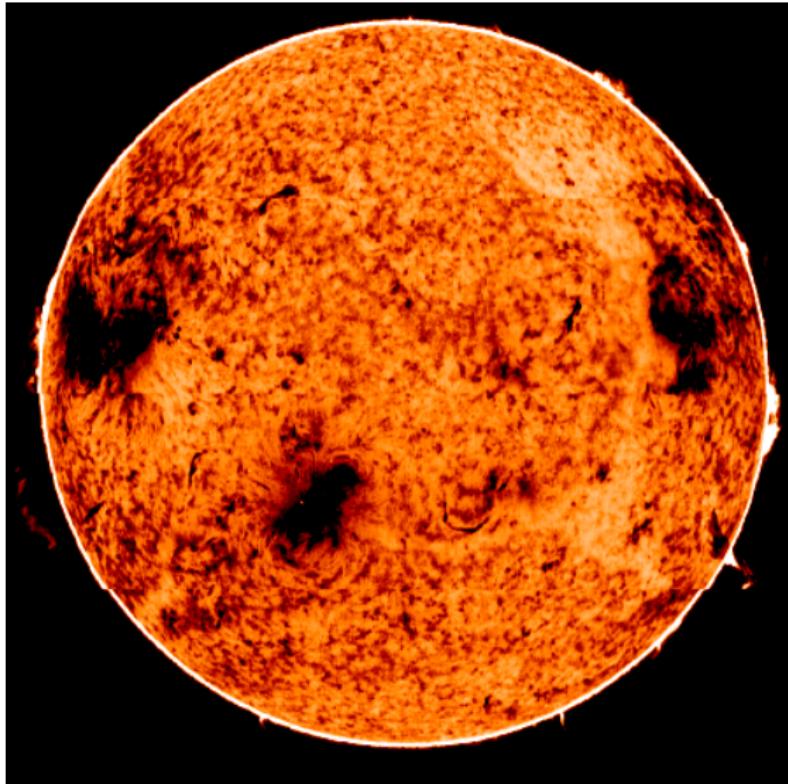
Courtesy of Big Bear Solar Observatory

H $\alpha$



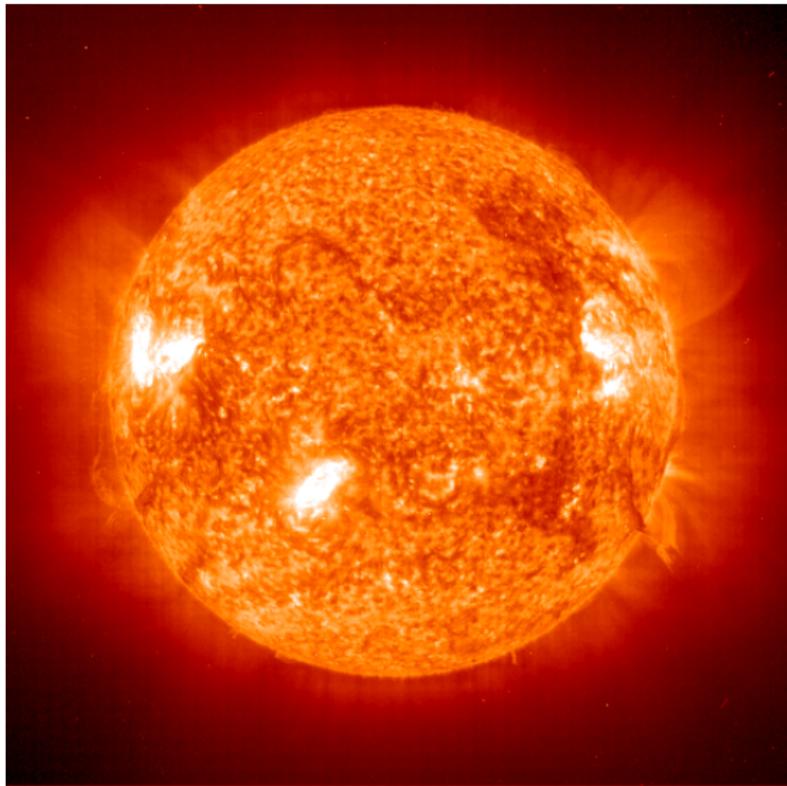
Courtesy of Learmonth Solar Observatory

## HeI 1083.0 nm



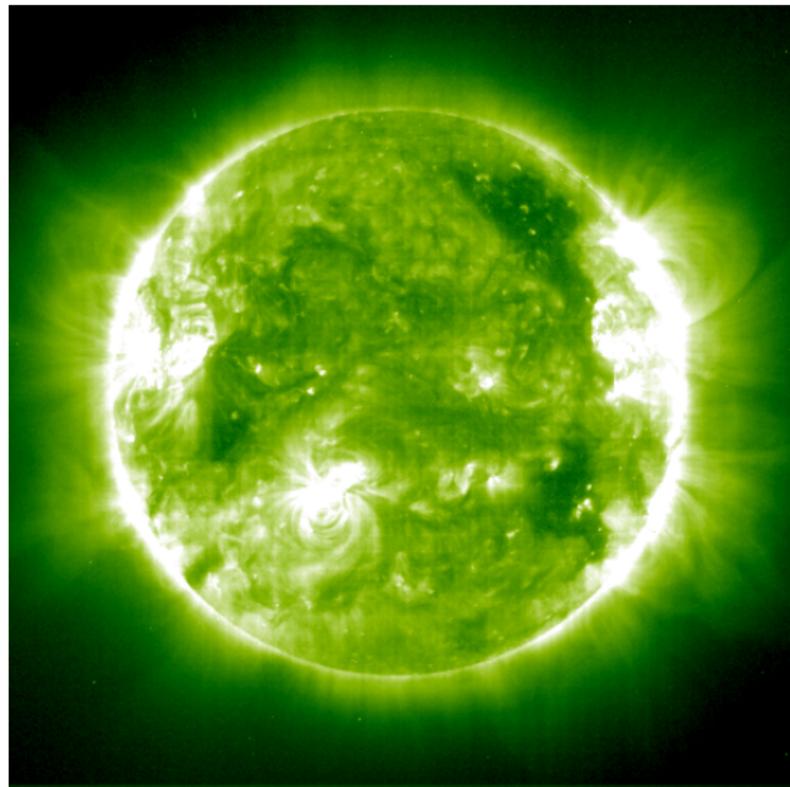
Courtesy of National Solar Observatory

# Hell 304 Å



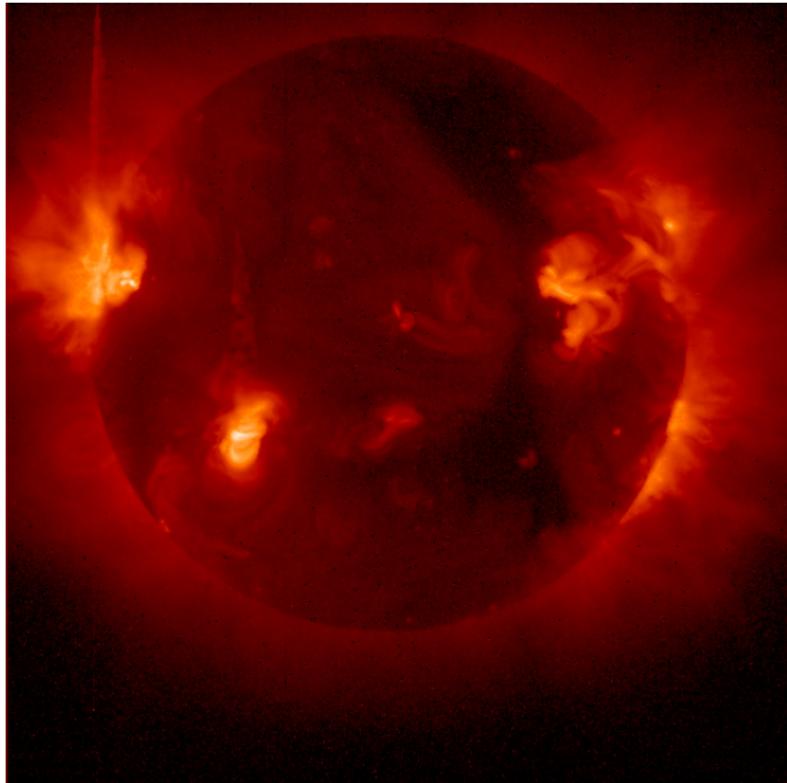
Courtesy of SOHO/EIT consortium

# FeXII 195 Å



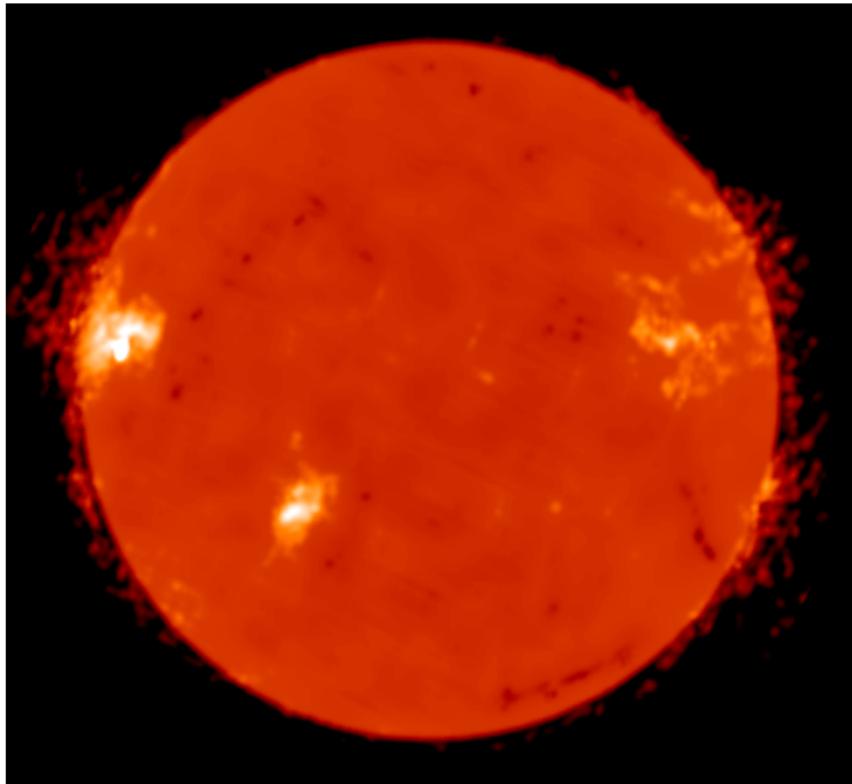
Courtesy of SOHO/EIT consortium

# X-ray



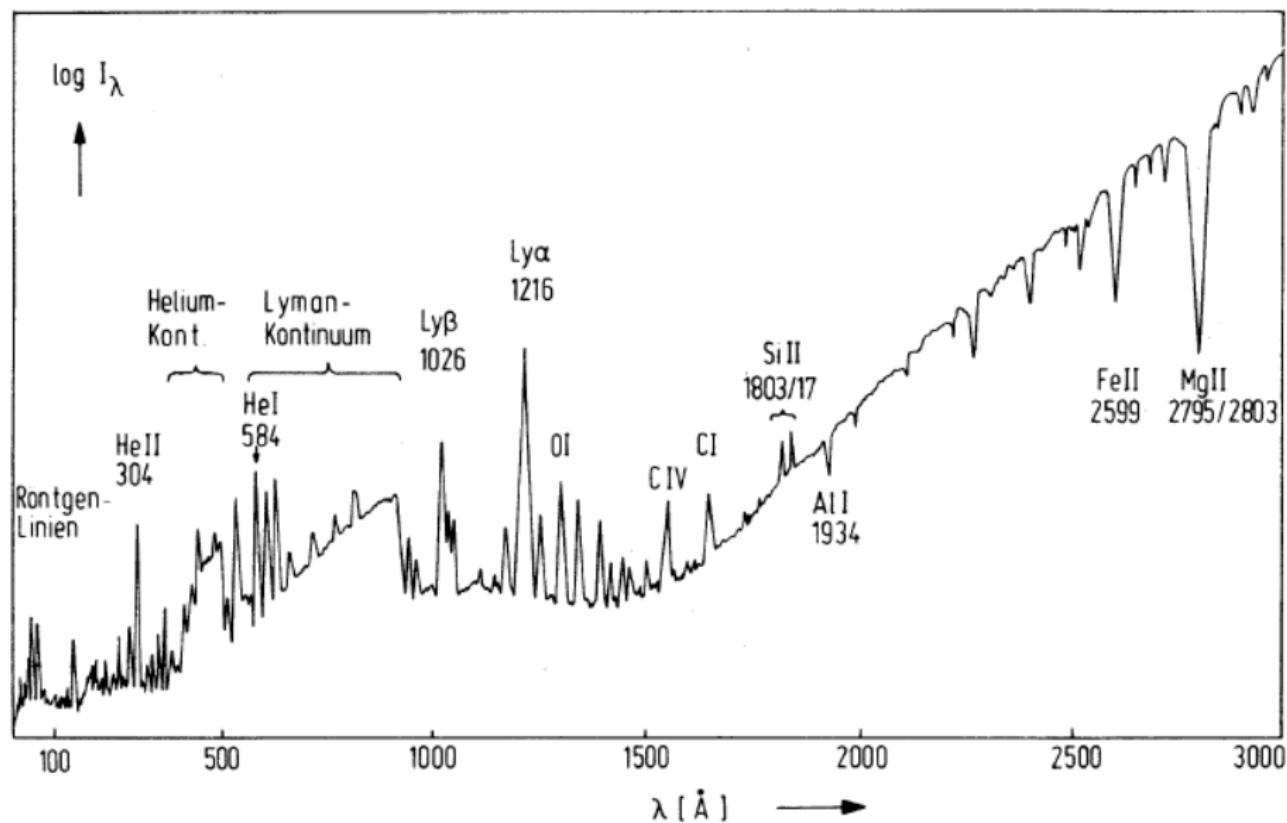
Courtesy of Yohkoh mission

