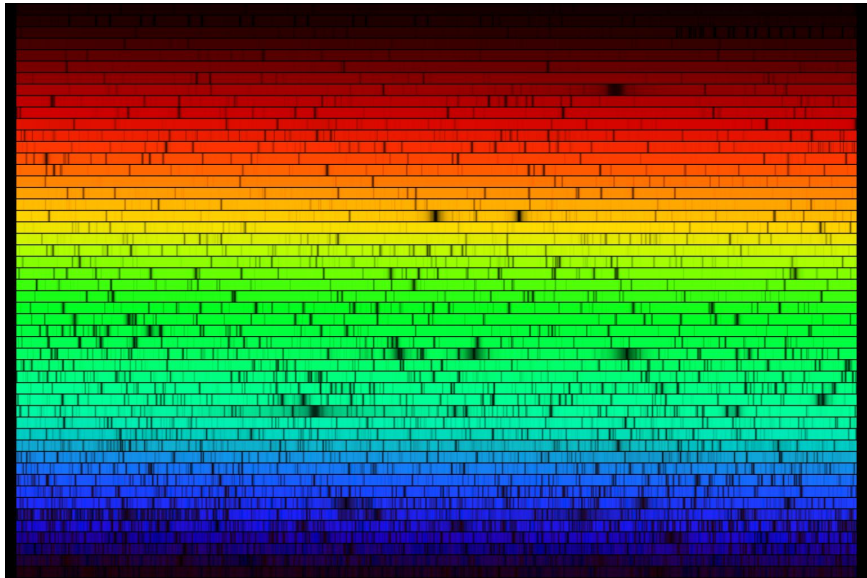


Outline

- 1 Astrophysical Spectroscopy
- 2 Broadband Filters
- 3 Fabry-Perot Filters
- 4 Interference Filters
- 5 Prism Spectrograph
- 6 Grating Spectrograph
- 7 Fourier Transform Spectrometer

The Solar Spectrum



N.A.Sharp, NOAO/NSO/Kitt Peak FTS/AURA/NSF

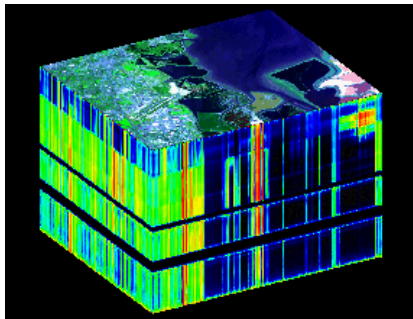
What can we learn from spectra?

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What can we learn from spectra?

- chemical composition (elemental and molecular abundances)
- velocity (e.g. redshift, exoplanets, gravitational redshift)
- temperature, density, pressure, turbulent velocities
- magnetic and electrical fields
- gravity

Basic Problem of Optical Spectroscopy



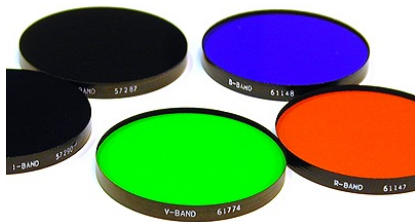
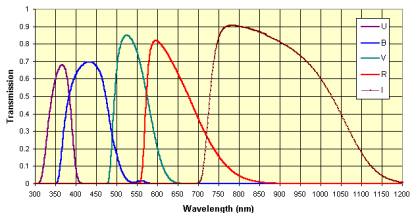
www.csr.utexas.edu/projects/rs/hrs/hyper.html

- two spatial/angular dimensions, one wavelength dimension
- detectors are only two-dimensional
- need to slice *hyperspectral cube*
- will have to *scan* in one dimension
- filters: scan in wavelength
- slit spectrograph: scan in one spatial dimension
- or *multi-object spectroscopy* and *integral field units*

