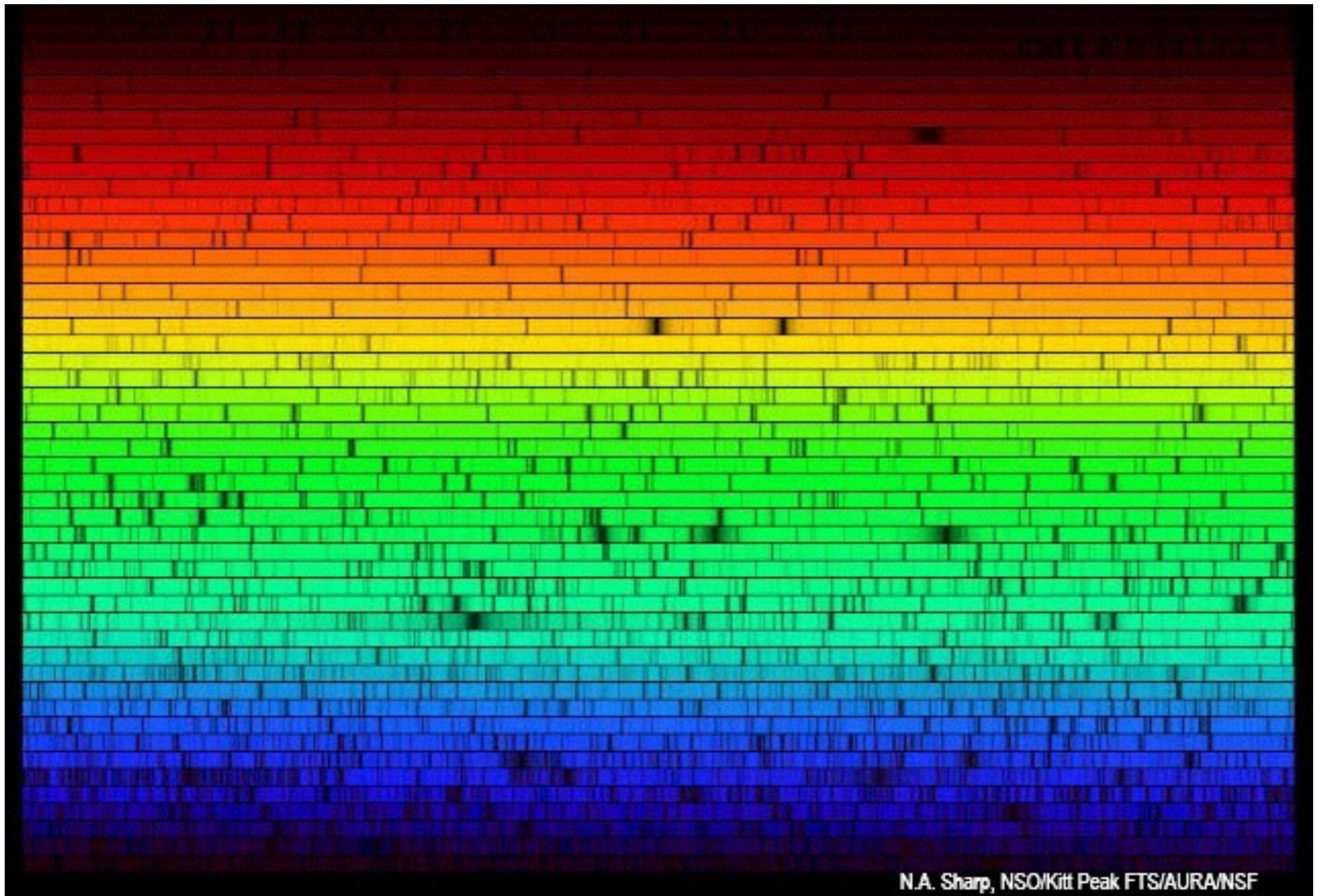


# **Spectrographs**

**OAI 2015 - Lecture 10**

**Matthew Kenworthy // Leiden Observatory**

# The Solar Spectrum



# Design drivers for spectrographs

What **spectral resolution** do you need?

Spectral resolution  $R = \frac{\lambda}{\Delta\lambda}$

What **bandwidth** (wavelength range) do you need?

Spectrograph is sensitive from  $\lambda_{blue}$  to  $\lambda_{red}$

Maximising **throughput** for best efficiency

Etendue, limiting magnitude, throughput, multiplexing

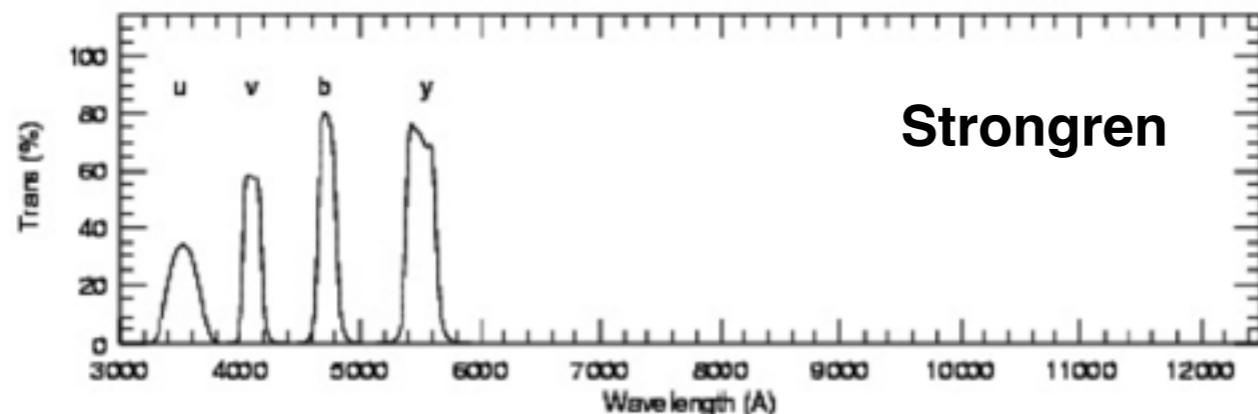
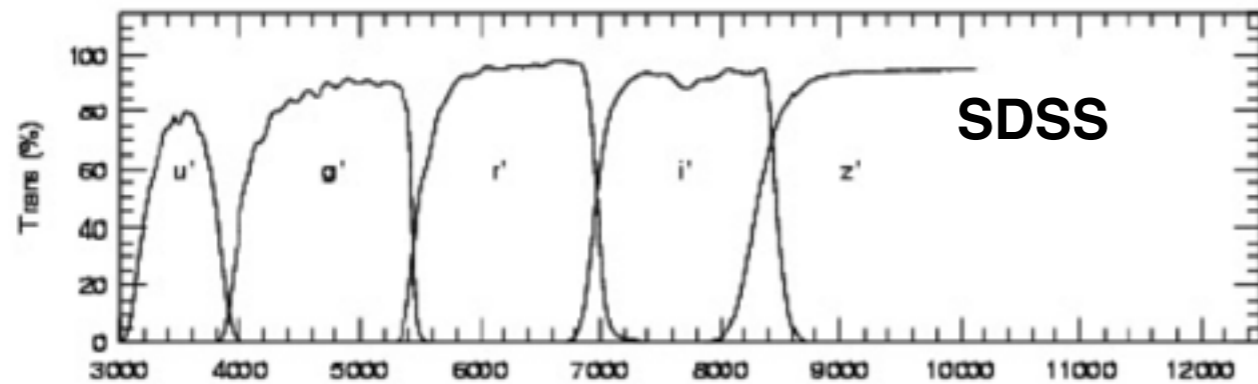
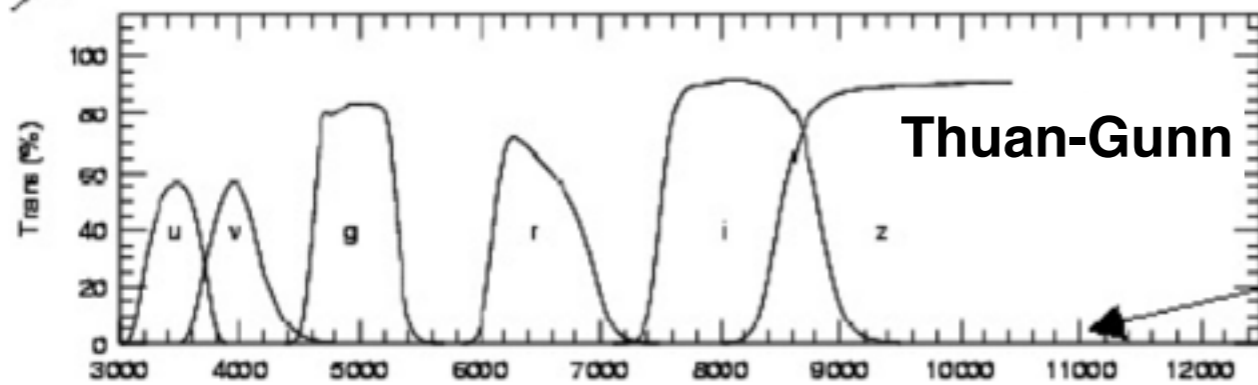
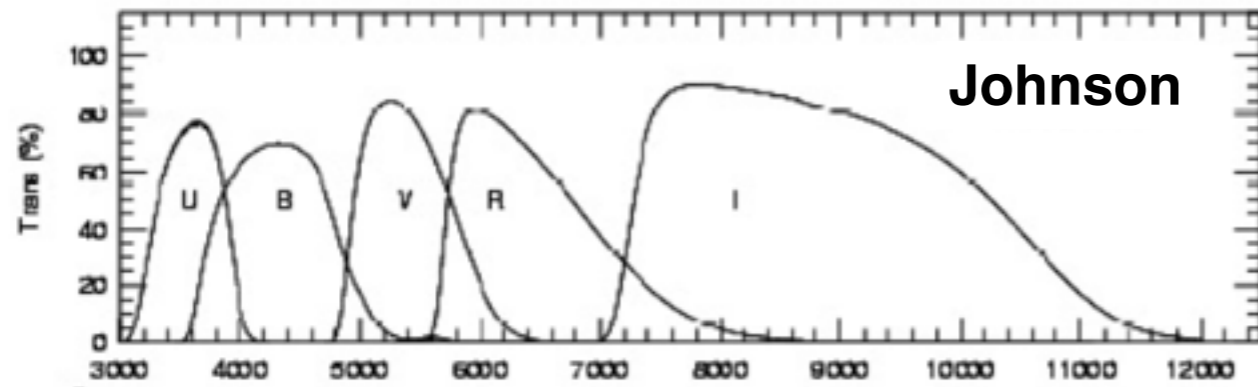
# Science drivers for spectrographs

*R*



- $10^1$  Spectral typing of stars
- $10^2$  Rotation curves of galaxies
- $10^3$  RV of stars in globular clusters
- $10^4$  Chemical abundances of stars
- $10^5$  Radial Velocity exoplanets
- $10^5$  ISM studies
- $10^6$  Isotope abundances
- $10^6$  exoplanet rotation curves

# Basic spectroscopy: colour filters



Take multiple images with different bandpass filters

Johnson system designed to measure properties of stars

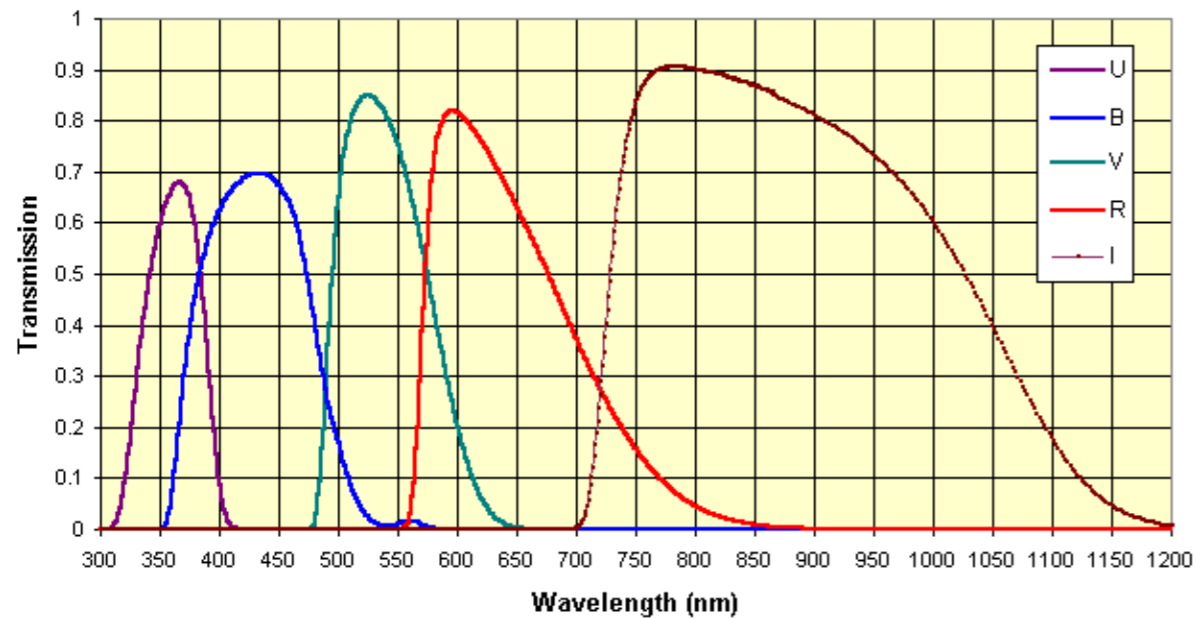
Thuan-Gunn filters for faint galaxy observations

Stromgren has better sensitivity to stellar properties (metallicity, temperature, surface gravity)

Sloan Digital Sky Survey (SDSS) for faint galaxy classification

# Basic spectroscopy: colour filters

UBVRI Filter Characteristics



[www.sbig.com/products/filters.htm](http://www.sbig.com/products/filters.htm)

**VRIJKLMNQ by Johnson (1960)**

**UBV by Johnson and Morgan (1953)**

**Classifying stars with photomultipliers**

**Zero points of (B-V) and (U-B) color indices defined to be zero for A0 V stars**

# Slitless spectrographs

Put a dispersing element in front of the telescope aperture



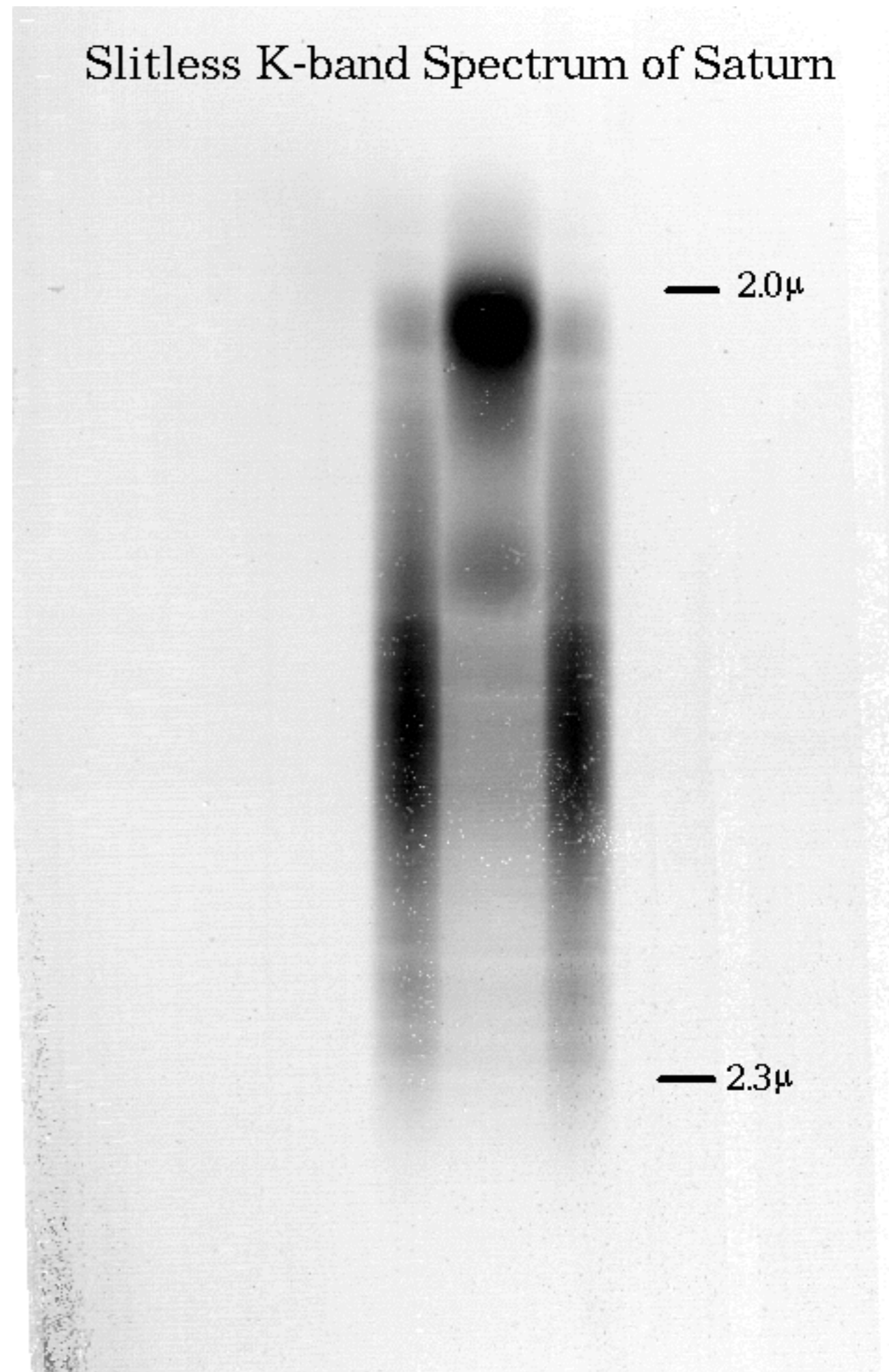
# Slitless spectrographs



# Slitless spectrographs



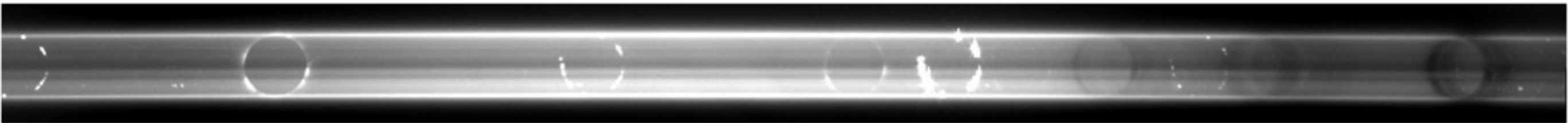
Dispersed



R. Pogge (OSU) with NOAO 2.1m Telescope

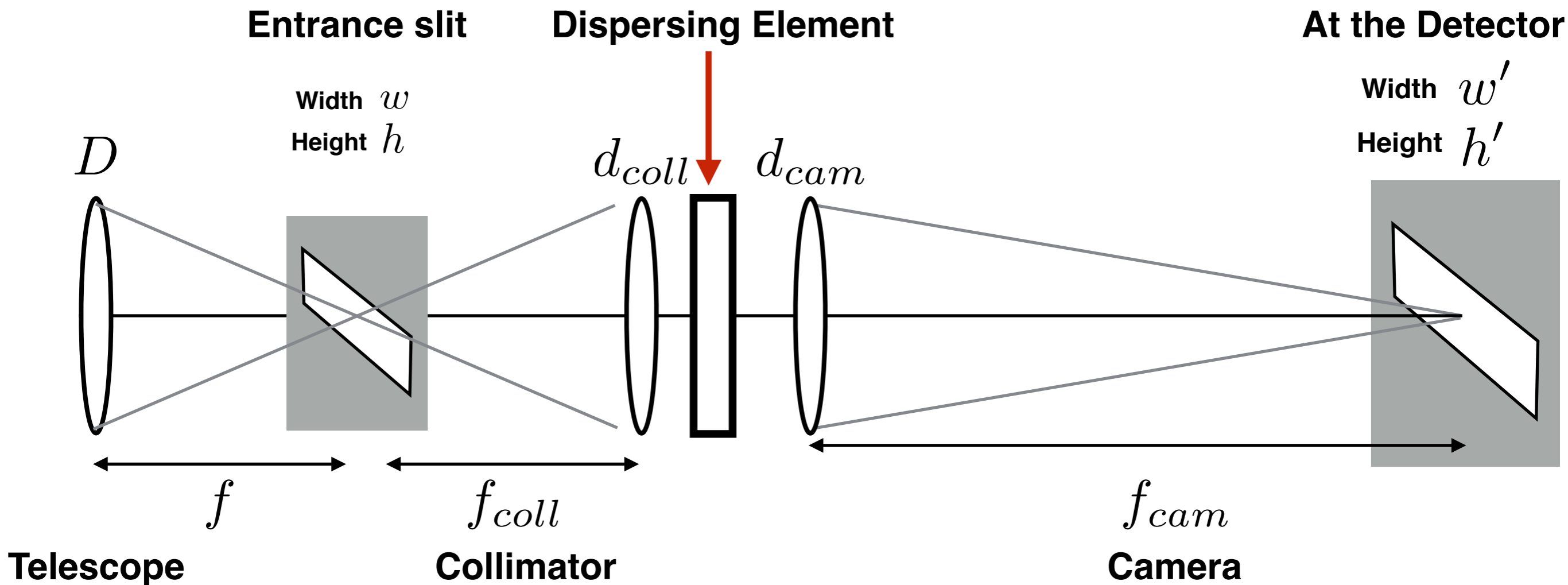
# Slitless spectrographs

The solar corona  
(solar disk is blocked by a coronagraph)



Wavelength

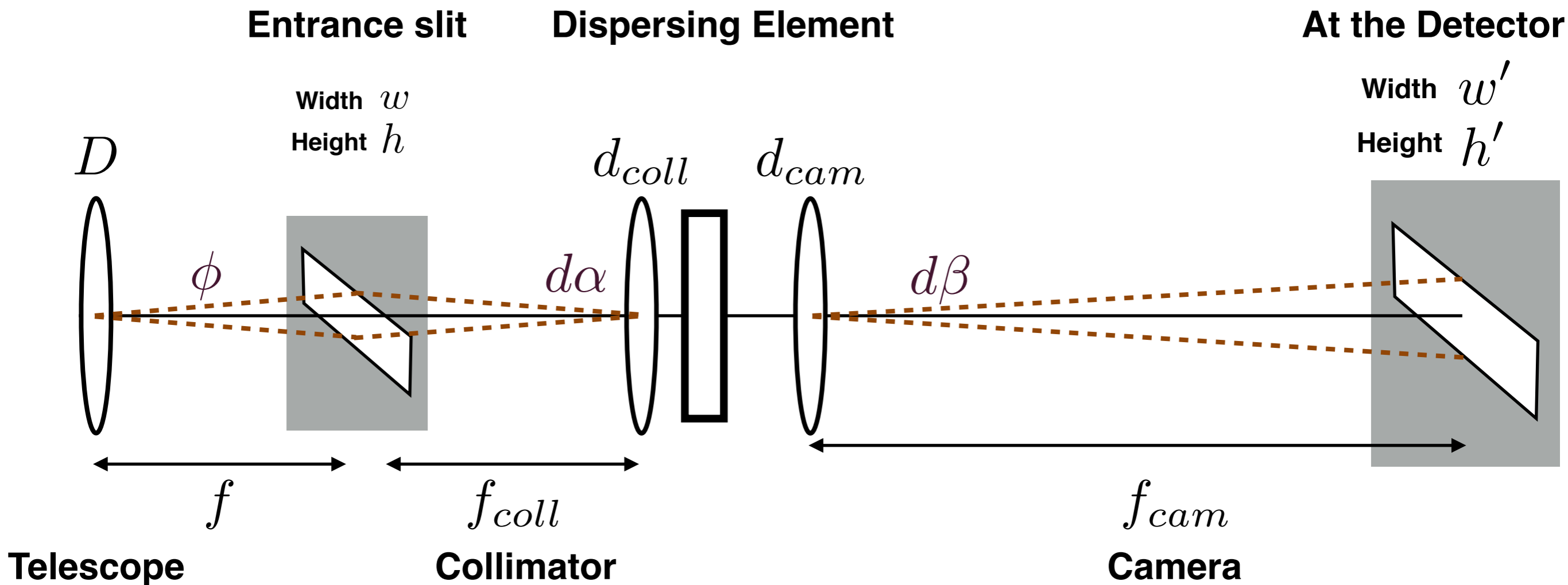
# Layout of a spectrograph



$$f/D = f_{coll}/d_{coll}$$

**IMPORTANT!**  $d_{coll}$  and  $d_{cam}$  may not be the same!

# Layout of a spectrograph



Anamorphic magnification

# Resolution Element

The resolution element is the minimum resolution of the spectrograph. This will depend of the spectral size of the image, which is a factor of image size, spectral magnification and the linear dispersion

$$R = \frac{\lambda}{\Delta\lambda}$$

Typically the central wavelength

Resolution element

# Resolution Element

The resolution element is the minimum resolution of the spectrograph. This will depend of the spectral size of the image, which is a factor of image size, spectral magnification and the linear dispersion

$$\Delta\lambda = w' \frac{d\lambda}{dl}$$

Slitwidth in mm corrected for anamorphic magnification and spectral magnification

Linear dispersion measured in  $\text{\AA}/\text{mm}$ .