## Radio at 1.7 cm



Courtesy of Nobeyama Radio Observatory

# Solar Spectrum from X-rays to UV



## Local Emission



- local emission by volume:  $dI_\nu = \epsilon_\nu ds$

$\nu$  light frequency

$I_\nu$  intensity

$dI_\nu$  change in intensity

$ds$  infinitesimal path length

$s$  geometrical path length along the beam

$\epsilon_\nu$  emission coefficient (by volume)

- local emission by mass:  $dI_\nu = \epsilon_\nu \rho ds$

$\rho$  density

$\epsilon_\nu$  emission coefficient (by mass)

## Local Emission



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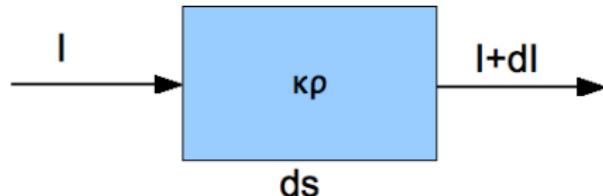
$\epsilon_\nu$  emission coefficient (by volume)

- local emission by mass:  $dI_\nu = \epsilon_\nu \rho ds$

$\rho$  density

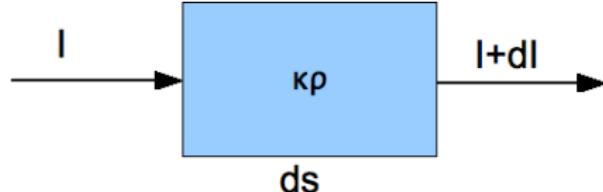
$\epsilon_\nu$  emission coefficient (by mass)

## Local Absorption



- local absorption by volume:  $dI_\nu = -\sigma_\nu n l_\nu ds = -\alpha_\nu l_\nu ds$ 
  - $dI_\nu$  change in intensity
  - $l_\nu$  intensity
  - $ds$  infinitesimal path length
  - $\sigma_\nu$  cross-section per particle
  - $n$  absorber density in particles per volume
  - $\alpha_\nu = \sigma_\nu n$  extinction coefficient
- local absorption by mass:  $dI_\nu = -\kappa_\nu \rho l_\nu ds$ 
  - $\kappa_\nu$  absorption coefficient
  - $\rho$  density

## Local Absorption



- local absorption by volume:  $dI_\nu = -\sigma_\nu n l_\nu ds = -\alpha_\nu l_\nu ds$ 
  - $dI_\nu$  change in intensity
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- local absorption by mass:  $dI_\nu = -\kappa_\nu \rho l_\nu ds$ 
  - $\kappa_\nu$  absorption coefficient
  - $\rho$  density