Different Types of Color Filters

- dyed gelatin (Kodak Wratten)
 - advantages: thin, cheap, large sizes
 - disadvantages: limited optical quality
- colored glass (Schott, Corning)
 - advantages: stable, rugged, high transmission
 - disadvantages: limited bandpasses, limited sizes
- interference filters
 - advantages: very narrow filters, arbitrary bandpass shape and location
 - disadvantages: expensive, very limited sizes, thermal effects, aging

UBVRI Filter Characteristics



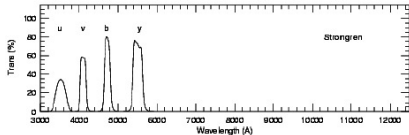
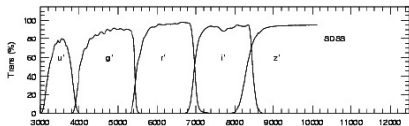
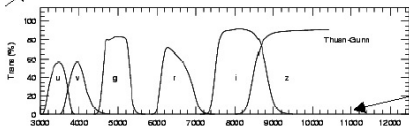
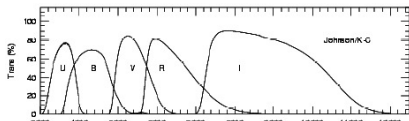
www.sbig.com/products/filters.htm

- UBV by Johnson and Morgan (1953)
- VRIJKLMNQ (infrared) by Johnson (1960)
- (combinations of) glass filters
- invented to classify stars with photomultipliers
- zero point of B-V and U-B color indices defined to be zero for A0 V stars

Limitations of UBVRI Photometry

- limited spectral resolution
- effective central wavelength changes with color of star
- star's magnitudes and color depend on the star's color
- short-wavelength side of U filter extends below atmospheric transmission cutoff
- properties of sky define width of bandpass, not filter
- no clean separation of information from different filters
- different detectors have different sensitivities
- today: Bessel or Cron/Cousins UBVRI with CCDs

Other Filter Systems

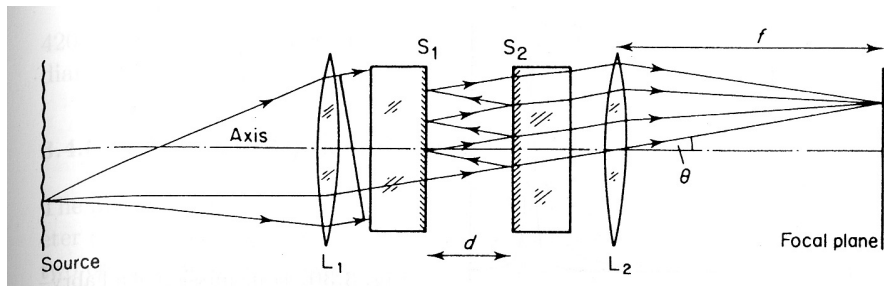


http://www.icolick.org/bolte/AY257/ay257_2.pdf

- other filter systems have less overlap and/or higher transmission
- Johnson system designed to measure properties of stars
- Thuan-Gunn filters for faint galaxy observations
- Strömgren has better sensitivity to stellar properties (metallicity, temperature, surface gravity)
- Sloan Digital Sky Survey (SDSS) for faint galaxy classification

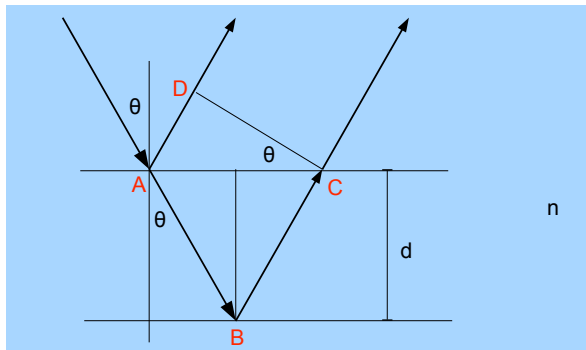
Fabry-Perot Filter

Tunable Filter



- invented by Fabry and Perot in 1899
- interference between partially transmitting plates containing medium with index of refraction n
- angle of incidence in material θ , distance d
- path difference between successive beams: $\Delta = 2nd \cos \theta$