# The Slit

**We cannot record three dimensions of data (x,y, wavelength) onto a two dimensional detector, so we need to choose how we fill up our detector area:**



# The Slit

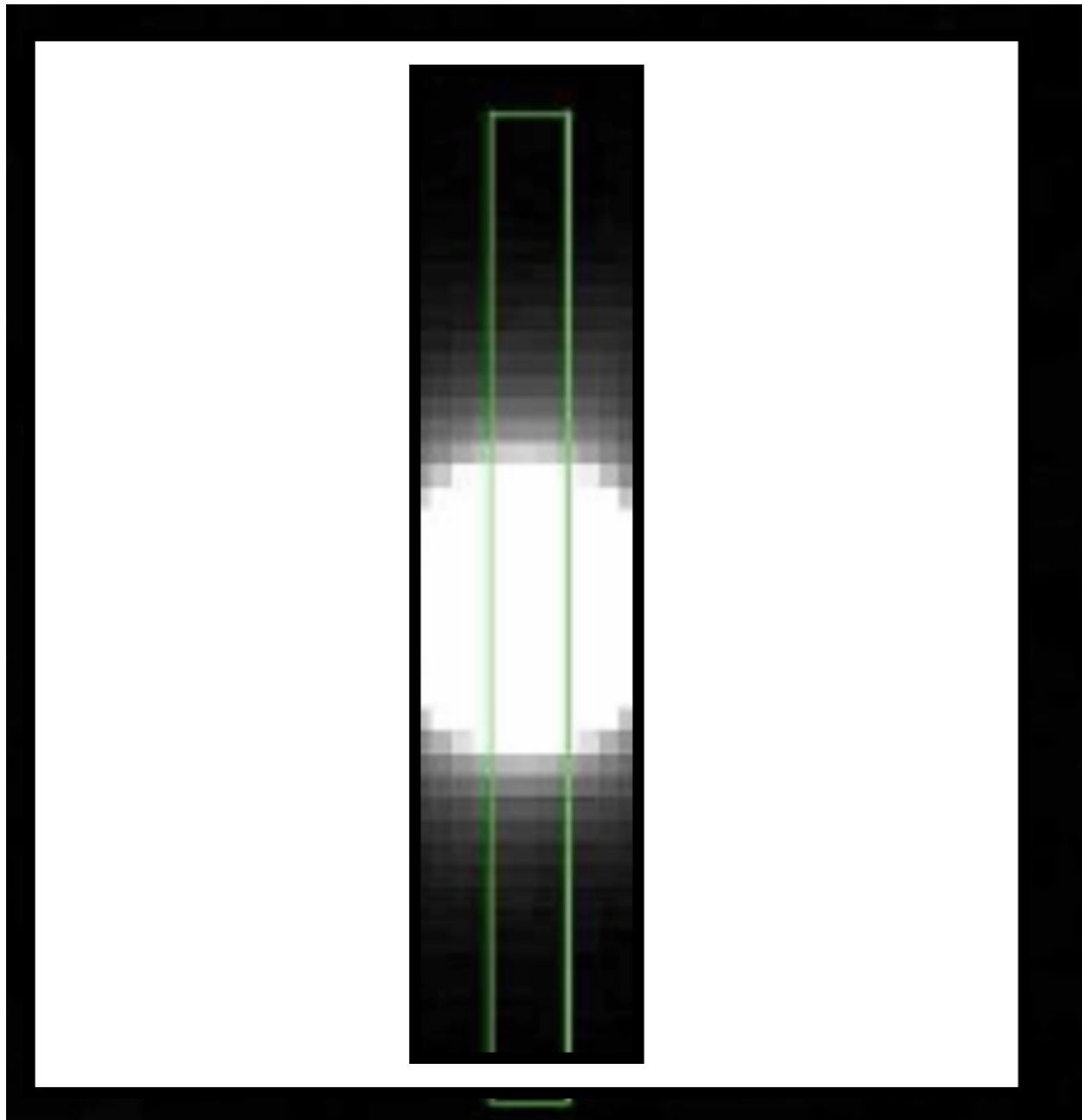
**We cannot record three dimensions of data (x,y, wavelength) onto a two dimensional detector, so we need to choose how we fill up our detector area:**





# Setting the slit width

For a seeing limited object, such as a star, varying the slit width is a balance between spectral resolution and throughput

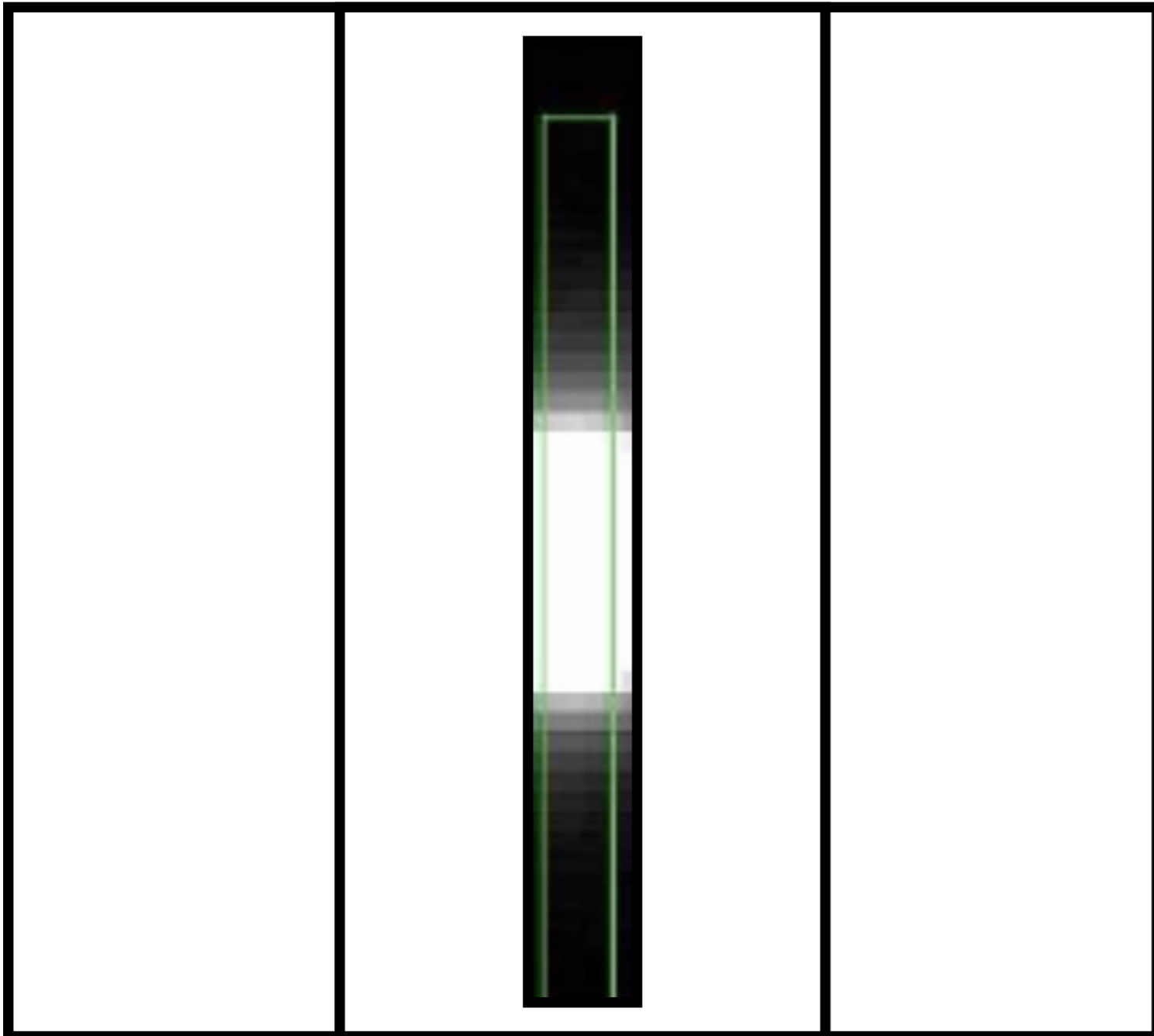


**Slit too wide, spectral resolution goes down**

**Slit too narrow, flux from seeing limited object is lost**

# Setting the slit width

For a seeing limited object, such as a star, varying the slit width is a balance between spectral resolution and throughput



**Slit too wide, spectral resolution goes down**

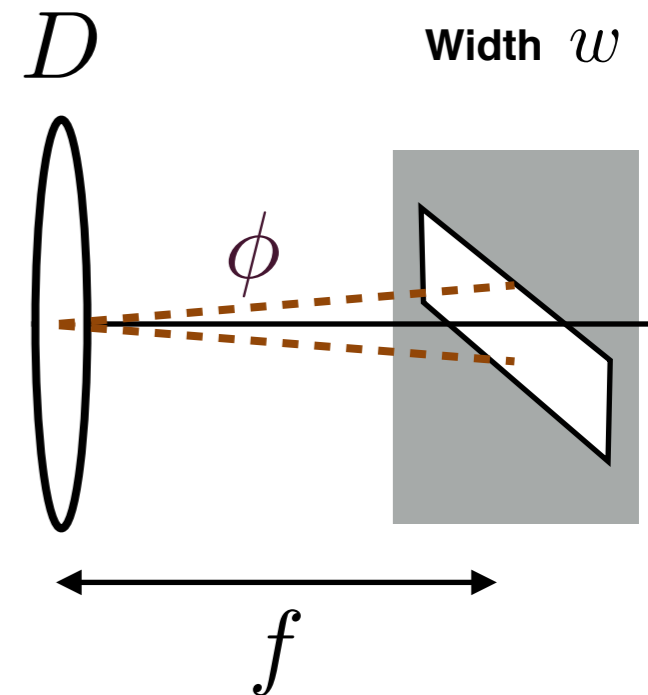
**Slit too narrow, flux from seeing limited object is lost**

# The Slit

**Spectrographic slits are given in terms of their angular size on the sky, either in arc seconds or in radians.**

$$\phi = w / f$$

**where  $f$  is the focal length of the telescope and  $w$  is the size of the slit in  $mm$ . The angle  $\phi$  is given in radians.**



# Two types of magnification

Anamorphic magnification arises because the diffracting element may send light off at a large angle from the camera normal, and is defined as  $r$ .

$$r = \frac{d_{coll}}{d_{cam}} = \frac{d\beta}{d\alpha}$$

$$w' = rw \frac{f_{cam}}{f_{coll}}$$

Spatial (de)magnification occurs because of the different focal lengths of the camera and collimator so that detector pixels are Nyquist sampled

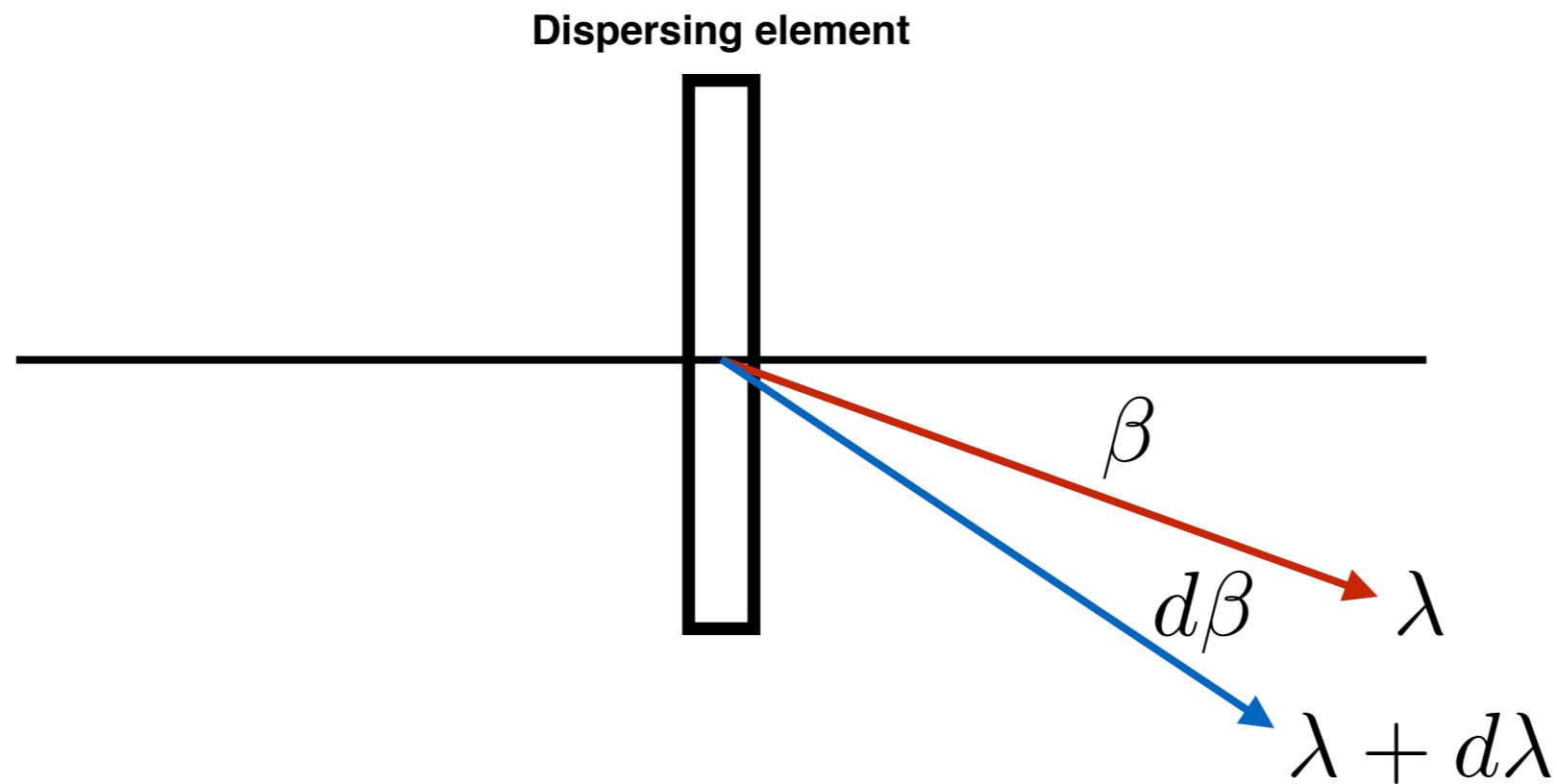
# Two types of magnification

The size of the slit that the detector sees for the slit is therefore given by:

$$w' = rw \frac{f_{cam}}{f_{coll}} = r\phi f \frac{f_{cam}}{f_{coll}}$$

# Definition of Dispersion

The angular dispersion is given by:  $A = \frac{d\beta}{d\lambda}$

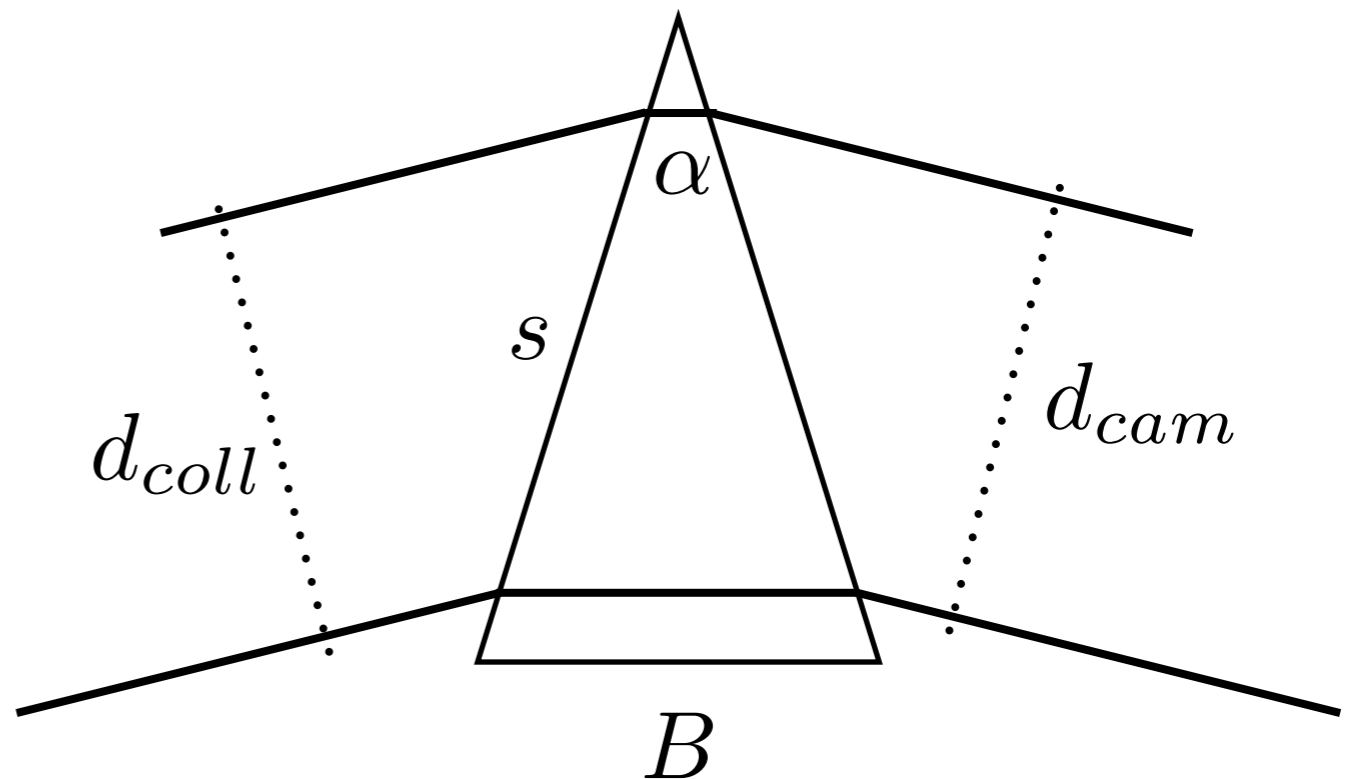


The linear dispersion is then:  $\frac{dl}{d\lambda} = f_{cam} A$

# Dispersion of Glass Prisms

Prisms are used near minimum deviations so that rays inside the prism are parallel to the base. The input and output beams are the same size.

$$A = \frac{d\beta}{d\lambda} = \frac{B}{d_{cam}} \frac{dn}{d\lambda}$$



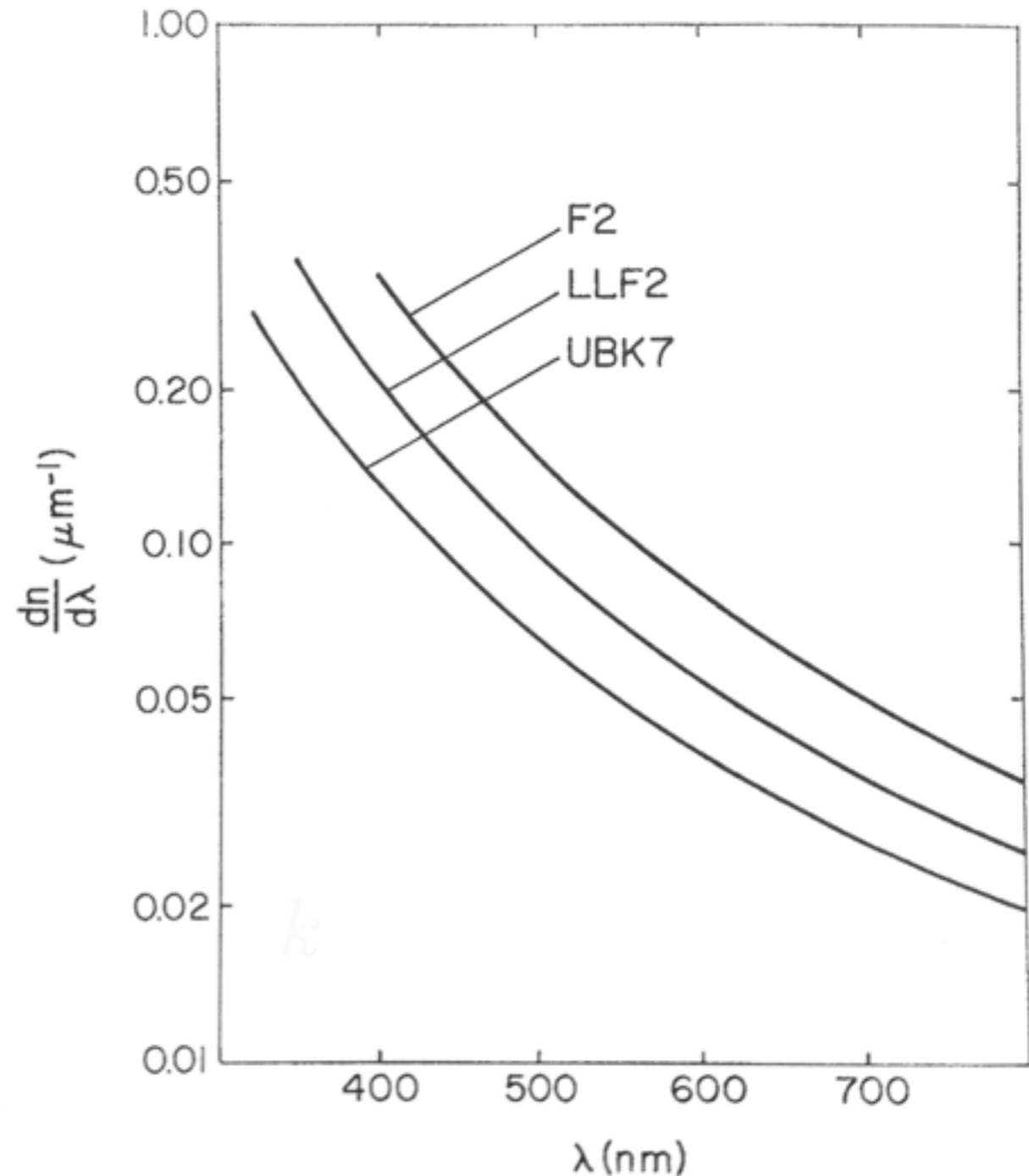
Angular dispersion changes with wavelength