## Optical Depth

- local absorption by mass:  $dI_\nu(s) = -\kappa_\nu(s)\rho(s)I_\nu(s)ds$
- dividing by intensity  $I_\nu(s)$

$$\frac{dI_\nu(s)}{I_\nu(s)} = d(\ln I_\nu(s)) = -\kappa_\nu(s)\rho(s)ds = -d\tau_\nu$$

- optical depth*

$$\tau_\nu(s) = \int_0^s \kappa_\nu(s')\rho(s')ds'$$

- integration of both sides from 0 to  $s_0$  of  $d(\ln I_\nu(s)) = -d\tau_\nu$  gives

$$\ln I_\nu(s) - \ln I_\nu(0) = \ln \frac{I_\nu(s)}{I_\nu(0)} = -\tau_\nu(s)$$

- intensity as a function of optical depth

$$I_\nu(s) = I_\nu(0)e^{-\tau_\nu(s)}$$

# Radiative Transfer Equation

- local emission and absorption by mass:

$$dI_\nu(s) = \epsilon_\nu(s)\rho(s)ds$$

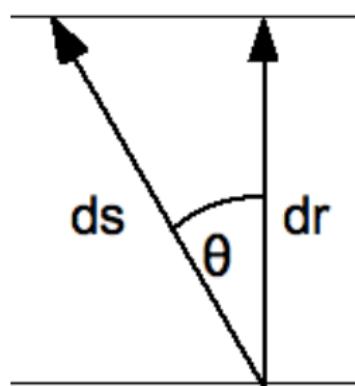
$$dI_\nu(s) = -\kappa_\nu(s)\rho(s)I_\nu(s)ds$$

- optical depth at frequency  $\nu$*

$$d\tau_\nu = -\kappa_\nu\rho dr$$

- $ds = dr/\mu$  with  $\mu = \cos\theta$
- radiative transfer equation

$$\mu \frac{dI_\nu}{d\tau_\nu} = I_\nu - \frac{\epsilon_\nu}{\kappa_\nu} = I_\nu - S_\nu$$



$S_\nu$  source function

## Emergent Intensity

- radiative transfer equation

$$\mu \frac{dI_\nu}{d\tau_\nu} = I_\nu - S_\nu$$

- formal solution

$$I_\nu(\tau_\nu, \mu) = I_\nu(\tau_{0\nu}, \mu) e^{-(\tau_{0\nu} - \tau_\nu)/\mu} + \frac{1}{\mu} \int_{\tau_\nu}^{\tau_{0\nu}} S_\nu(\tau'_\nu) e^{-\frac{\tau'_\nu - \tau_\nu}{\mu}} d\tau'_\nu$$

- emergent intensity by integration from  $\tau_\nu = 0$  to  $\tau_{0\nu} = \infty$

$$I_\nu(\tau_\nu = 0, \mu) = \frac{1}{\mu} \int_0^\infty S_\nu(\tau_\nu) e^{\frac{-\tau_\nu}{\mu}} d\tau_\nu$$

- calculate emergent intensity from model atmosphere
- derive source function from  $I_\nu(\mu)$

## Solution for Constant Source Function

- radiative transfer equation for  $\mu = 1$ , leaving out subscript  $\nu$

$$\frac{dI}{d\tau} = I - S$$

- with  $S$  constant along path and  $I(\tau = 0) = I_0$ , formal solution simplifies to

$$I = I_0 e^{-\tau} + S(1 - e^{-\tau})$$

- with no incoming light, i.e.  $I_0 = 0$

$$I = S(1 - e^{-\tau})$$

# Optically Thick and Thin

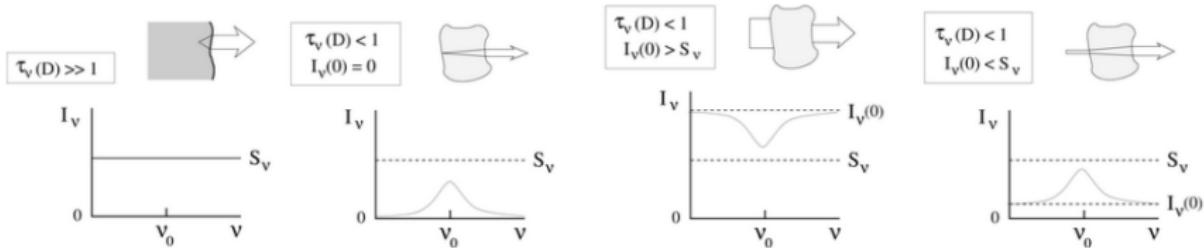
- intensity for constant source function:  $I = S(1 - e^{-\tau})$
- $\tau \ll 1$ : *optically thin* ( $e^x = 1 + x - x^2/2 + \dots$ )