Plane Wave Path Length for Oblique Incidence



- consider theoretical reflections in single medium
- need to correct for plane wave propagation
- path length for “reflected light”: $\bar{AB} + \bar{BC} - \bar{AD}$

$$\frac{2d}{\cos \theta} - 2d \tan \theta \cdot \sin \theta = 2d \frac{1 - \sin^2 \theta}{\cos \theta} = 2d \cos \theta$$

Fabry Perot continued

- path difference between successive beams: $\Delta = 2nd \cos \theta$
- phase difference: $\delta = 2\pi\Delta/\lambda = 4\pi nd \cos \theta/\lambda$
- incoming wave: $e^{i\omega t}$
- intensity transmission at surface: T
- intensity reflectivity at surface: R
- outgoing wave is the *coherent sum* of all beams

$$Ae^{i\omega t} = Te^{i\omega t} + TRe^{i(\omega t + \delta)} + TR^2e^{i(\omega t + 2\delta)} + \dots$$

- write this as

$$A = T(1 + Re^{i\delta} + R^2e^{i2\delta} + \dots) = \frac{T}{1 - Re^{i\delta}}$$

Fabry Perot continued

- emerging amplitude

$$A = \frac{T}{1 - Re^{i\delta}}$$

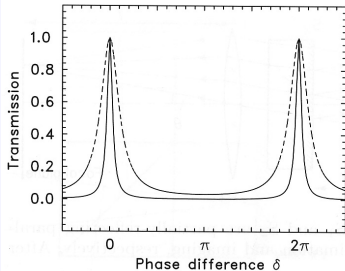
- emerging intensity is therefore

$$I = AA^* = \frac{T^2}{1 - 2R \cos \delta + R^2} = \frac{T^2}{(1 - R)^2 + 4R \sin^2 \frac{\delta}{2}}$$

- with $I_{\max} = T^2 / (1 - R)^2$

$$I = I_{\max} \frac{1}{1 + \frac{4R}{(1-R)^2} \sin^2 \frac{\delta}{2}}$$

Fabry Perot Properties



$$I = I_{\max} \frac{1}{1 + \frac{4R}{(1-R)^2} \sin^2 \frac{\delta}{2}}$$

- transmission is periodic
- distance between transmission peaks, *free spectral range*

$$\text{FSR} = \frac{\lambda_0^2}{2nd \cos \theta}$$

- Full-Width at Half Maximum (FWHM)
 $\Delta\lambda$

$$\Delta\lambda = \text{FSR}/F$$

- *finesse* F

$$F = \frac{\pi\sqrt{R}}{1-R}$$

Interference filters

- *thin film*:

- layer with thickness $\lesssim \lambda$
- extends in 2 other dimensions $\gg \lambda$

- reflection, refraction at all interfaces

- layer thickness $d_i \lesssim \lambda \Rightarrow$
interference between reflected and refracted waves

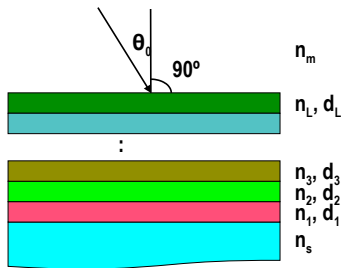
- L layers of thin films like Fabry-Perots: *thin film stack*

- *substrate* (index n_s) and incident medium (index n_m) have infinite thickness

- produced by evaporating material in vacuum and depositing on glass

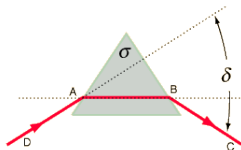
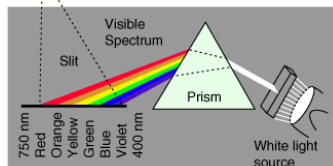
- can be tailored to almost any specifications