For  $k$  identical prisms in a row, dispersion is multiplied by  $k$

# Dispersion of Glass Prisms

Dispersion is not constant with wavelength, and very high resolution is not possible.

$$A = \frac{d\beta}{d\lambda} = \frac{B}{d_{cam}} \frac{dn}{d\lambda}$$





# Diffraction grating

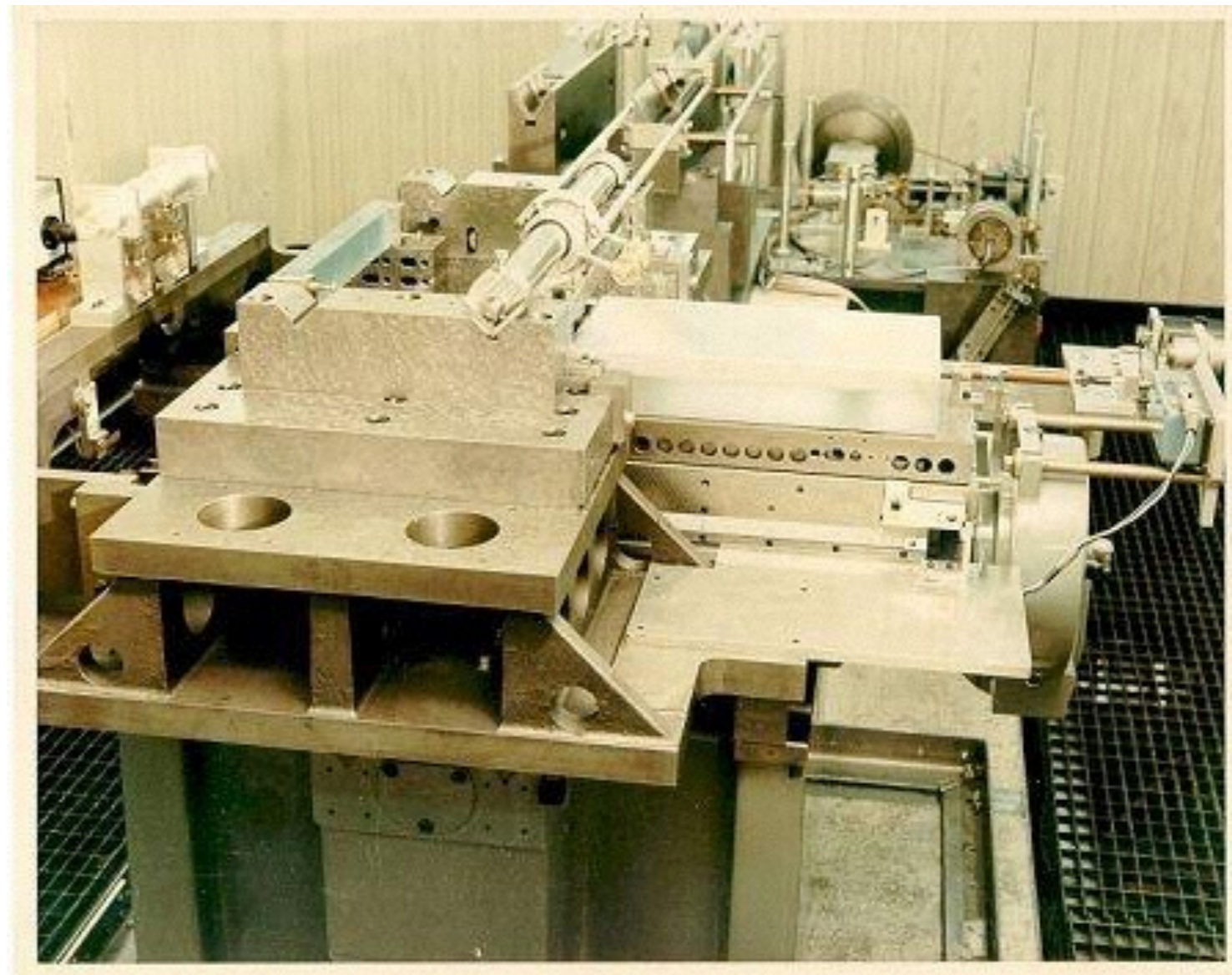
Can be transmissive or reflective, and consist of thousands of periodic features on an optically flat surface.

Manufactured using ruling engines in temperature controlled rooms



Made by David Rittenhouse in 1785

Reinvented by Fraunhofer in 1821



# Diffraction grating



Fraunhofer gratings resolved Solar absorption spectrum, and labelled the absorption lines with letters (A,B,C,D...)

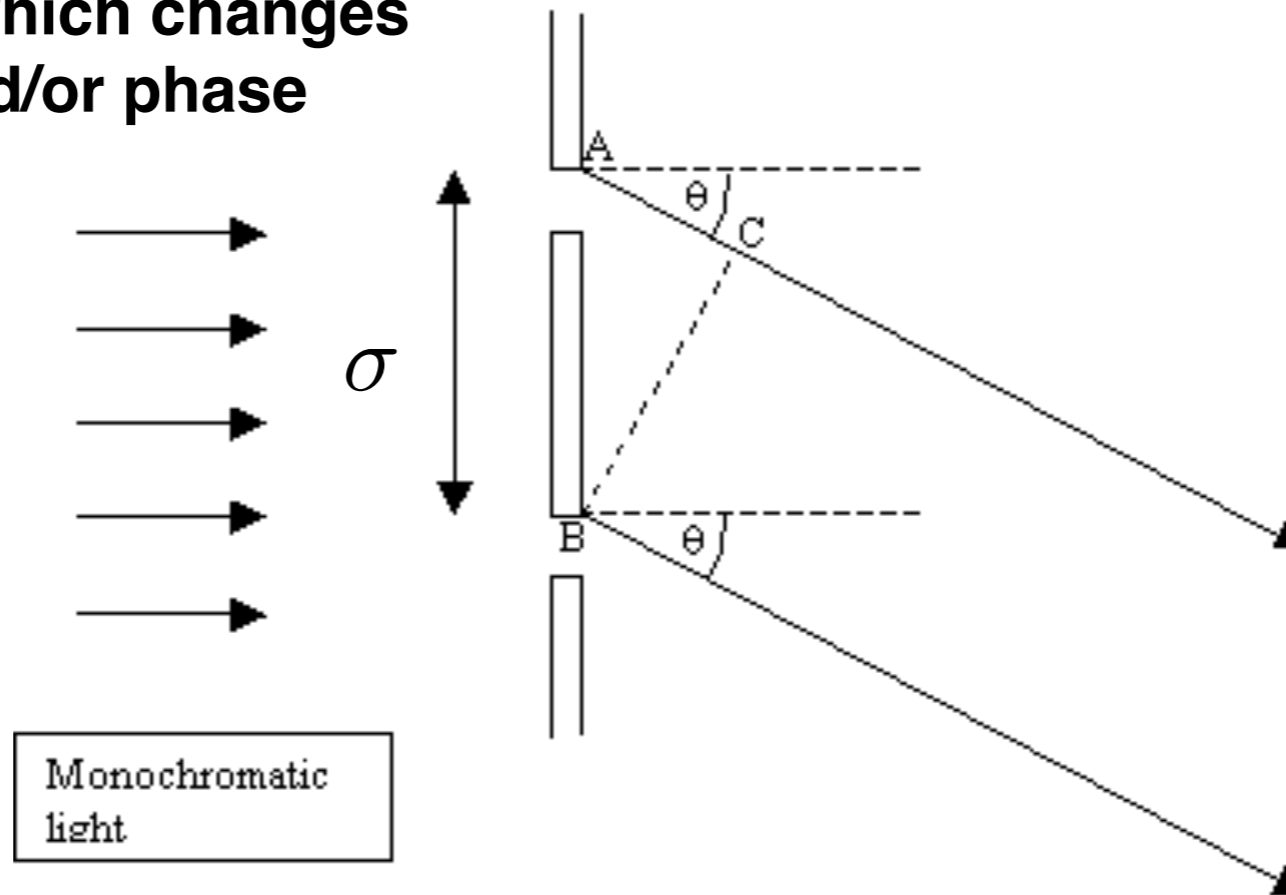
# Diffraction grating

HARPS grating



# Diffraction grating

Flat wavefront passes through periodic structure, which changes the amplitude and/or phase



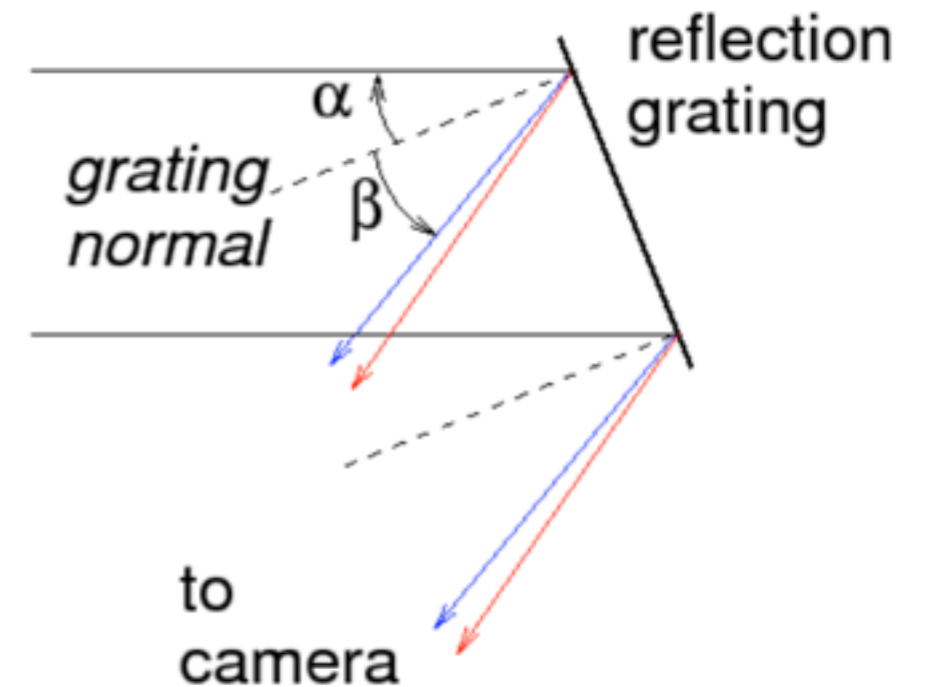
Direction of constructive interference is wavelength dependent

# Dispersion of Diffraction Gratings

From diffraction theory, the grating equation relates the order  $m$ , the groove spacing  $\sigma$  (the number of mm between each ruled line)

$$m\lambda = \sigma(\sin \alpha \pm \sin \beta)$$

... where the sign is positive for reflection, negative for transmission



Angular dispersion  $A = \frac{d\beta}{d\alpha} = \frac{m}{\sigma \cos \beta}$

Typically 600 lines per mm and used at 60 degrees incidence

# Increasing spectral resolution

Increasing  $\sigma$  is difficult, and  $\cos \beta$  cannot be greater than unity

Angular dispersion  $A = \frac{d\beta}{d\alpha} = \frac{m}{\sigma \cos \beta}$

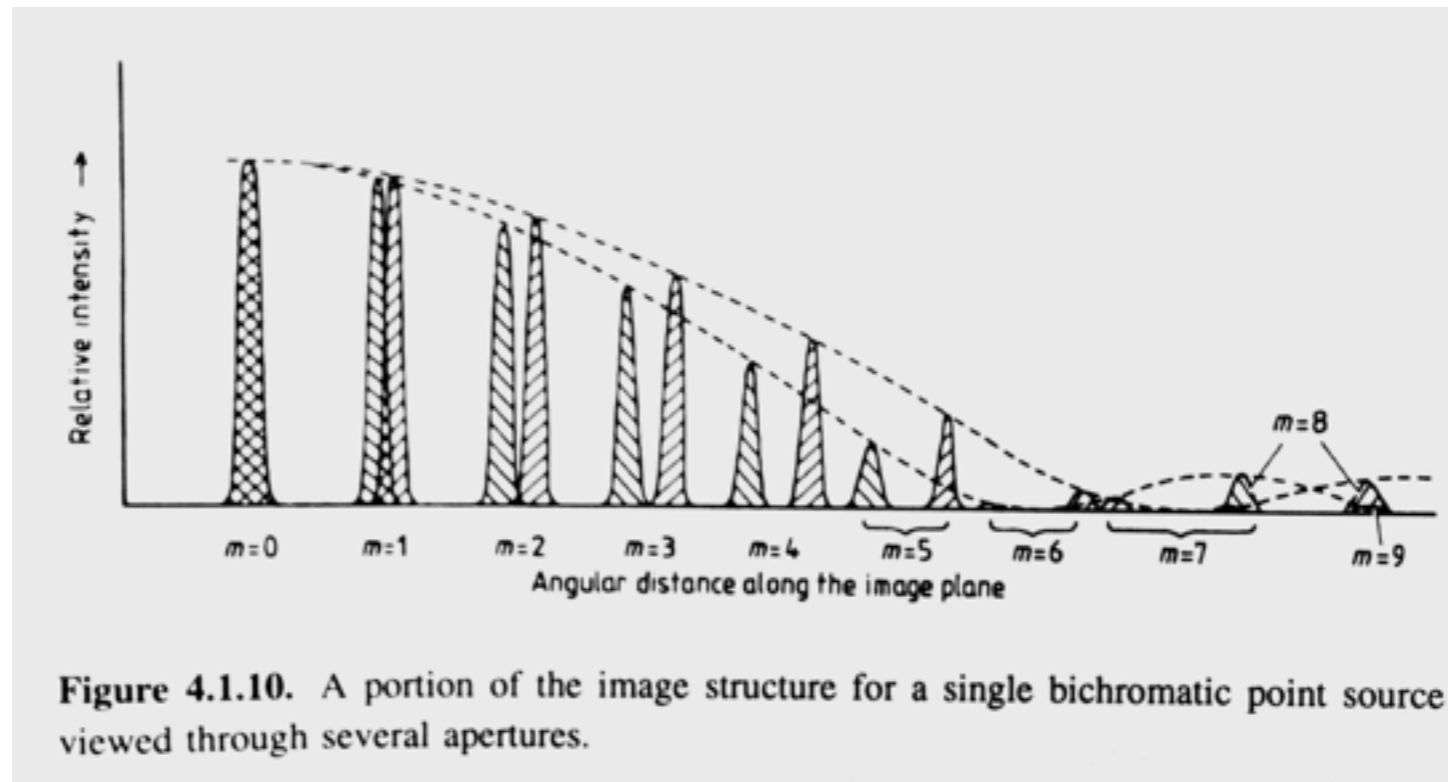
Look at large values of  $m$  to get high spectral resolution

$$R = nm$$

where  $n$  is the total number of illuminated grooves

# Higher spectral orders

Higher order dispersion from the grating will result in overlapping spectra:



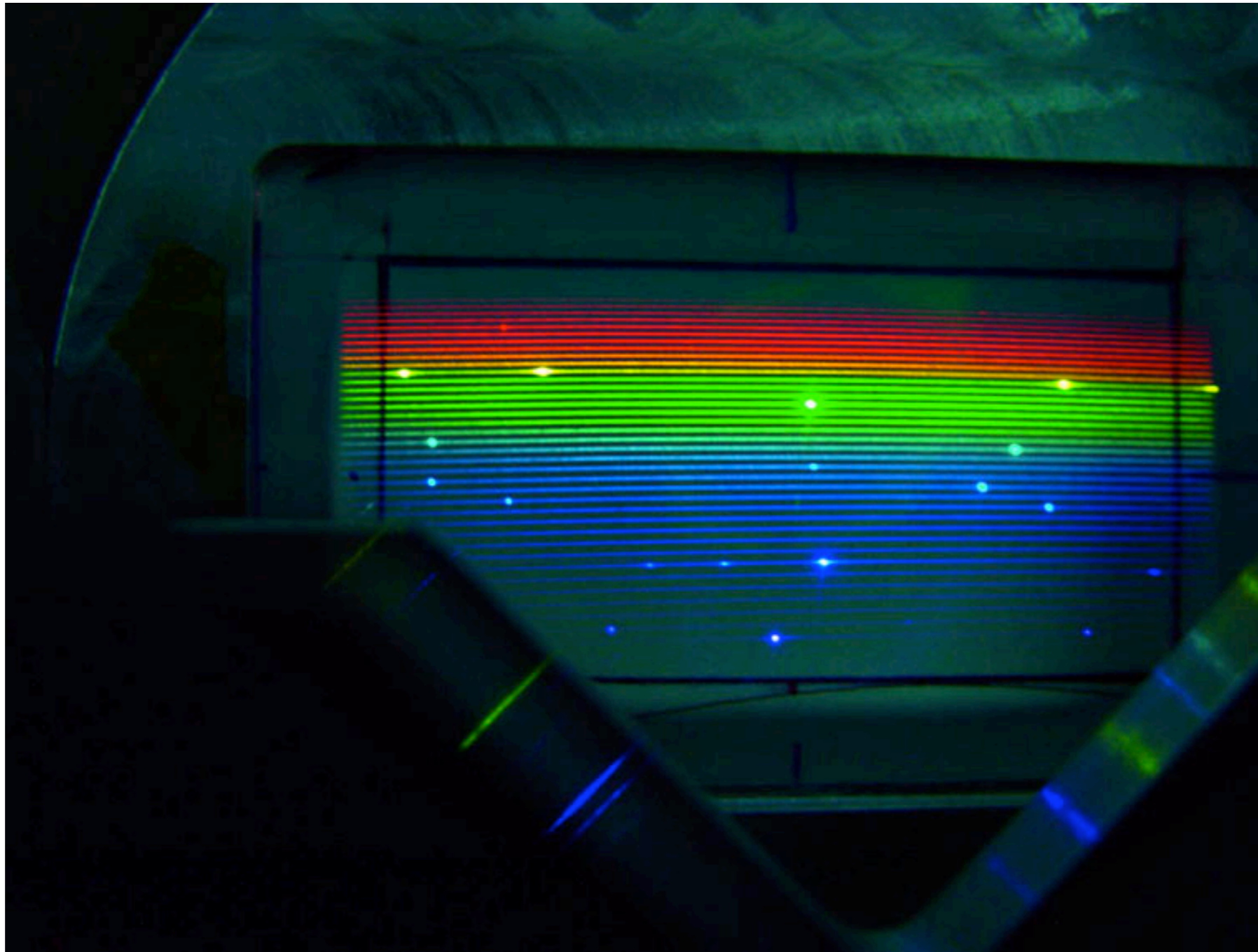
The free spectral range of a spectrograph is given by:

$$\lambda' - \lambda = \lambda/m$$
$$m\lambda' = (m + 1)\lambda$$

We can either use an **ORDER BLOCKING FILTER** or a **CROSS disperser** to split out the different spectral orders

# Higher spectral orders

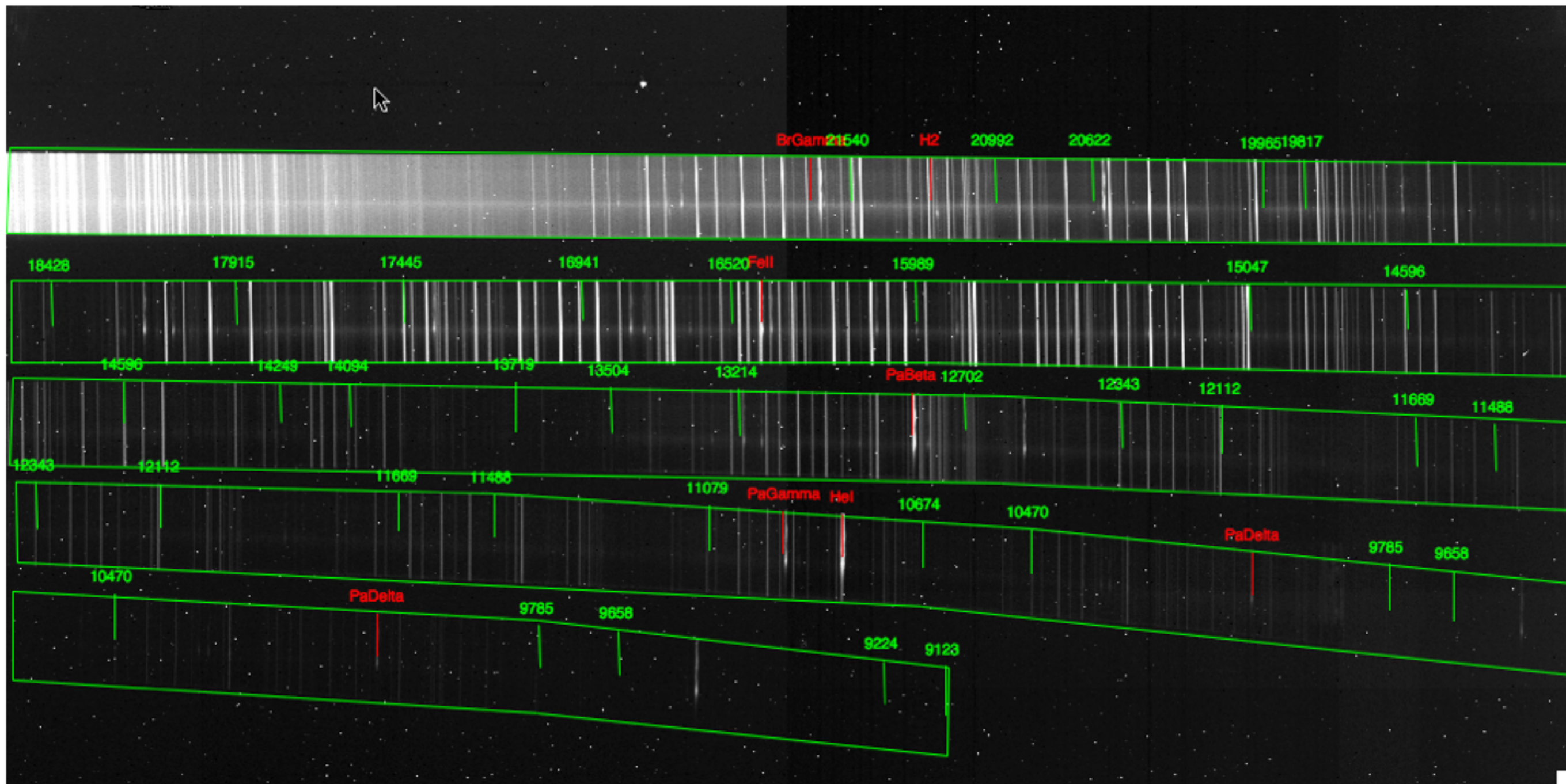
CROSS disperser to split out the different spectral orders