$$I = \tau S$$

- $\tau \gg 1$ : *optically (very) thick*

$$I = S$$

black body radiation in LTE independent of  $\kappa_\nu$



Courtesy R.J.Rutten

## Eddington-Barbier Relation

- emergent intensity

$$I_\nu(\tau_\nu = 0, \mu) = \frac{1}{\mu} \int_0^\infty S_\nu(\tau_\nu) e^{\frac{-\tau_\nu}{\mu}} d\tau_\nu$$

- assume  $S_\nu(\tau_\nu) = a_\nu + b_\nu \tau_\nu$
- emergent intensity

$$I_\nu(\tau_\nu = 0, \mu) = a_\nu + b_\nu \mu = S_\nu(\tau_\nu = \mu)$$

- emergent flux through integration over solid angle

$$\pi F_\nu = \pi(a_\nu + \frac{2}{3}b_\nu) = \pi S_\nu(\tau_\nu = \frac{2}{3})$$

## Thermodynamic Equilibrium

- *thermal equilibrium*: single temperature  $T$  describes thermodynamic state everywhere
- ionization according to Saha equations for same  $T$
- excitation according to Boltzmann equations for same  $T$
- radiation field is homogeneous, isotropic black-body according to Kirchhoff-Planck equation for same  $T$

$$B_\nu(T) = \frac{2h\nu^3}{c^2} \frac{1}{e^{\frac{h\nu}{kT}} - 1}$$

- temperature gradients are not allowed!
- unrealistic for stellar atmosphere

## Local Thermodynamic Equilibrium

- concept of *local thermodynamic equilibrium* (LTE) where single temperature  $T$  is sufficient to locally describe gas and radiation field
- as a consequence of the Kirchhoff law:

$$S_\nu = B_\nu(T)$$

- LTE: thermalization length must be smaller than length scale of temperature change
- thermalization: particle/photon loses its identity in distribution
- assumption of LTE depends on spectral lines
- rule of thumb: continuum in visible and infrared, weak lines, and wings of stronger lines are formed in LTE, but not line cores and strong spectral lines
- LTE: absorption in a single line  $\Rightarrow$  black-body emission

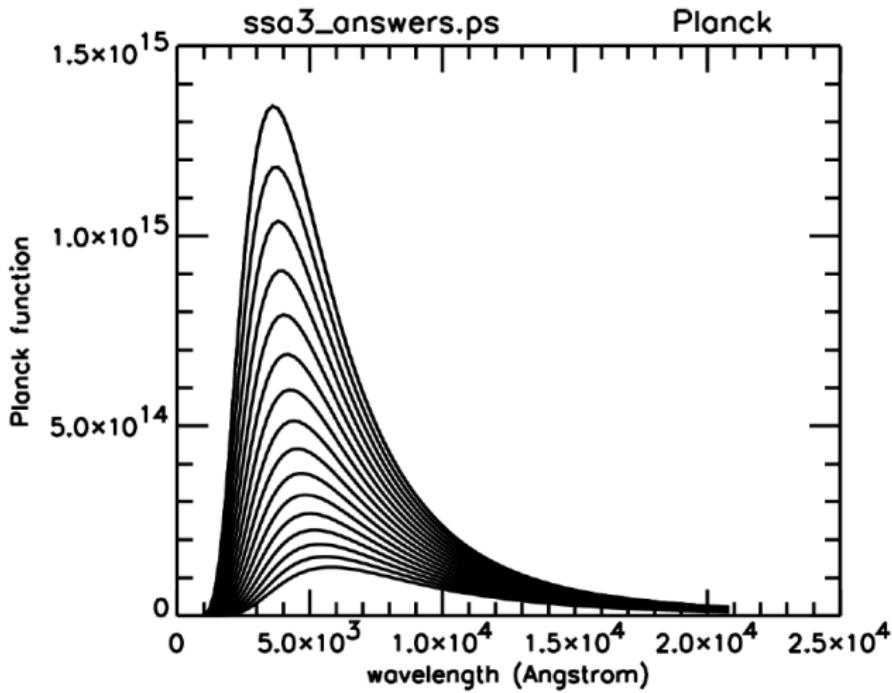
## non-LTE

- non-LTE (NLTE) often when radiative processes are rare, i.e. photons travel large distances from areas where temperature is different
- single temperature is inadequate to describe radiation field, ionization stages, and atomic levels
- in most cases electrons are still Maxwell-distributed with *electron temperature*  $T_e$  because of frequent collisions
- but population of atomic levels depends on radiative processes, which may be rare; levels described by *statistical equations*