- sensitive to temperature, humidity, angle of incidence



Prism Spectrograph

Radio	Far IR, Micro-wave	IR	UV	x-ray γ -ray
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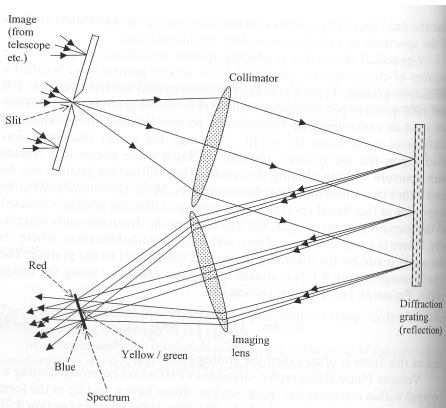
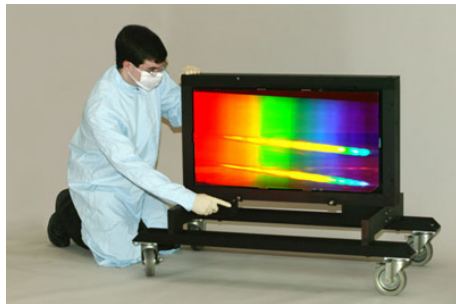


$$\frac{n_{prism}}{n_0} = \frac{\sin \frac{1}{2}(\sigma + \delta)}{\sin \frac{1}{2}\sigma}$$

hyperphysics.phy-astr.gsu.edu/Hbase/geoopt/prism.html

- first type of spectrograph because prism is easy to make
- needs glass with high dispersion (large index of refraction variation with wavelength)
- limited spectral resolution, wavelength coverage
- used as *predisperser* or *cross-disperser*

Introduction



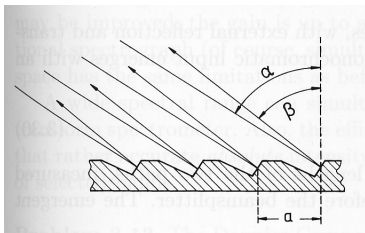
- first produced by American astronomer David Rittenhouse in 1785, but had no further impact
- reinvented by Joseph von Fraunhofer in 1821

Reflection Gratings



- Fraunhofer gratings good enough to see solar absorption lines
- Fraunhofer labeled absorption lines with letters (A,B,C,D, ...)
- since 1950, Richardson Grating Laboratory has produced large gratings of exceptional quality with replication process

Grating Equation



- grating equation: $m\lambda = a(\sin \alpha + \sin \beta)$
- m is order of diffraction
- angular dispersion

$$\frac{d\beta}{d\lambda} = \frac{m}{a \cos \beta}$$

- *blazed* to maximize intensity in one direction
- typical grating: 632 lines per mm, used at 60 degrees