# Higher spectral orders

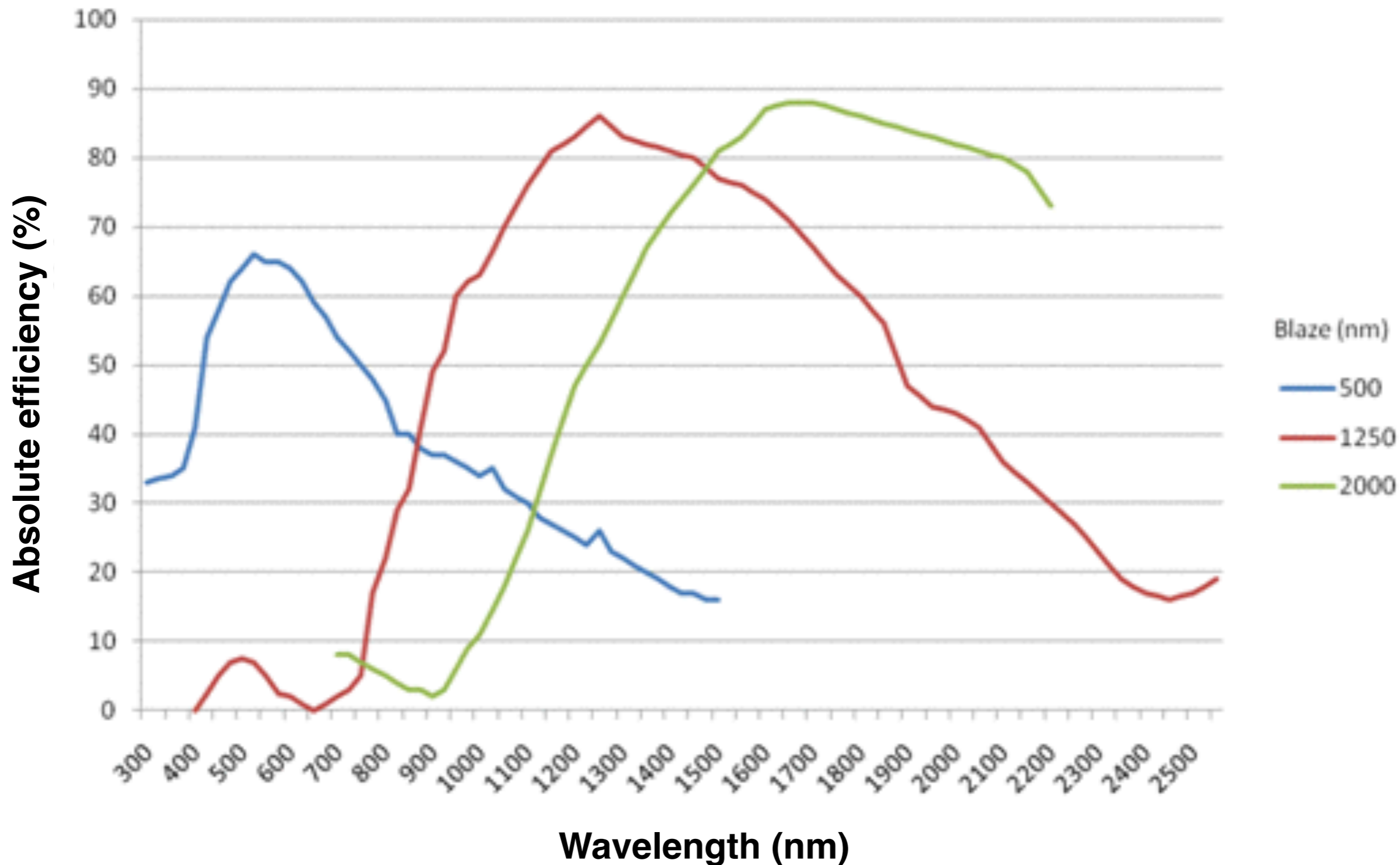
CROSS disperser to split out the different spectral orders



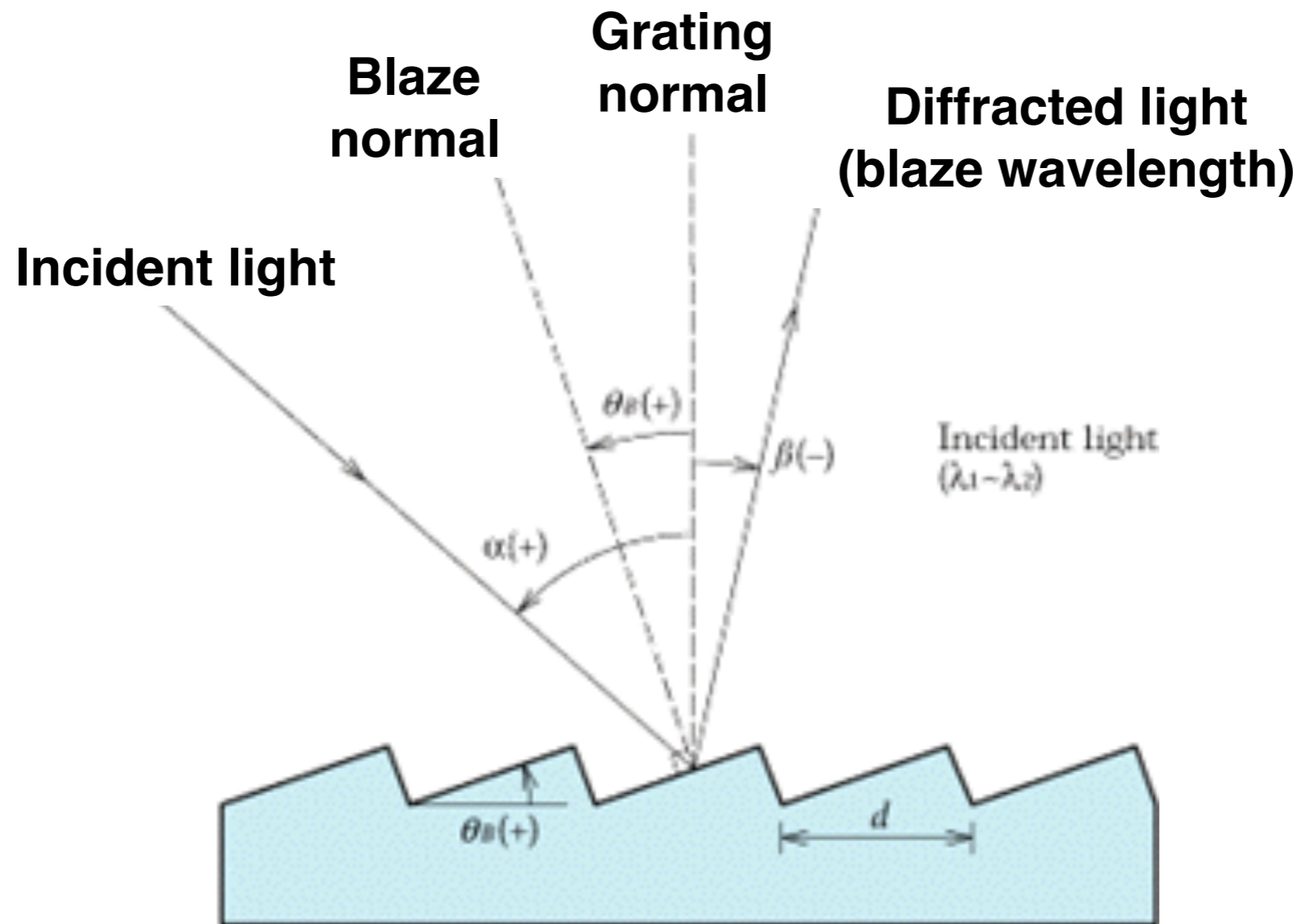
Trispec

# Diffraction grating efficiency

150 /mm

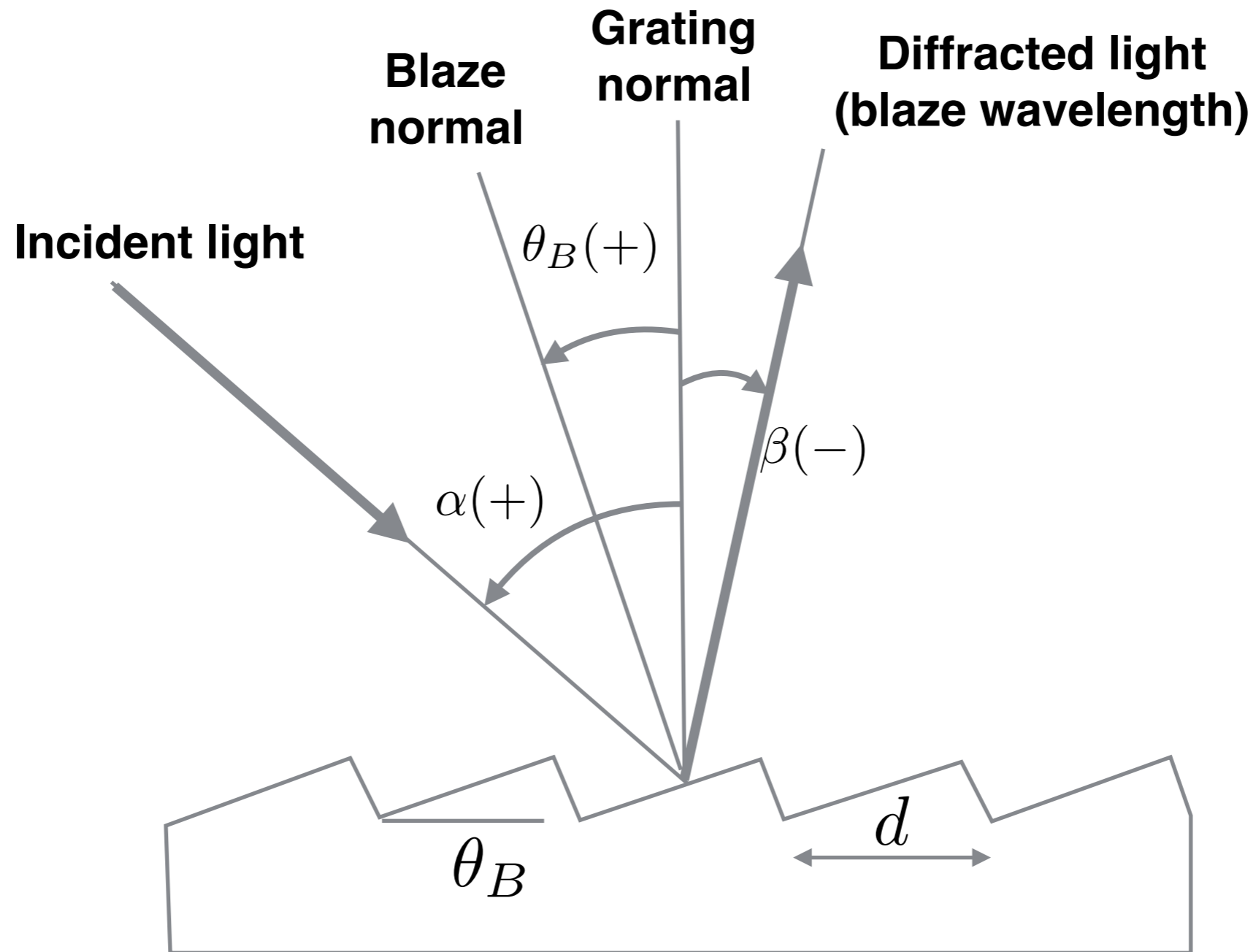


# Optimising the grating efficiency



**Making the facets of the diffraction grating tilt over so that the diffracted light also goes out along the science wavelength**

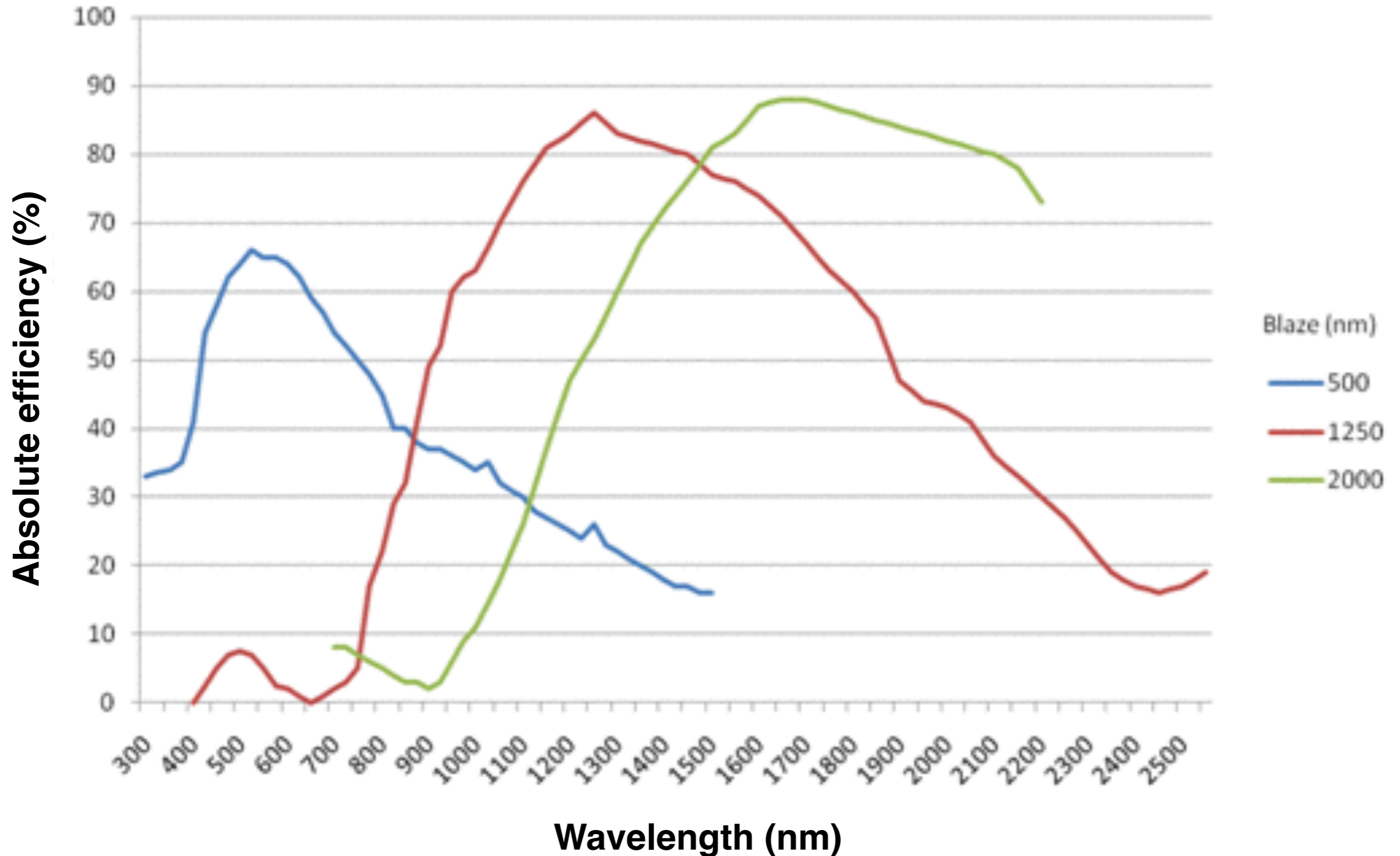
# Optimising the grating efficiency



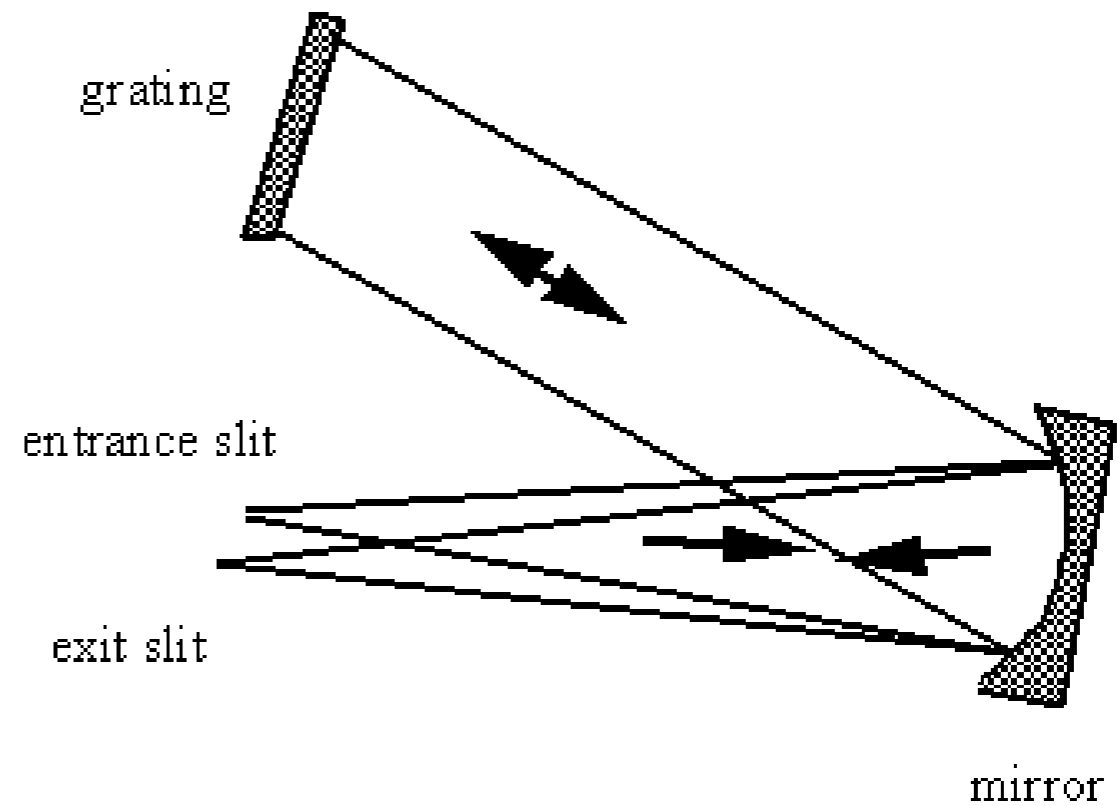
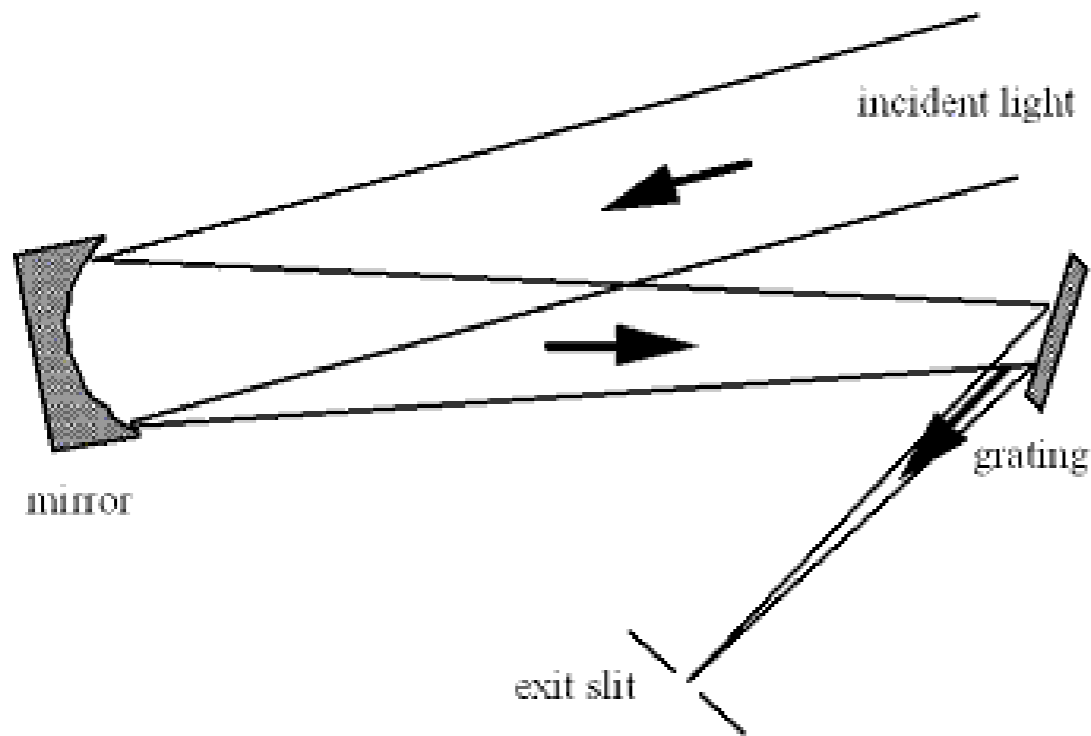
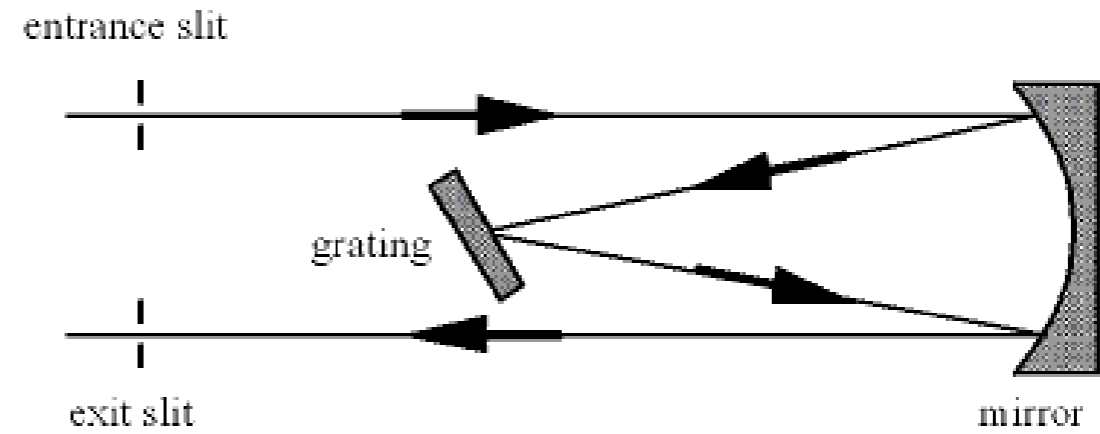
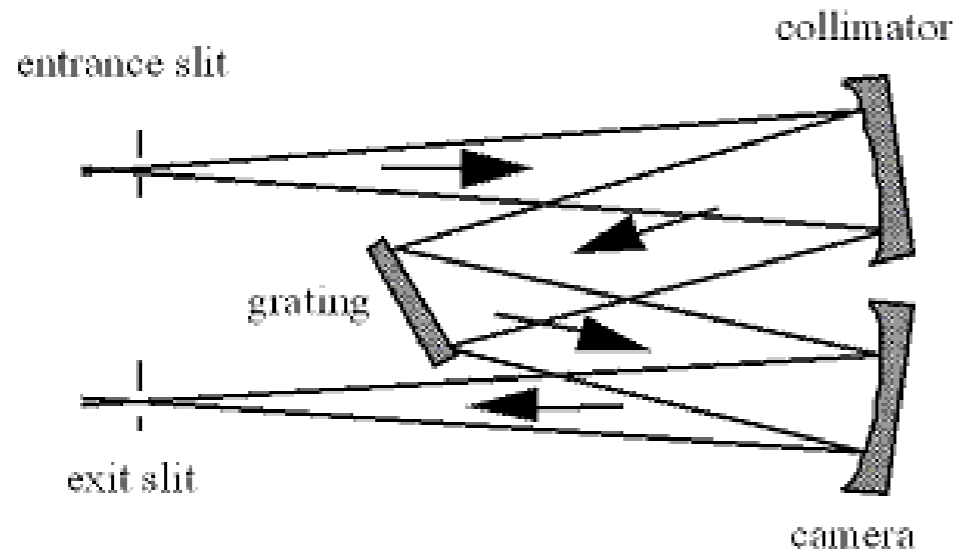
$$\theta_B = \frac{\alpha + \beta}{2} \quad \lambda_B = \frac{2}{nm} \sin \theta_B \cos(\alpha - \theta_B)$$