# Black-Body Radiation

Planck:

$$B_\nu(T) = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/kT} - 1}$$



Courtesy R.J.Rutten

## Black-Body Approximations

- Planck:

$$B_\nu(T) = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/kT} - 1}$$

- Wien Approximation:

$$e^{h\nu/kT} \gg 1 : B_\nu(T) \approx \frac{2h\nu^3}{c^2} e^{-h\nu/kT}$$

- Rayleigh-Jeans Approximation:

$$e^{h\nu/kT} \ll 1 : B_\nu(T) \approx \frac{2\nu^2 k T}{c^2}$$

## Absorption Lines in LTE

- total optical depth given by continuum and line absorption coefficients

$$d\tau_\nu = d\tau_C + d\tau_I = (1 + \eta_\nu) d\tau_C$$

- with

$$\eta_\nu = \frac{\kappa_I(\nu)}{\kappa_C}$$

- emergent intensity from before

$$I_\nu(\tau_\nu = 0, \mu) = \frac{1}{\mu} \int_0^\infty S_\nu(\tau_\nu) e^{\frac{-\tau_\nu}{\mu}} d\tau_\nu$$

- emergent intensity at disk center ( $\mu = 1$ ) under LTE

$$I_\nu(\tau = 0, \mu = 1) = \int_0^\infty (1 + \eta_\nu) B_\nu e^{(-\int_0^\tau (1 + \eta_{\nu'}) d\tau')} d\tau$$

$\tau = \tau_C$ : continuum optical depth

## Line Absorption Coefficient

- line broadening mechanisms:
  - natural line width (finite lifetime of upper state)
  - Doppler broadening (random thermal motion)
  - collisional broadening
  - Stark effect (H only)
  - microturbulent velocity
- convolution of Lorentz and Gaussian distributions

$$\phi(\nu) = \frac{1}{\sqrt{\pi} \Delta\nu_D} H(a, \nu)$$

with Voigt function

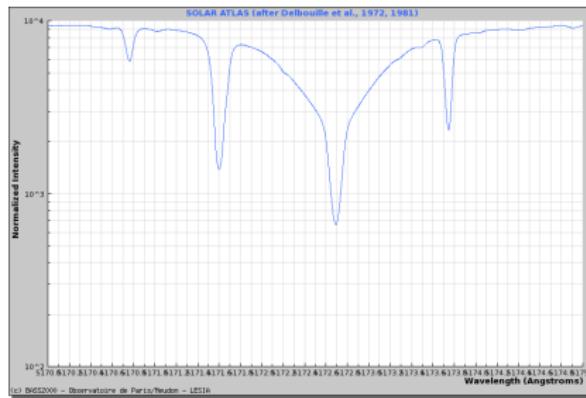
$$H(a, \nu) = \frac{a}{\pi} \int_{-\infty}^{\infty} \frac{e^{-y^2}}{(\nu - y)^2 + a^2} dy$$

# Voigt Function

- Voigt function

$$H(a, \nu) = \frac{a}{\pi} \int_{-\infty}^{\infty} \frac{e^{-y^2}}{(\nu - y)^2 + a^2} dy$$

- special case:  $H(a \ll 1, 0) \approx 1$
- normalized profile:  $\int_0^{\infty} \phi(\nu) d\nu = 1$
- Gaussian dominates in cores, Lorentzian in wings