Grating Resolving Power

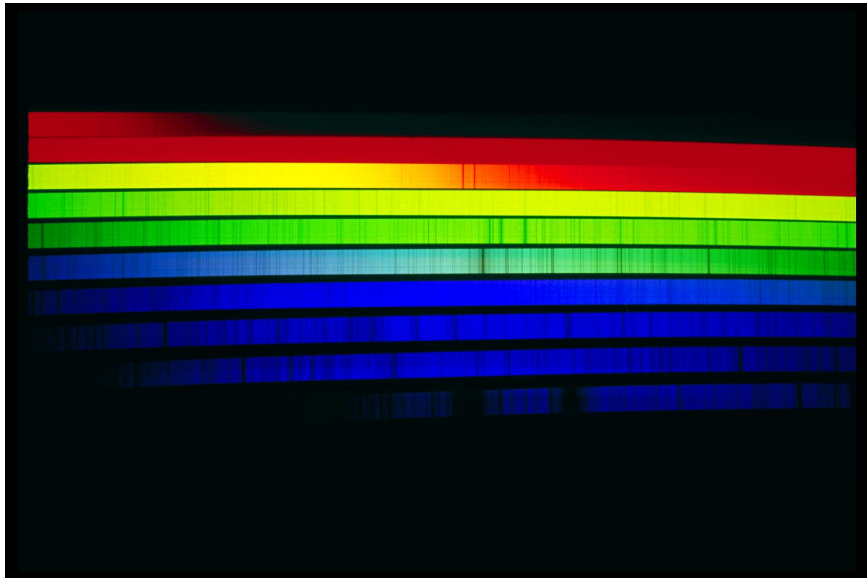
- resolving power is

$$\frac{\lambda}{\delta\lambda} = nm$$

where n is the total number of grooves

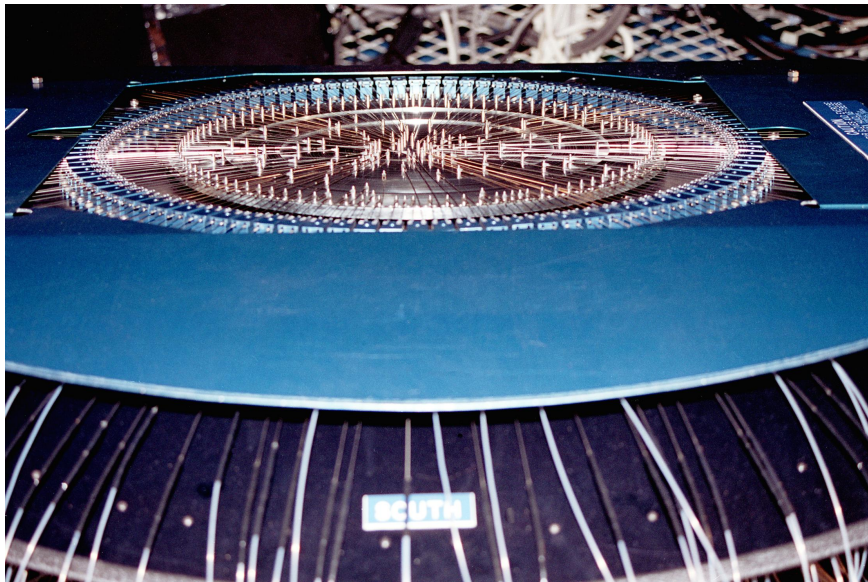
- Echelle gratings are used in high orders (e.g. $m=42$)
- many orders and wavelengths combine to the same angle \Rightarrow overlapping grating orders