# Peak efficiencies at blaze wavelengths

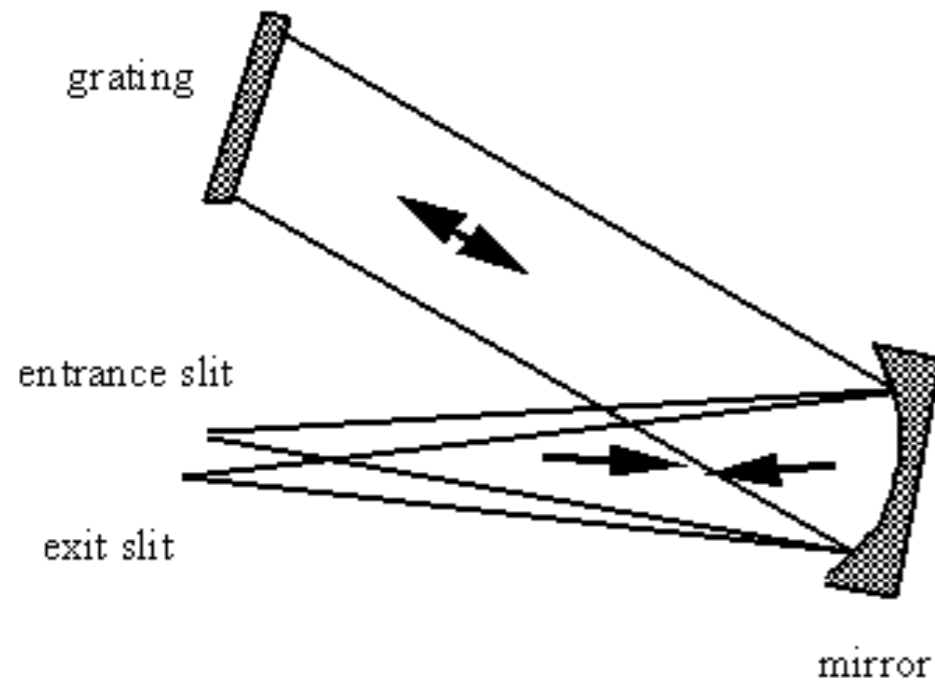
150 /mm



# Common spectrograph configurations



# The Littrow spectrograph



Incident angle equals diffracted angle:

$$\alpha = \beta$$

So for Littrow:

$$\lambda = \frac{2\sigma \sin \alpha}{m}$$

Simplifies the grating design, setting the blaze angle so that optimum efficiency is for  $\alpha$

# Detector

The smallest resolution for the spectrograph should be sampled at the minimum of the Nyquist frequency, which is 2 pixels per resolution element.



Spectral dispersion per pixel is:

$$\mu \frac{d\lambda}{dl}$$

where  $\mu$  is the pixel size in mm.



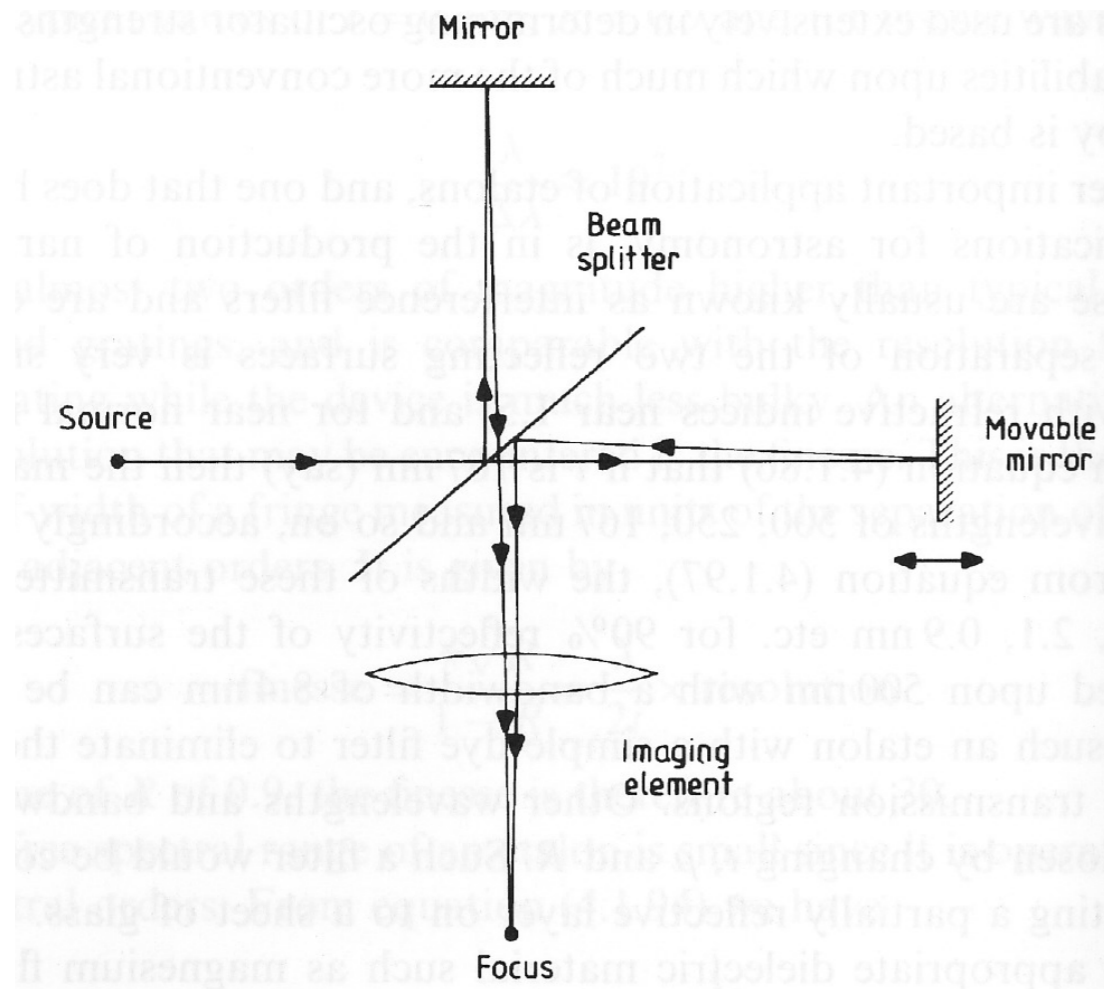
# Fourier Transform Spectrographs

**A Michelson interferometer  
with one moving arm**

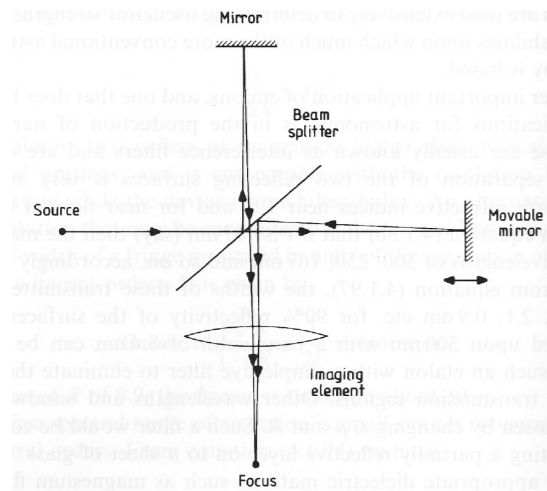
**Consider a monochromatic  
wave with:**

$$k = 2\pi/\lambda$$

**Electric field is then:**  $e^{i(\omega t - kx)}$



# Fourier Transform Spectrographs



At output of interferometer,  
the amplitude  $A$  is:

$$A = \frac{1}{2} e^{i\omega t} (e^{-ikx_1} + e^{-ikx_2})$$

Intensity output is:  $AA^* = \frac{1}{2} (1 + \cos k(x_2 - x_1))$

Adding up all the incoherent intensities from a star with spectral distribution  $B(k)$  and taking  $x = x_2 - x_1$  and  $I_0$  as a constant, you can rewrite it as:

$$I(x) = I_0 + \frac{1}{2} \int_0^{\infty} B(k) \cos kx \, dk$$

# Fourier Transform Spectrographs

$$I(x) = I_0 + \frac{1}{2} \int_0^{\infty} B(k) \cos kx \, dk$$

**You can measure  $I(x)$  and get the spectral distribution back with a cosine fourier transform of  $I(x) - I_0$**

**Spectral resolution is given by largest path length difference L:**

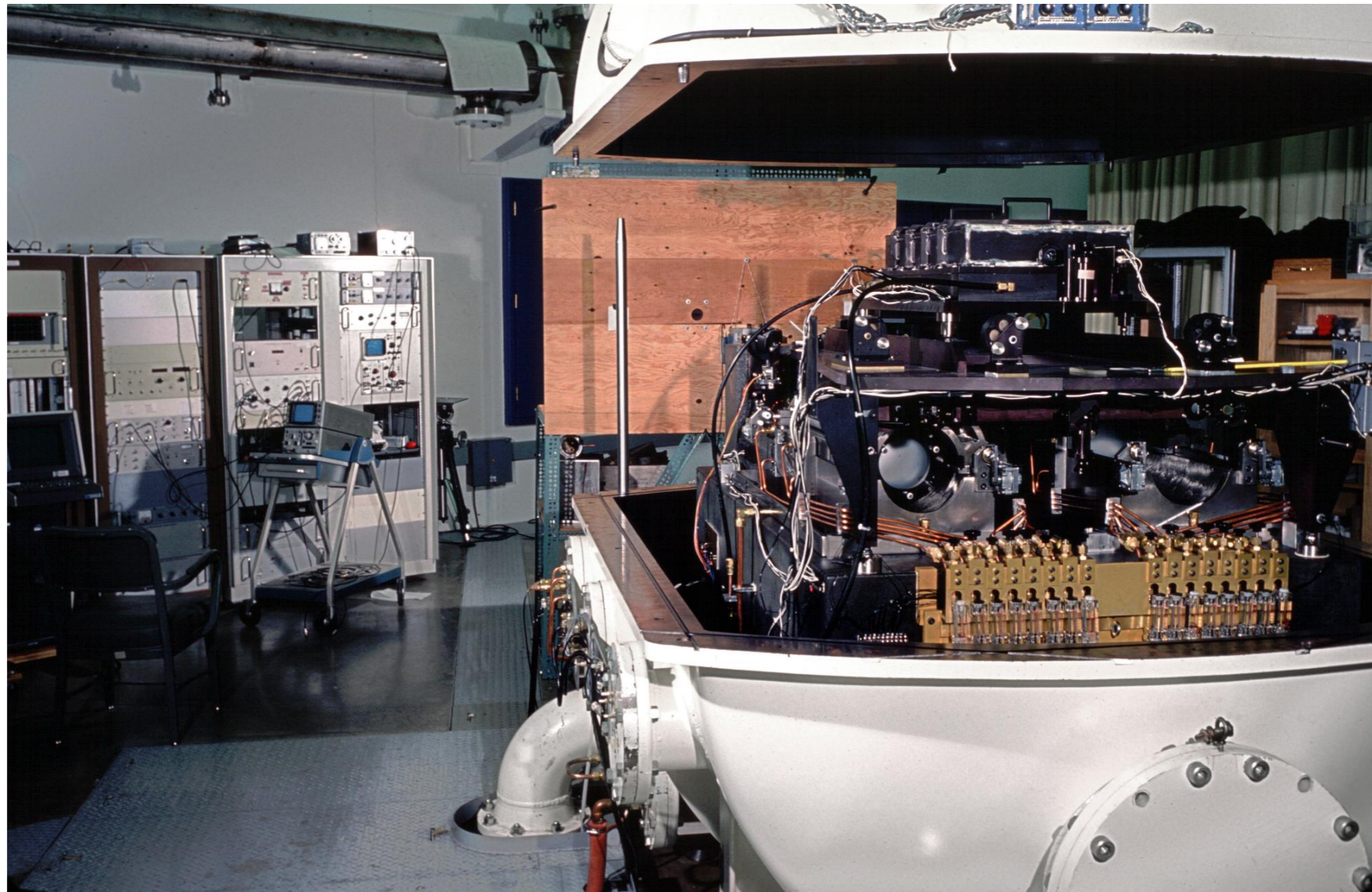
$$\Delta k = 2\pi / L$$

$$\lambda / \delta\lambda = 2 \times 10^6$$

**PROS: Simple, compact, absolute calibration of spectral lines possible**

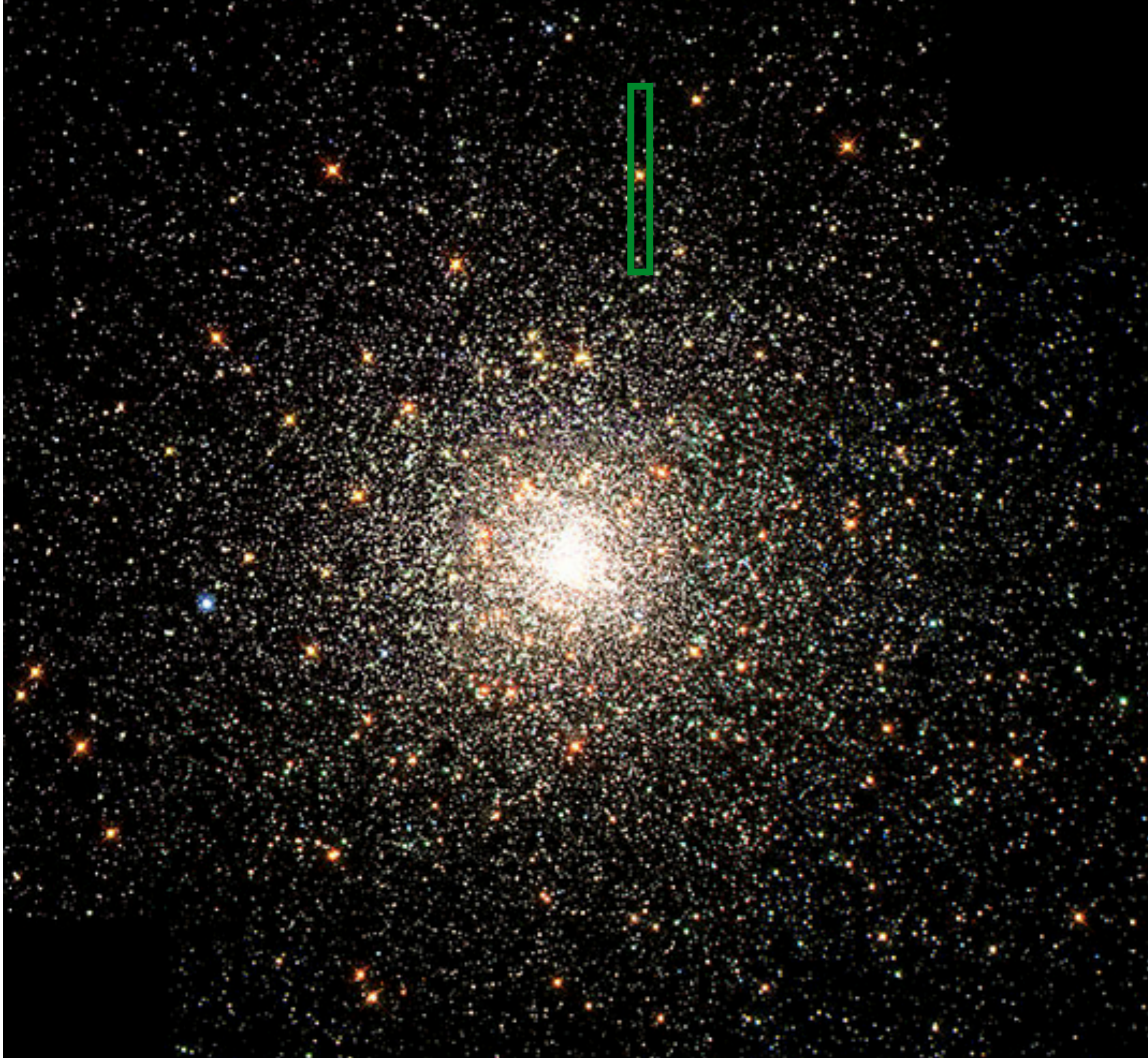
**CONS: very susceptible to any change in background flux**