## Microturbulence and Macroturbulence

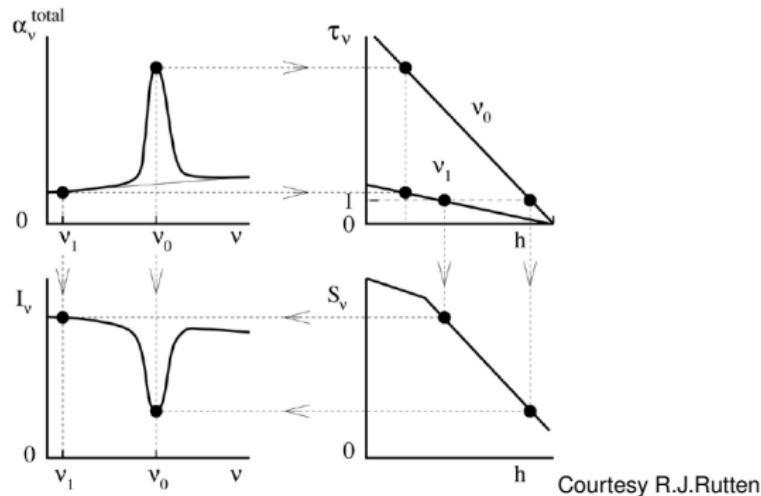
- convective motions in solar atmospheres on spatial scales smaller than range of optical depth over which spectral line is formed
- add microturbulent fudge factor to Doppler broadening

$$\Delta\nu_D \equiv \frac{\nu_0}{c} \sqrt{\frac{2RT}{A} + \xi_t^2}$$

- convective motions on scales larger than formation range of spectral lines
- convolve complete line profile with Gaussian profile
- both macro- and micro-turbulence are not needed anymore in realistic 3D atmosphere models

# Simple Absorption Line

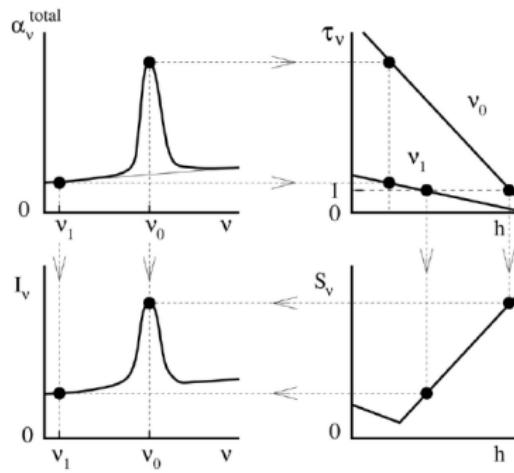
- absorption: transition gives peak in  $\kappa = \kappa_C + \kappa_I = (1 + \eta_\nu) \kappa_C$
- optical depth: height-invariant  $\kappa \Rightarrow$  linear  $(1 + \eta_\nu) \tau_C$
- source function: same for line and continuum
- intensity: Eddington-Barbier (nearly) exact



Courtesy R.J.Rutten

## Simple Emission Line

- extinction: transition process gives peak in  $\kappa = \kappa_C + \kappa_I = (1 + \eta_\nu) \kappa_C$
- optical depth: height-invariant  $\kappa \Rightarrow$  linear  $(1 + \eta_\nu) \tau_C$
- source function: same for line and continuum
- intensity: Eddington-Barbier (nearly) exact

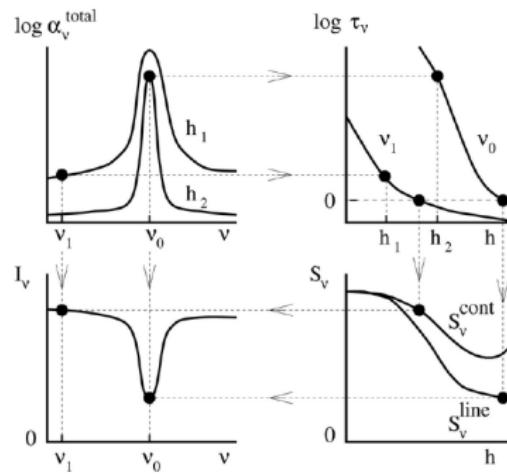


Courtesy R.J.Rutten

## Realistic Absorption Line

- extinction: transition peak lower and narrower at larger height
- optical depth: near-log-linear inward increase
- source function: split for line and continuum
- intensity: Eddington-Barbier for

$$S_{\nu}^{\text{total}} = (\kappa_C S_C + \kappa_I S_I) / (\kappa_C + \kappa_I) = (S_C + \eta_{\nu} S_I) / (1 + \eta_{\nu})$$



Courtesy R.J.Rutten