Cross-Dispersed Echelle



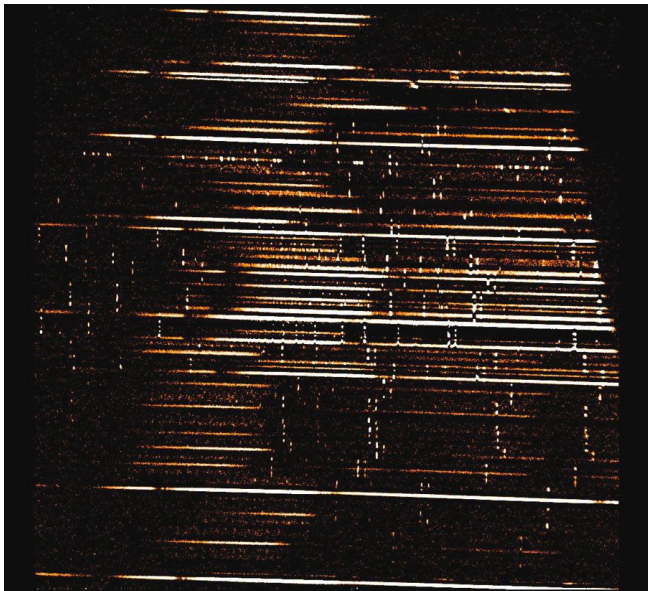
Solar Spectrum, NSO/AURA/NSF

Multi-Object Spectrographs



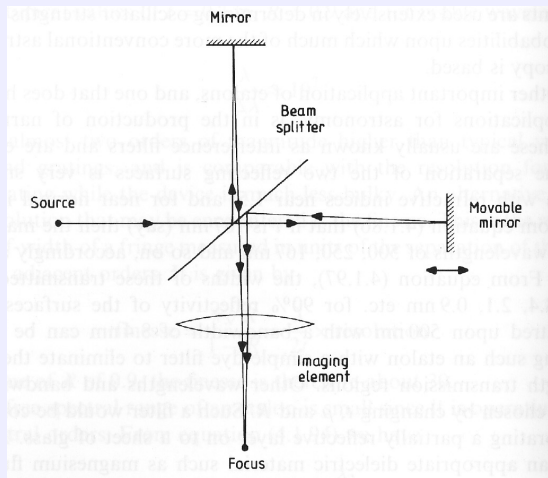
Hydra fiber-fed bench spectrograph, NSO/AURA/NSF

Multi-Object Spectrograms



Near-infrared FLAMINGOS spectra, NSO/AURA/NSF

Fourier Transform Spectrometer (FTS)



Operating Principle

- Michelson interferometer with variable path length difference
- monochromatic input wave with $k = 2\pi/\lambda$

$$e^{i(\omega t - kx)}$$

- at output for balanced beams and perfect mirrors, beam splitters

$$A = \frac{1}{2} e^{i\omega t} (e^{-ikx_1} + e^{-ikx_2})$$

- measured intensity at output is

$$AA^* = \frac{1}{2} (1 + \cos k(x_2 - x_1))$$

- with $x = x_2 - x_1$ and I_0 term that is independent of x , *incoherent sum* of intensities and intensity distribution $B(k)$

$$I(x) = I_0 + \frac{1}{2} \int_0^\infty B(k) \cos kx dk$$

- recover $B(k)$ by measuring $I(x)$ and cosine transform of $I(x) - I_0$
- either change path length with constant velocity or by step-scanning

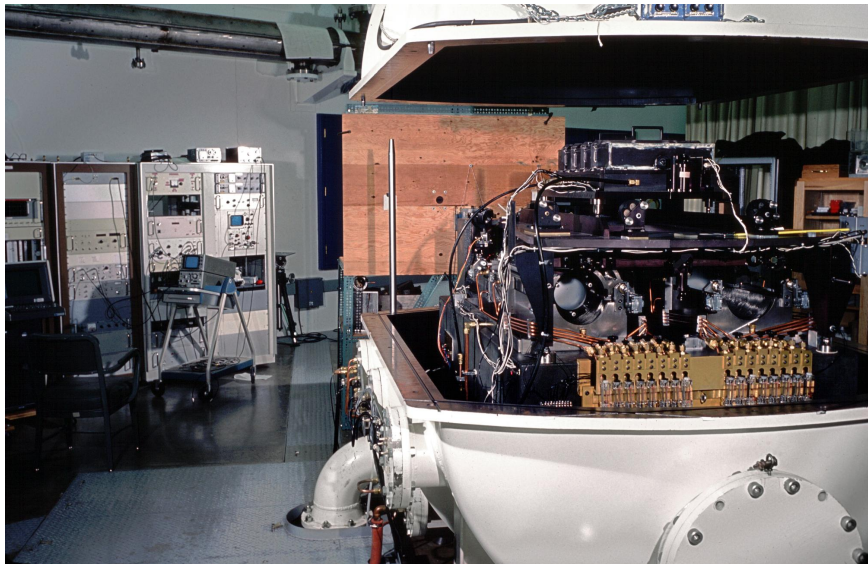
FTS Properties

- imaging Fourier Transform spectrometer is possible
- path length is measured with stabilized laser interferometers
- yields absolute wavelength of spectral lines, limited only by accuracy of path length measurement
- spectral resolution given by largest path-length difference

$$\Delta k = 2\pi/L$$

- 1-m path length difference: $\lambda/\Delta\lambda = 2 \cdot 10^6$
- high spectral resolution independent of aperture size
- wide spectral range is observed simultaneously

1-m Kitt Peak FTS



Tom Eglin, Mark Hanna, NOAO/AURA/NSF