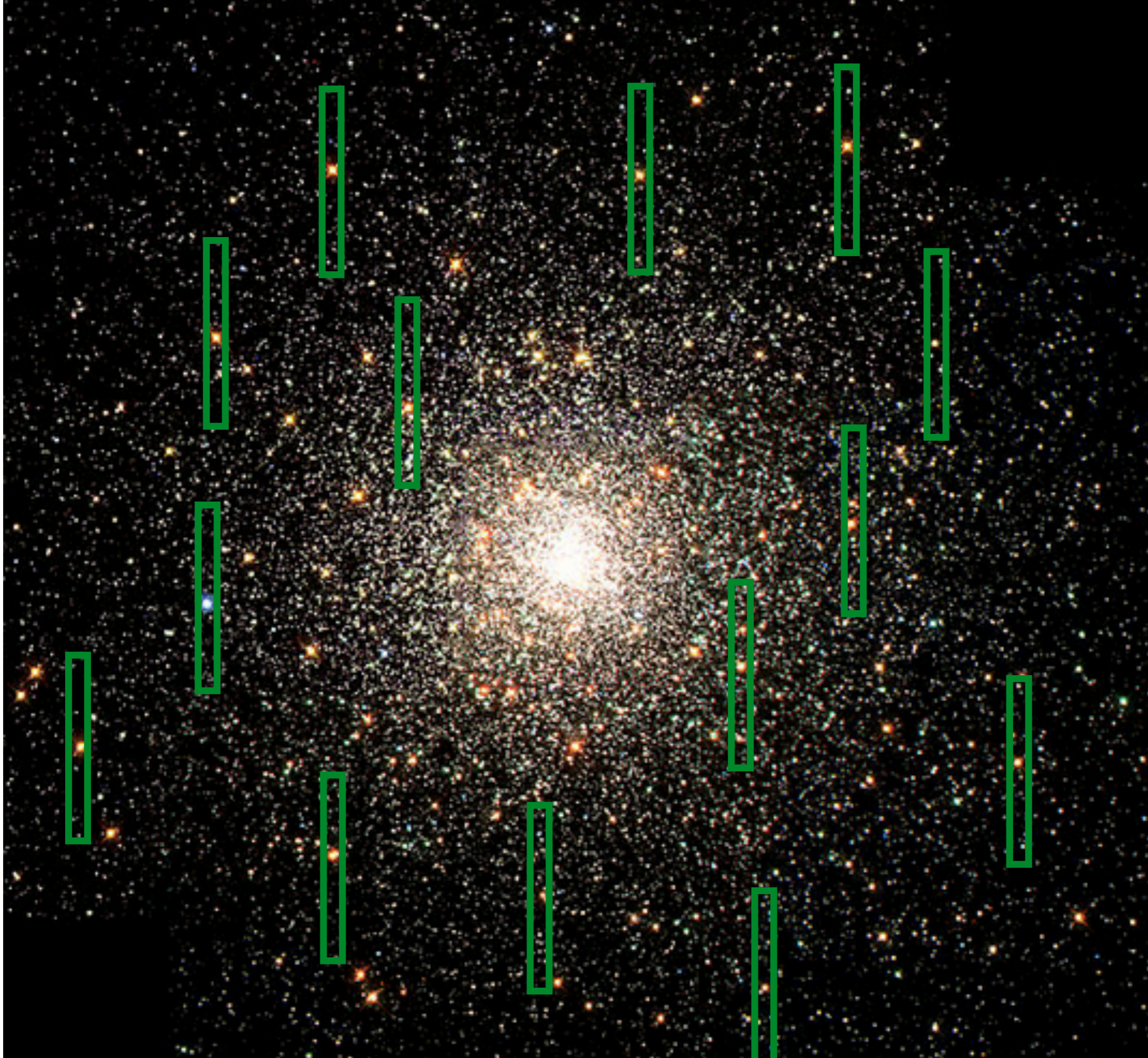
# Fourier Transform Spectrographs



**1m Kitt Peak FTS - Eglin, Hanna, NOAO/AURA/NSF**

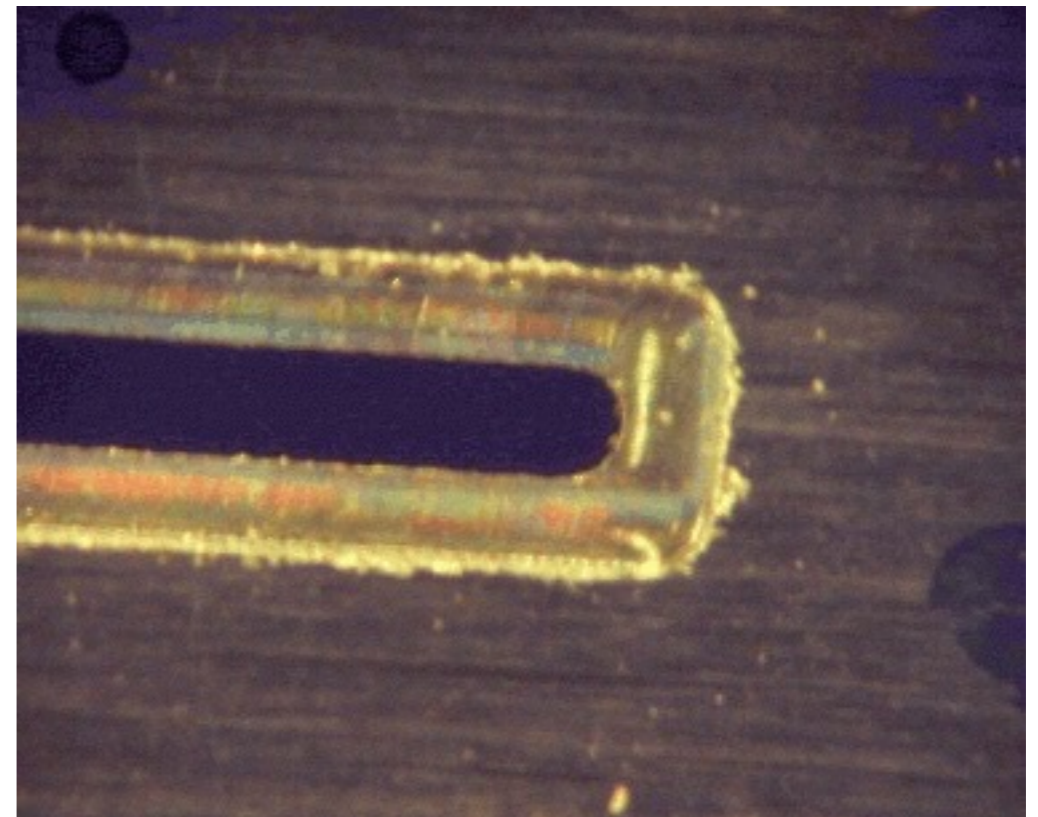
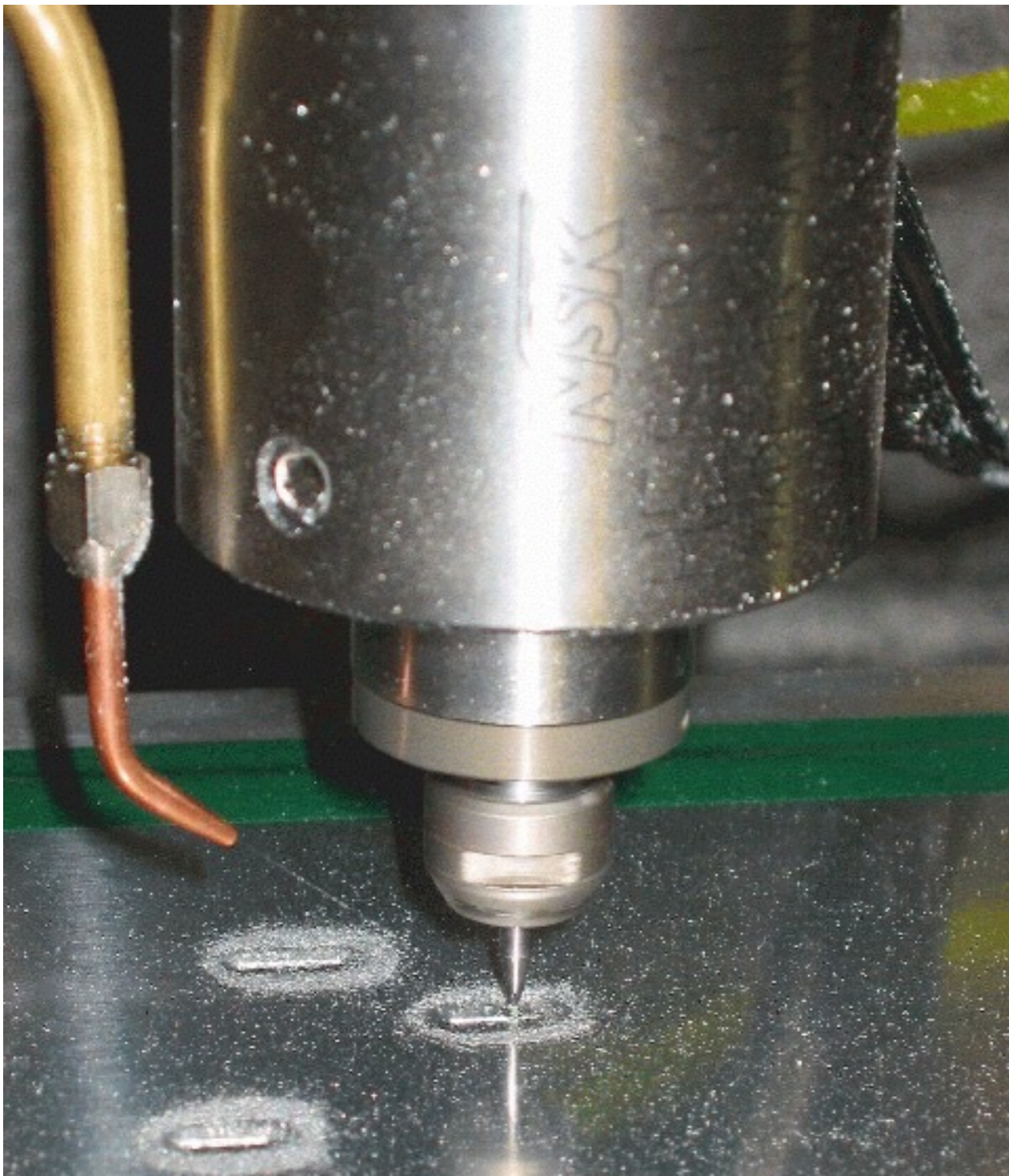
# Multi-Object Spectrographs





# Multi Object Spectrographs - drilled spectro slits

DEIMOS slit masks milled with 0.015 inch diameter bits



[http://www.ucolick.org/~phillips/deimos\\_ref/masks.html](http://www.ucolick.org/~phillips/deimos_ref/masks.html)

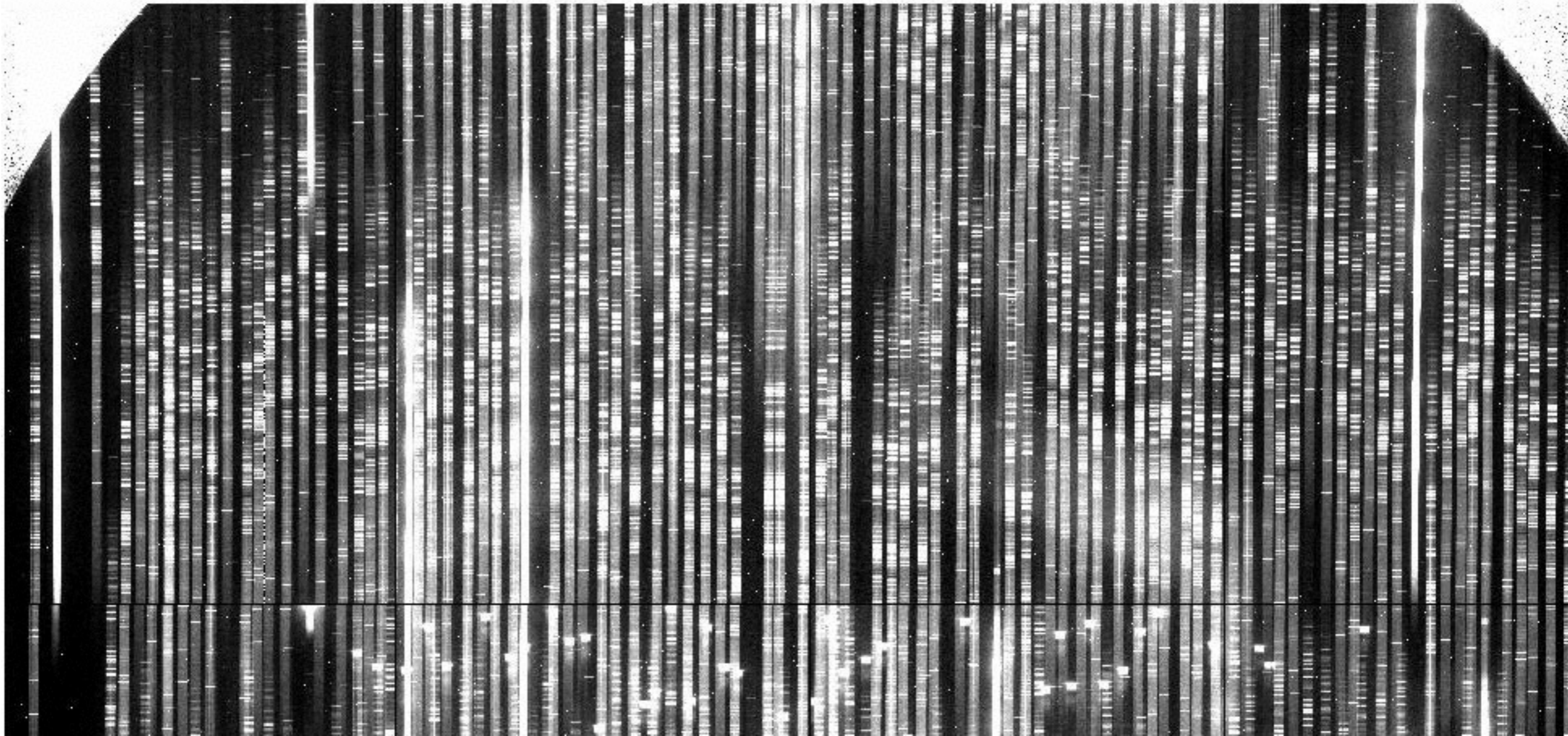


# Multi Object Spectrographs - laser cut slits

IMACS on the Magellan 6.5m telescope

First spectrum with 240 slits

<http://www.lco.cl/telescopes-information/magellan/instruments/imacs/>

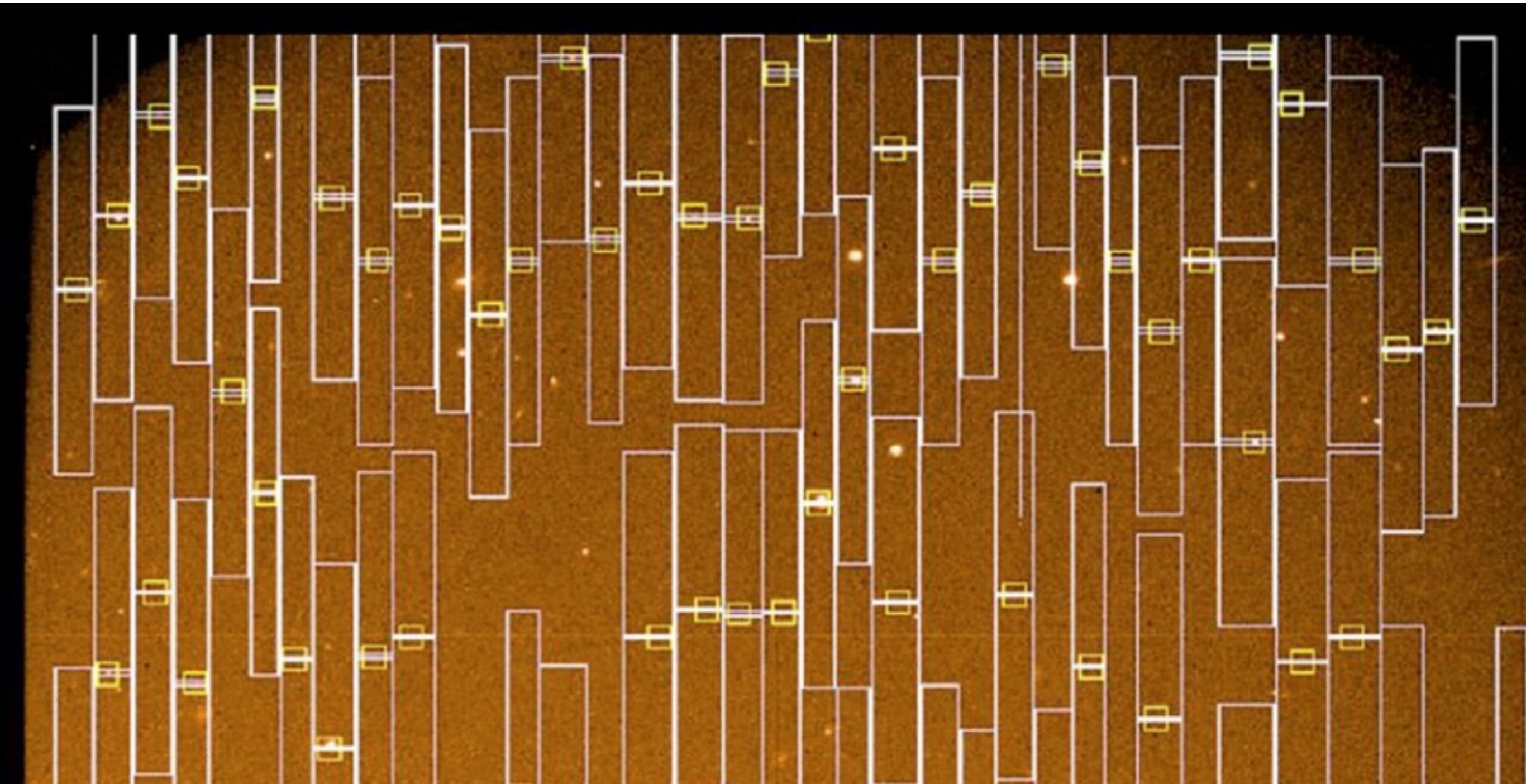


# Multi Object Spectrographs - laser cut slits

VIMOS on the VLT telescopes

You decide where to put the slits on the science field

Can take up to two weeks to manufacture

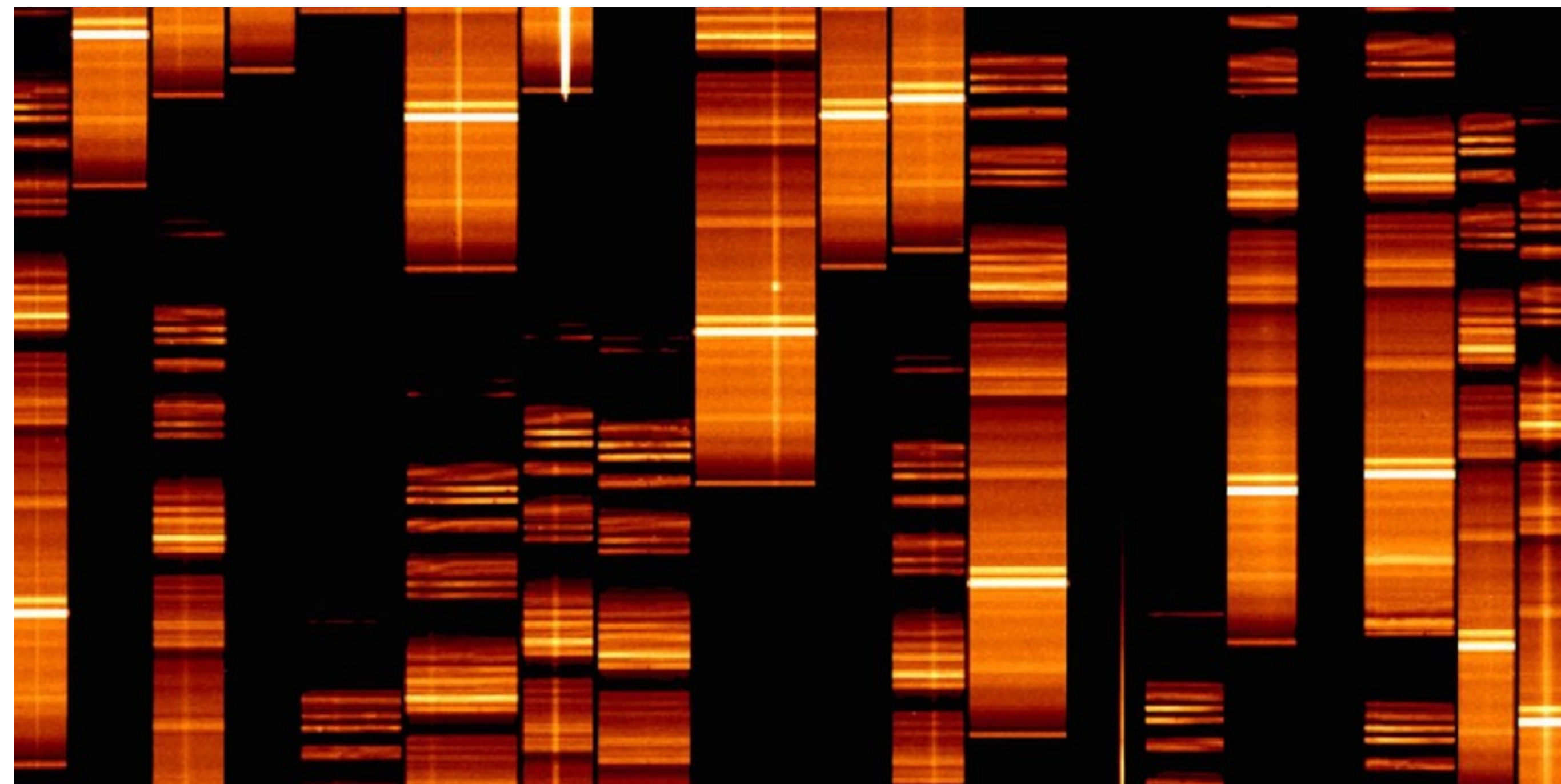


# Multi Object Spectrographs - laser cut slits

VIMOS on the VLT telescopes

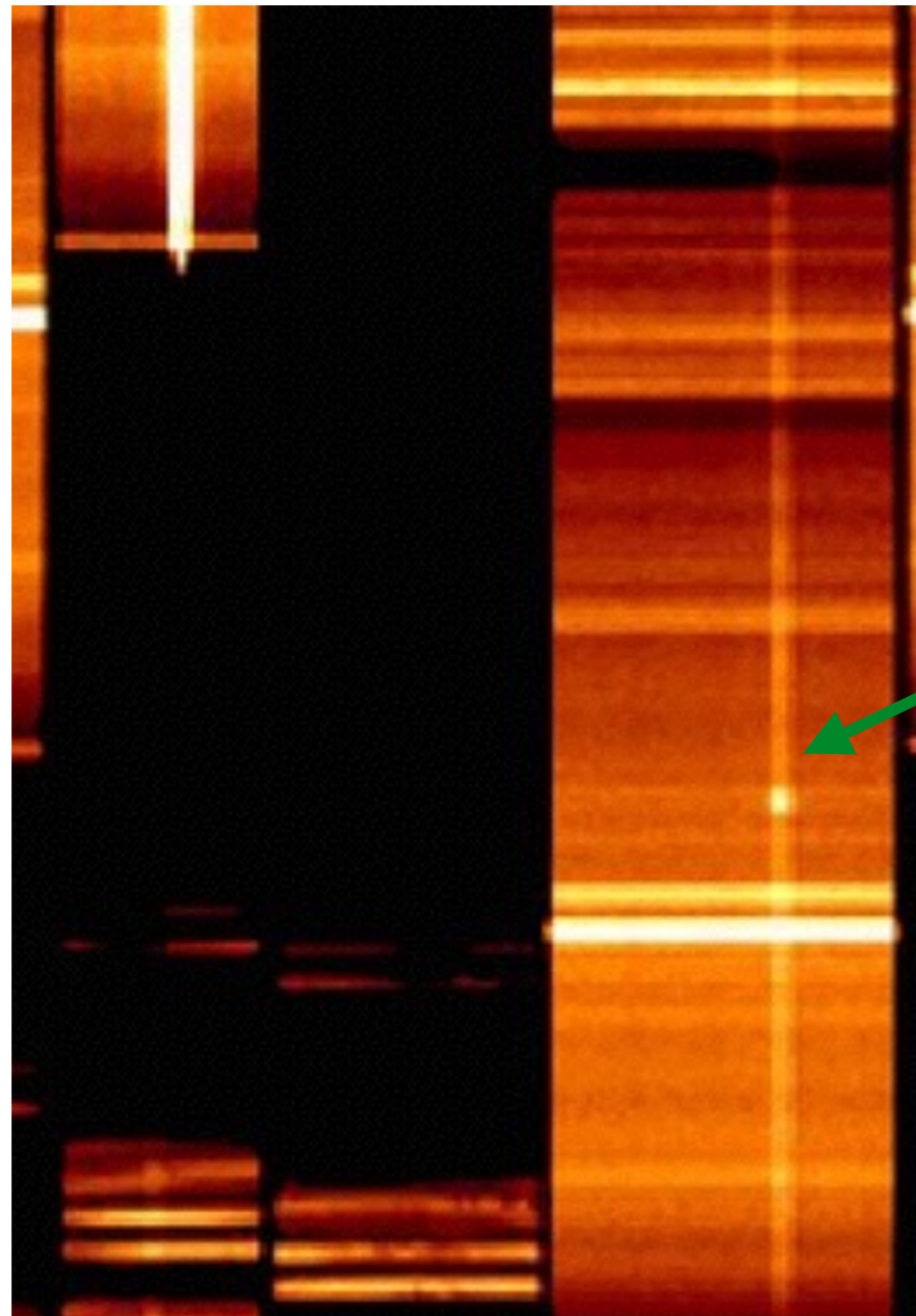
Number of spectra limited by sky coverage

<http://www.eso.org/public/news/eso0209/>



# Night sky emission lines in NIR

VIMOS on the VLT telescopes



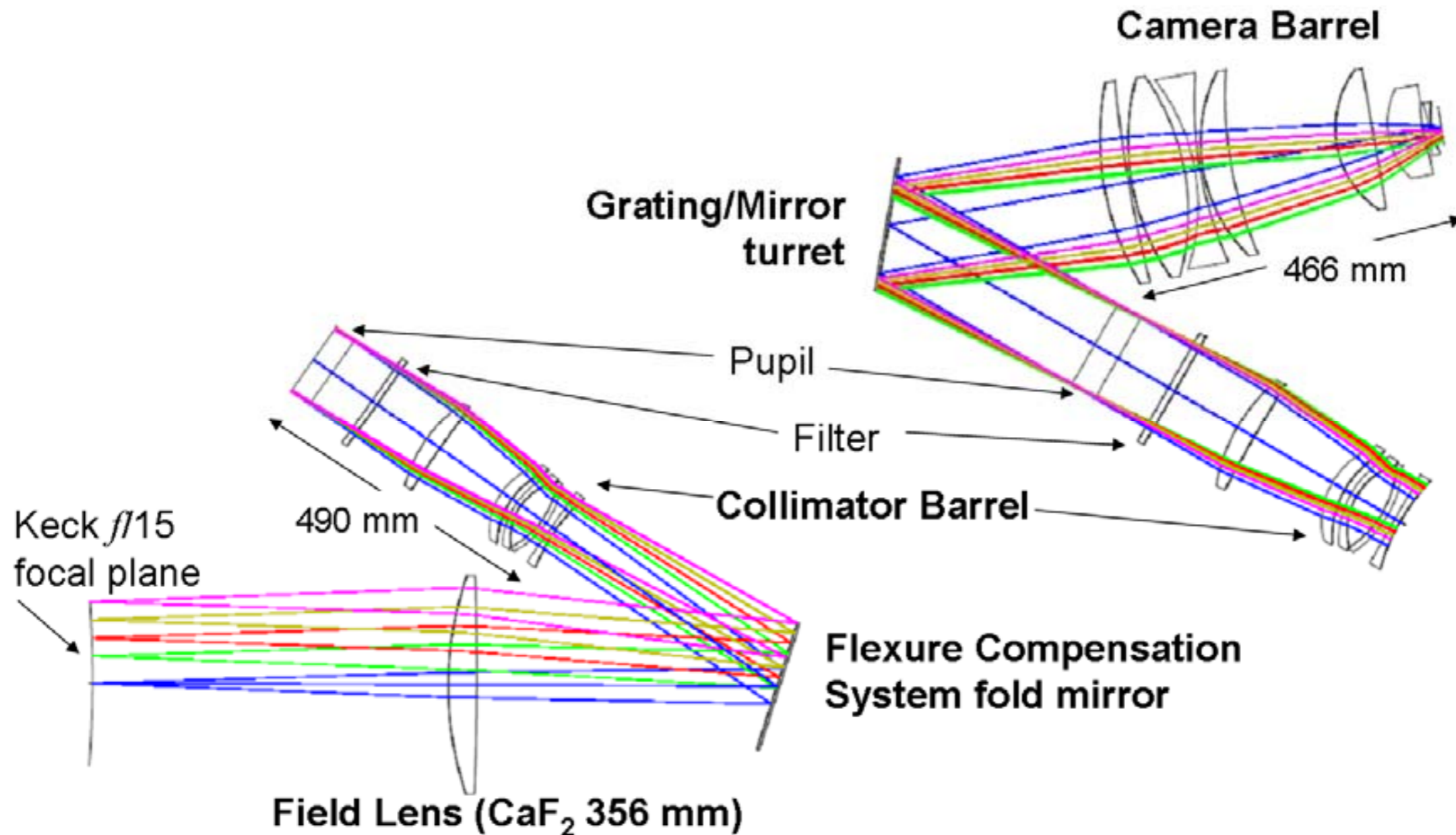
<http://www.eso.org/public/news/eso0209/>

Spectrum of galaxy

Night sky emission lines

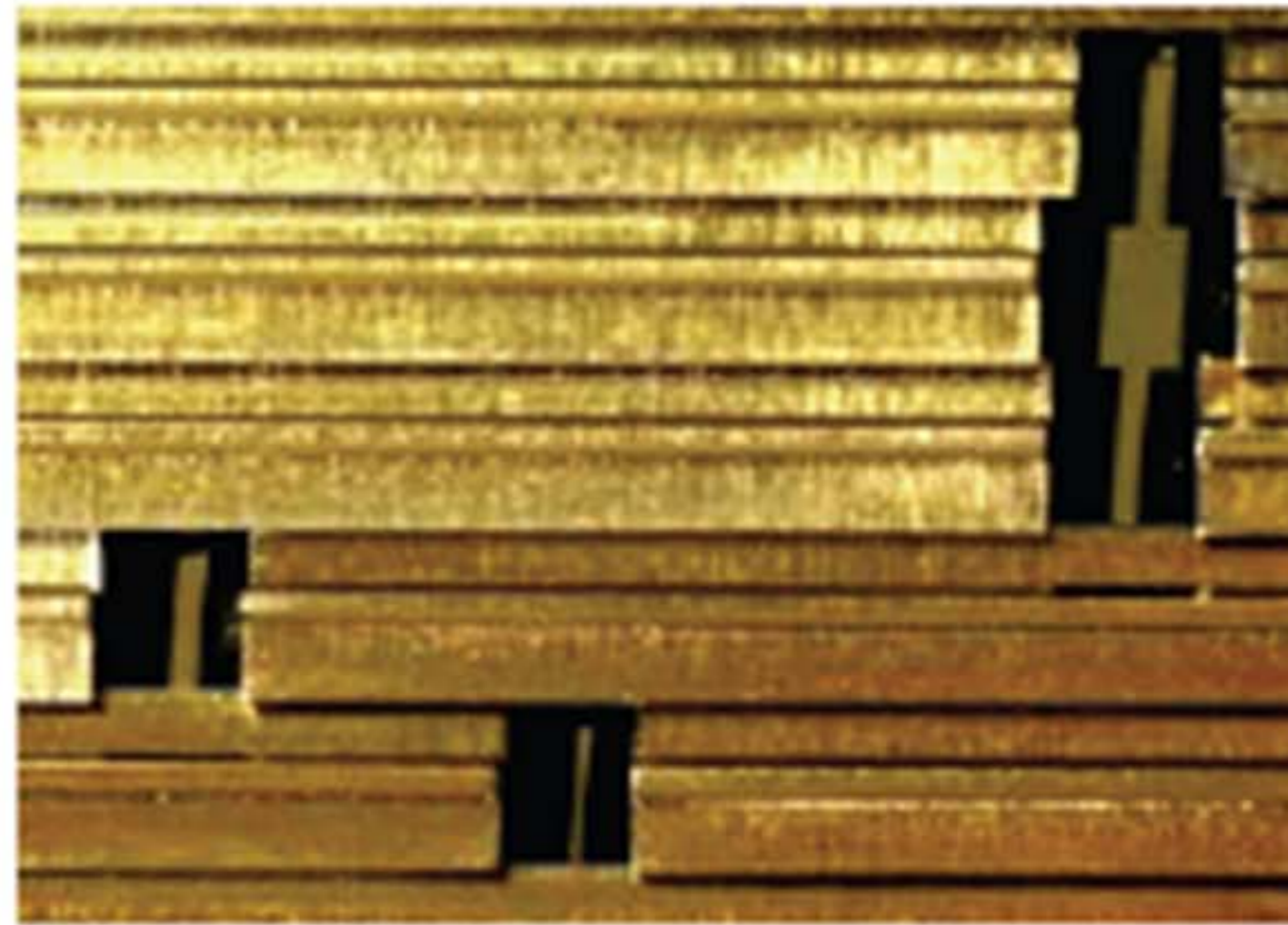
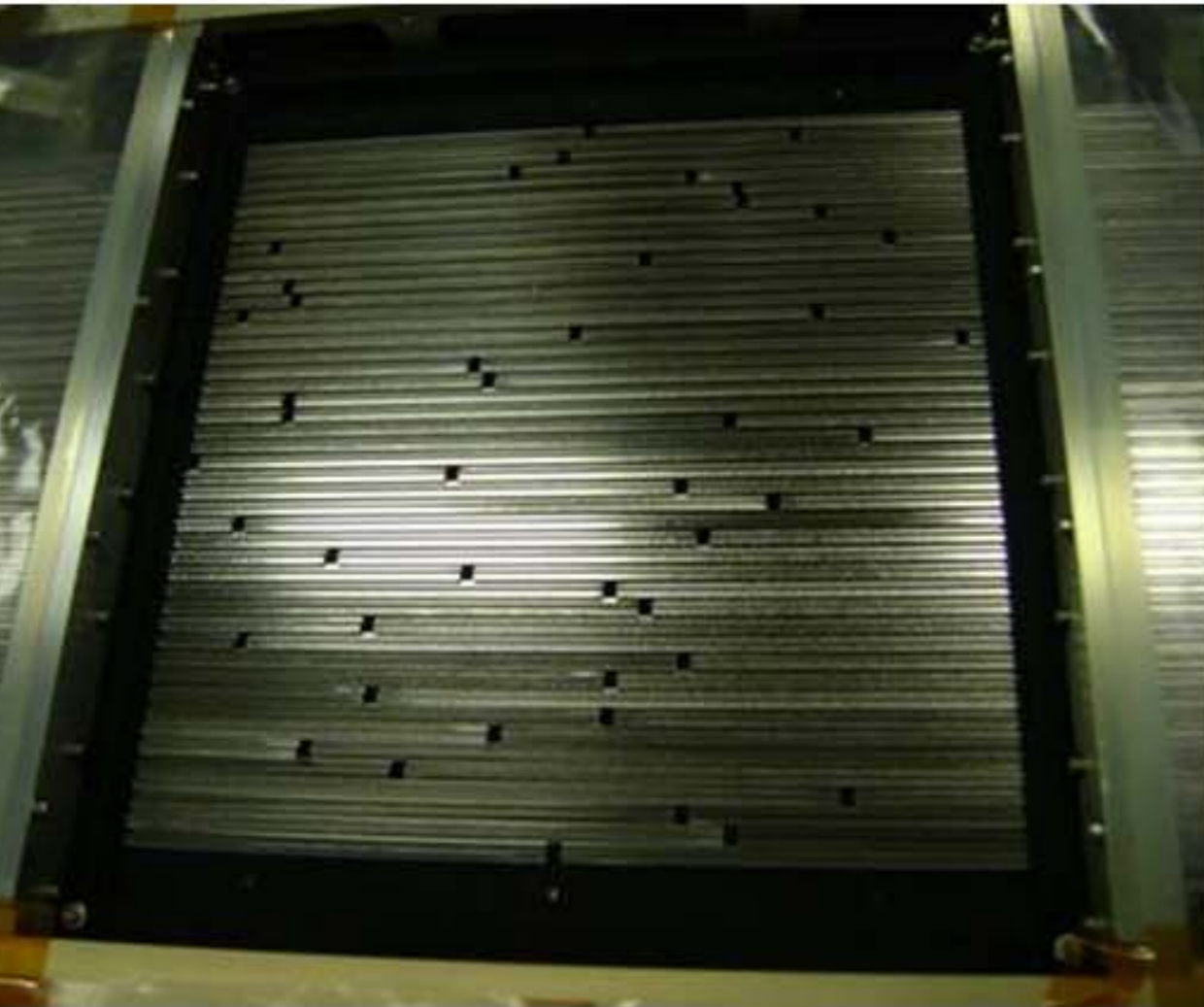
# Configurable slits on MOSFIRE (Keck)

NIR multi-object spectrograph



# Configurable Slit Unit (CSU)

**Cryogenic slits can be reconfigured in cold and in vacuum dewar!**



# Configurable slits on MOSFIRE (Keck)

Adjustable mechanical slits allow for much faster configuration

McLean 2012

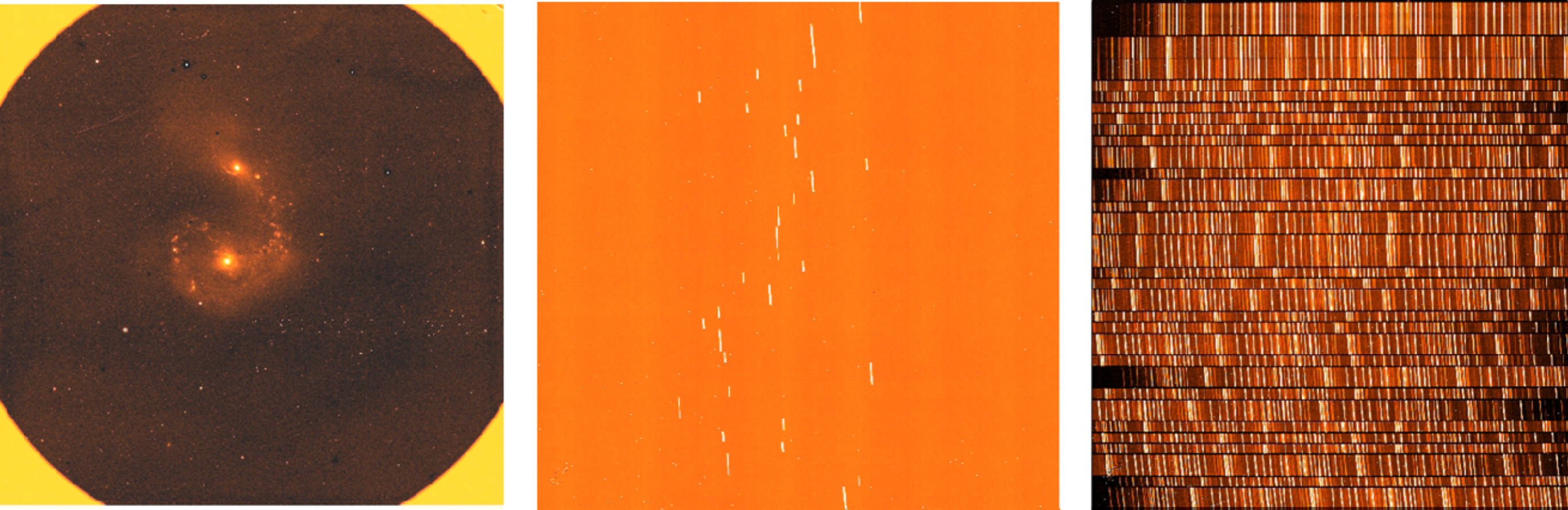
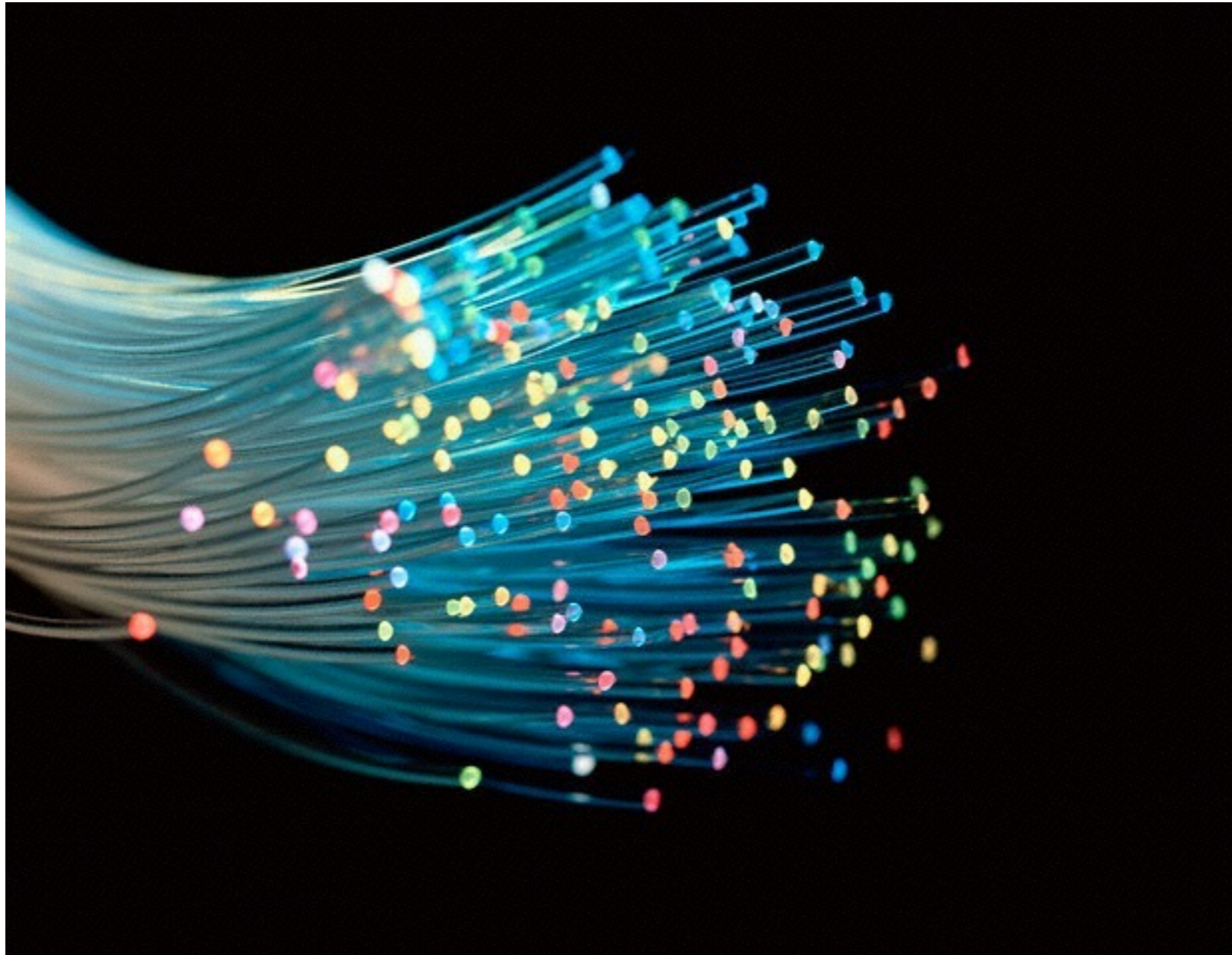


Figure 7. On the left is the layout of the MOSFIRE field on the sky with a 58s J-band image of The Antennae galaxies. The middle image is of a slit mask and the right image is the night sky emission with this mask in H-band.

# Fibre Optics





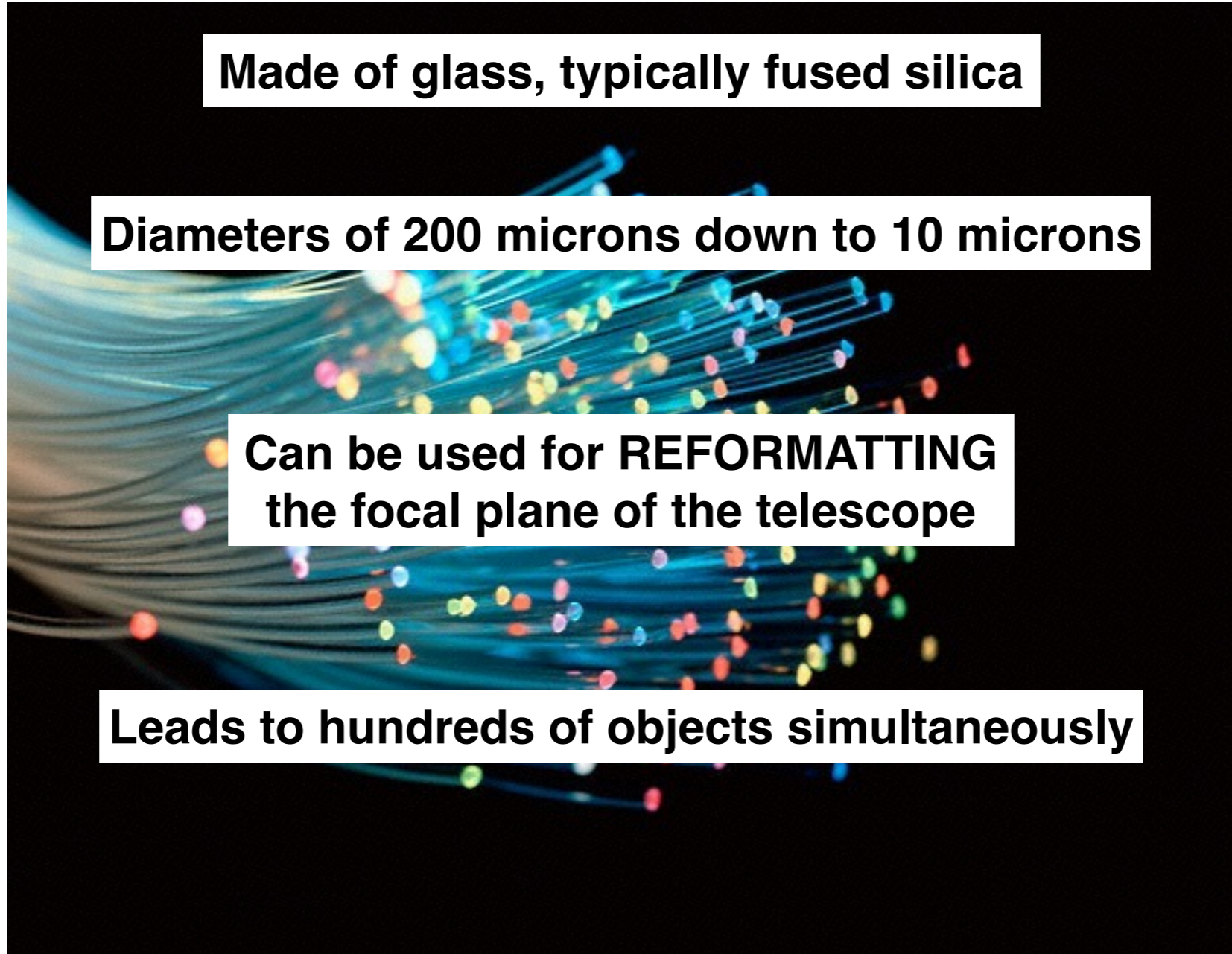
# Fibre Optics

**Made of glass, typically fused silica**

**Diameters of 200 microns down to 10 microns**

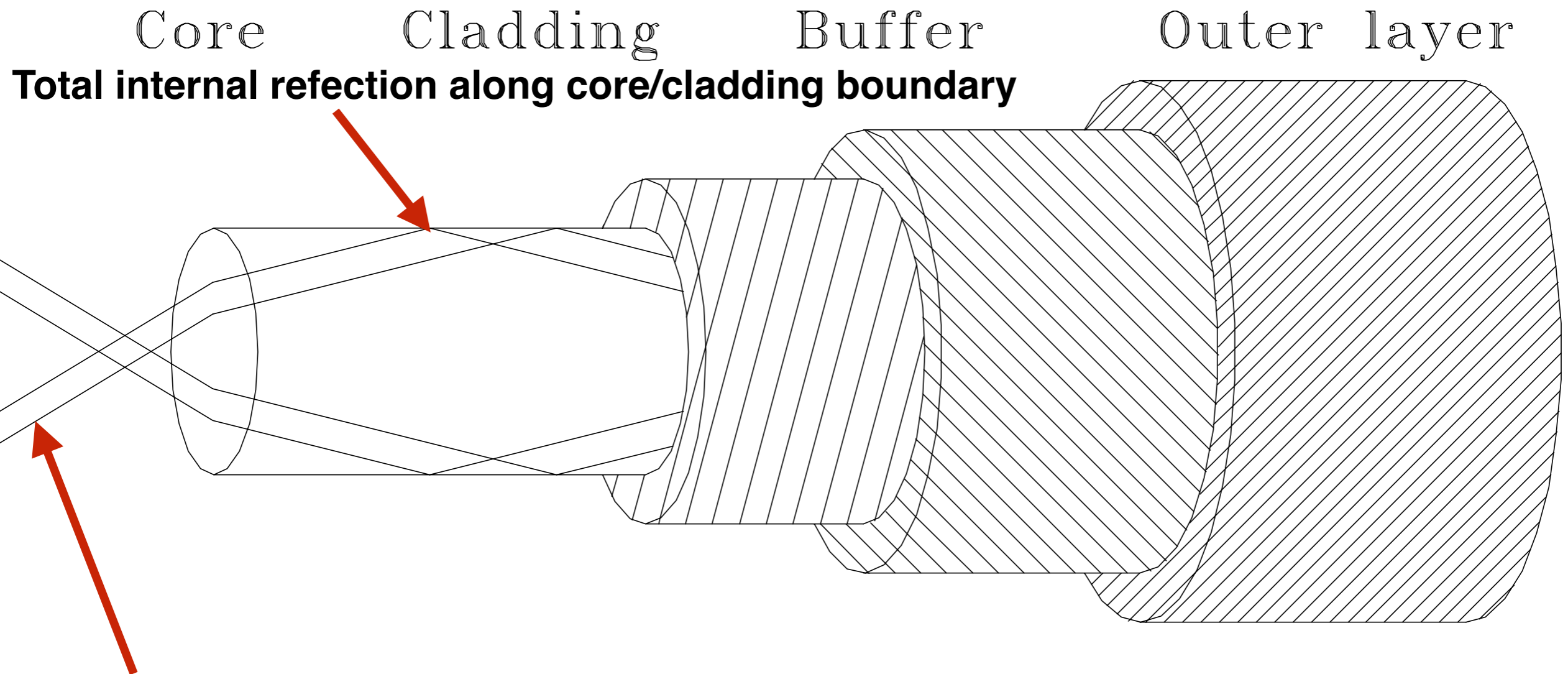
**Can be used for REFORMATTING  
the focal plane of the telescope**

**Leads to hundreds of objects simultaneously**



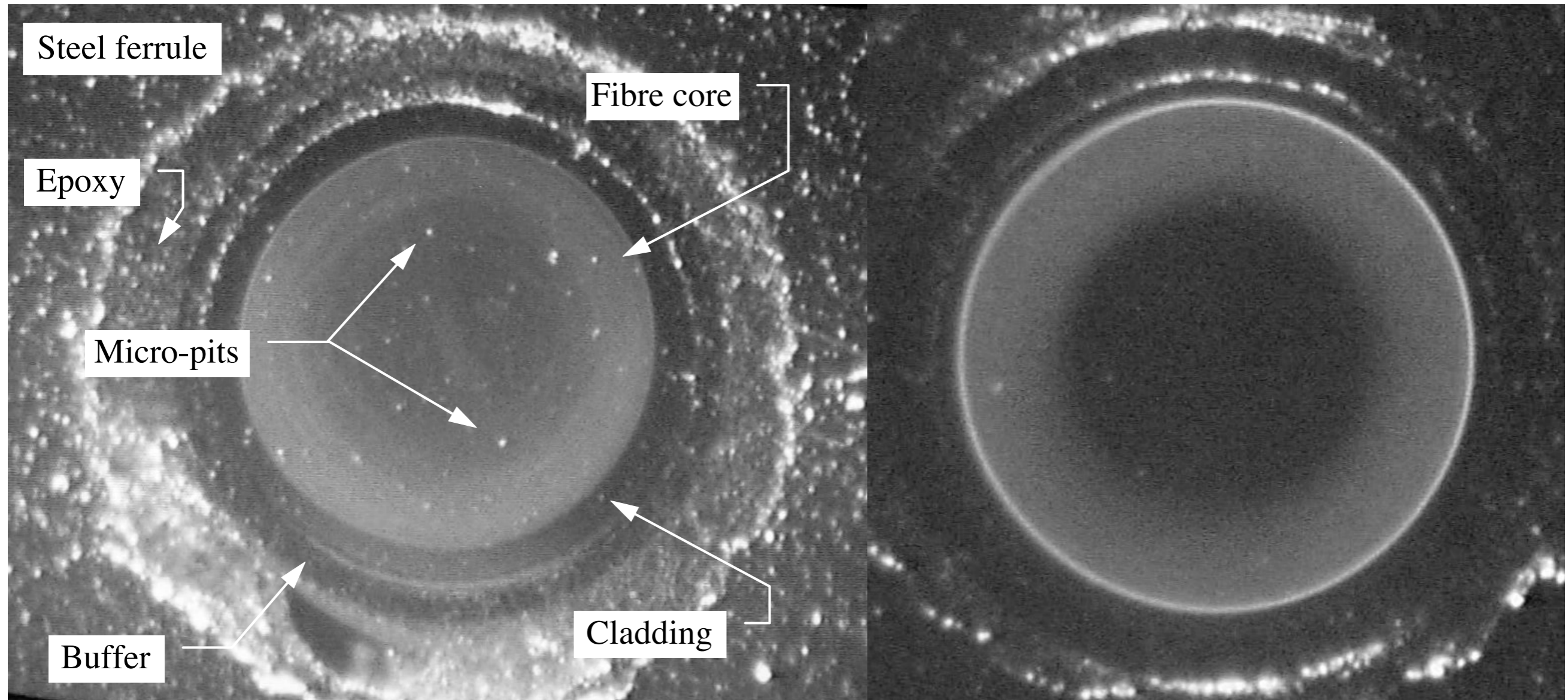
# Structure of an optical fibre

**Cladding has higher refractive index than core material**



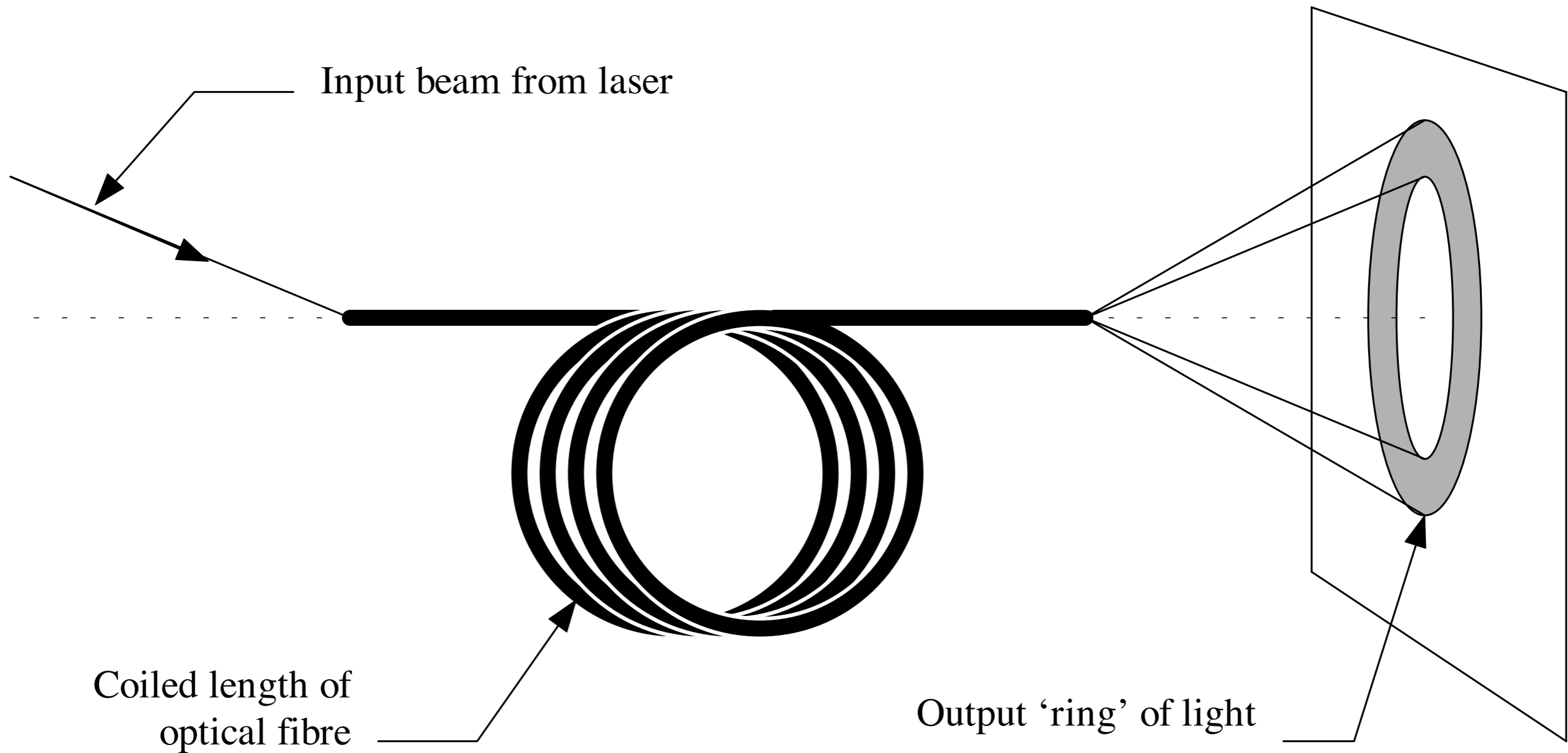
**Largest acceptance angle dependent on core and cladding refractive indices**

# Everything is big when you are 100 microns in size



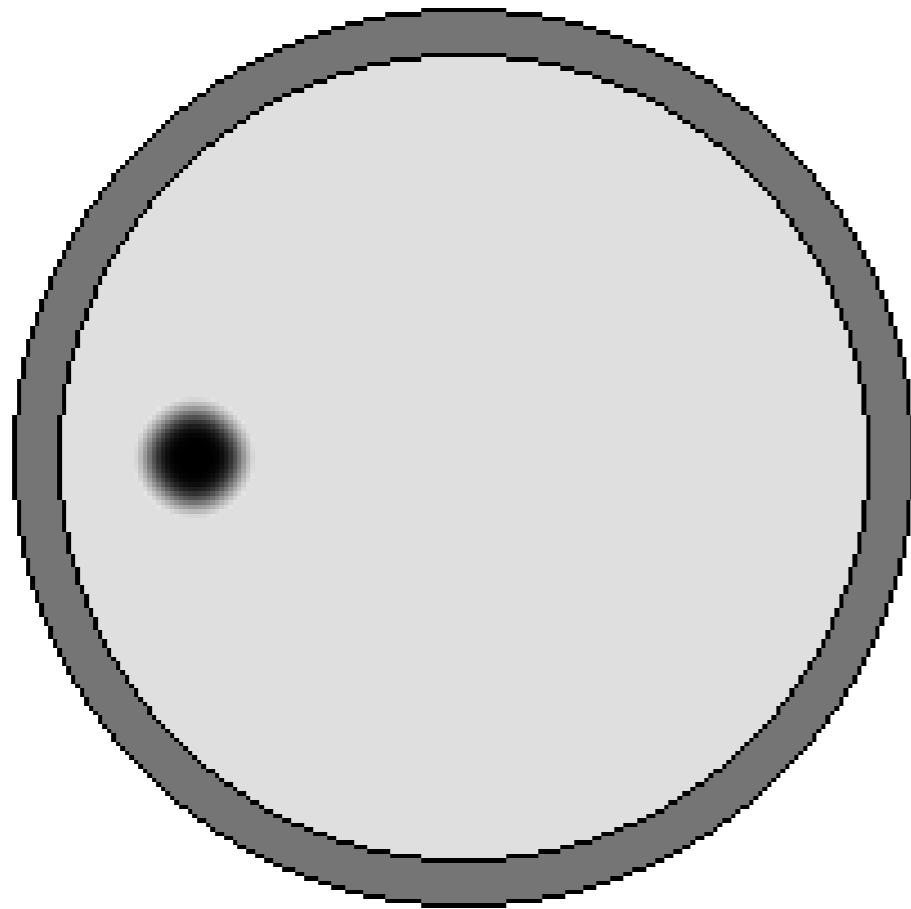
**Figure 2-14** *Examining the fibre faces.* On the left the fibre face is checked for micro-pits - several can be clearly seen. On the right the back-illuminated fibre shows a clean ring of light across the face of the fibre.

# Optical Fibre - azimuthal scrambling

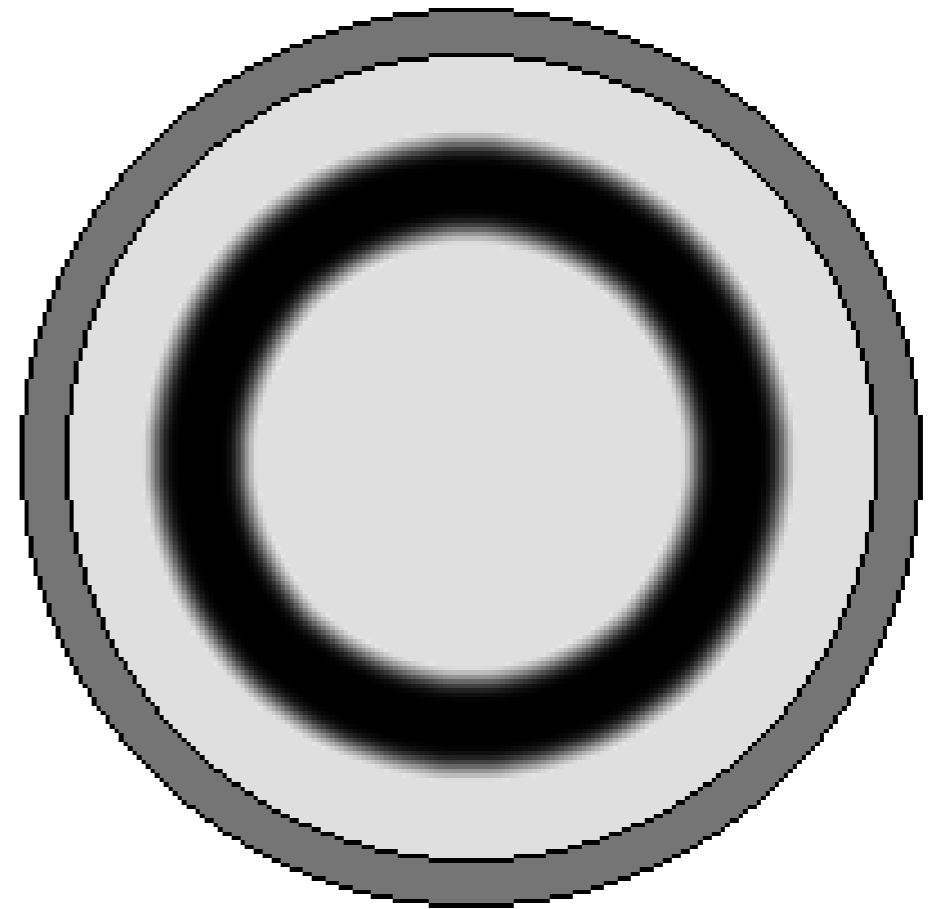


**Thousands of internal reflections from curved interface**

# Optical Fibre - azimuthal scrambling



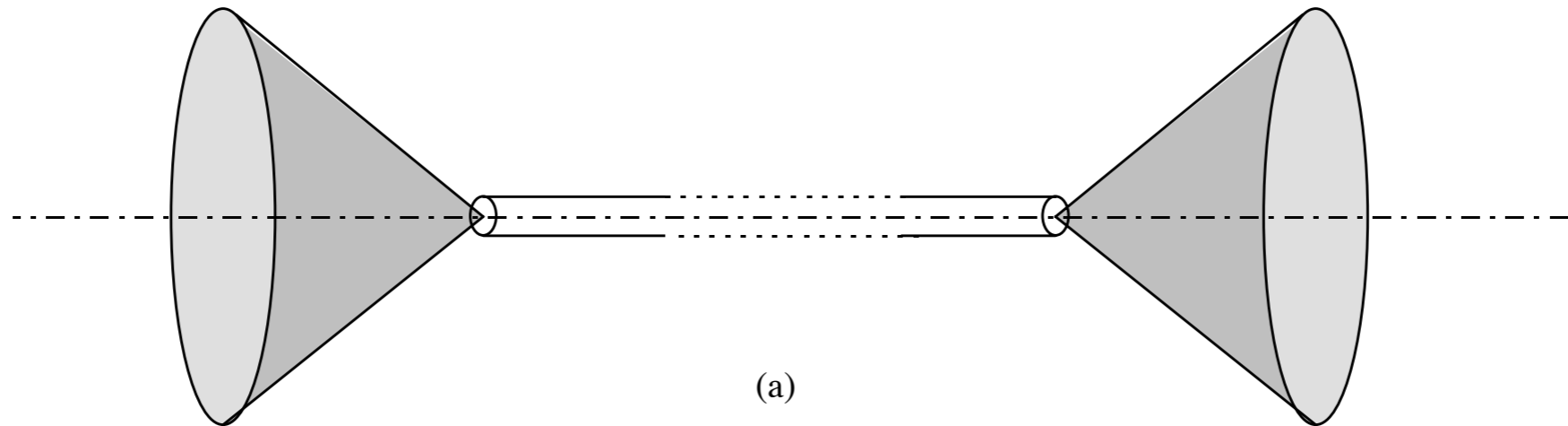
Input fibre face



Output fibre face

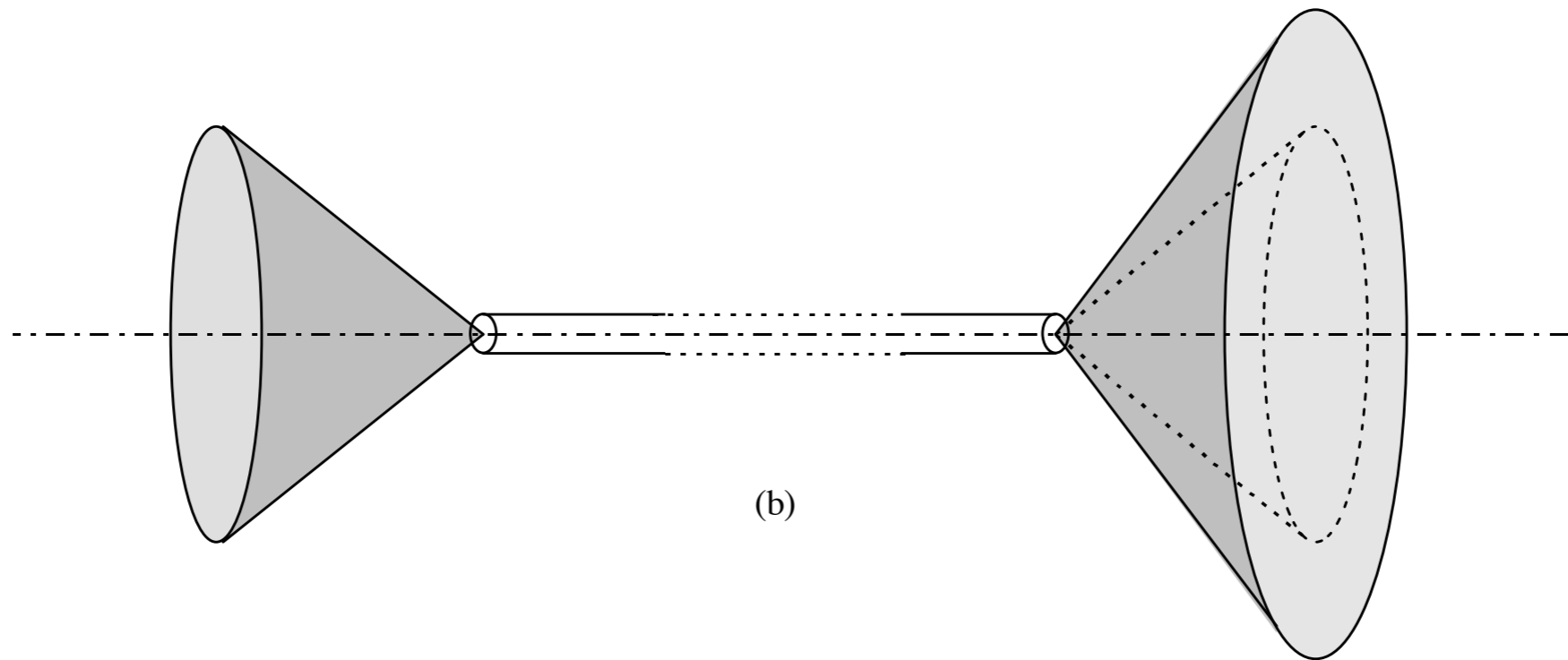
Looking down on the end of the polished fibre end

# Optical Fibre - Focal Ratio Degradation



(a)

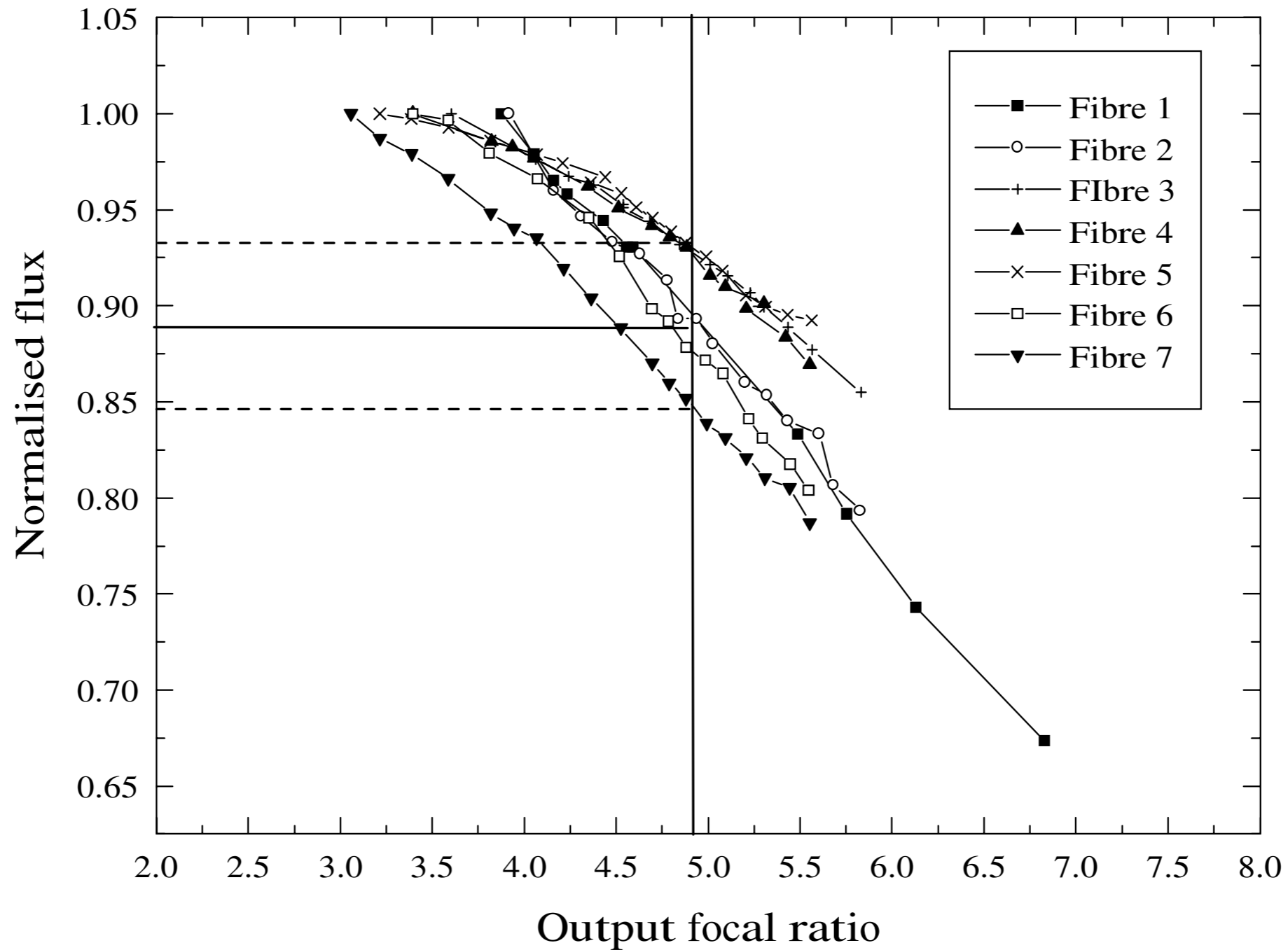
**Ideal preservation of input beam angle and output beam angle**



(b)

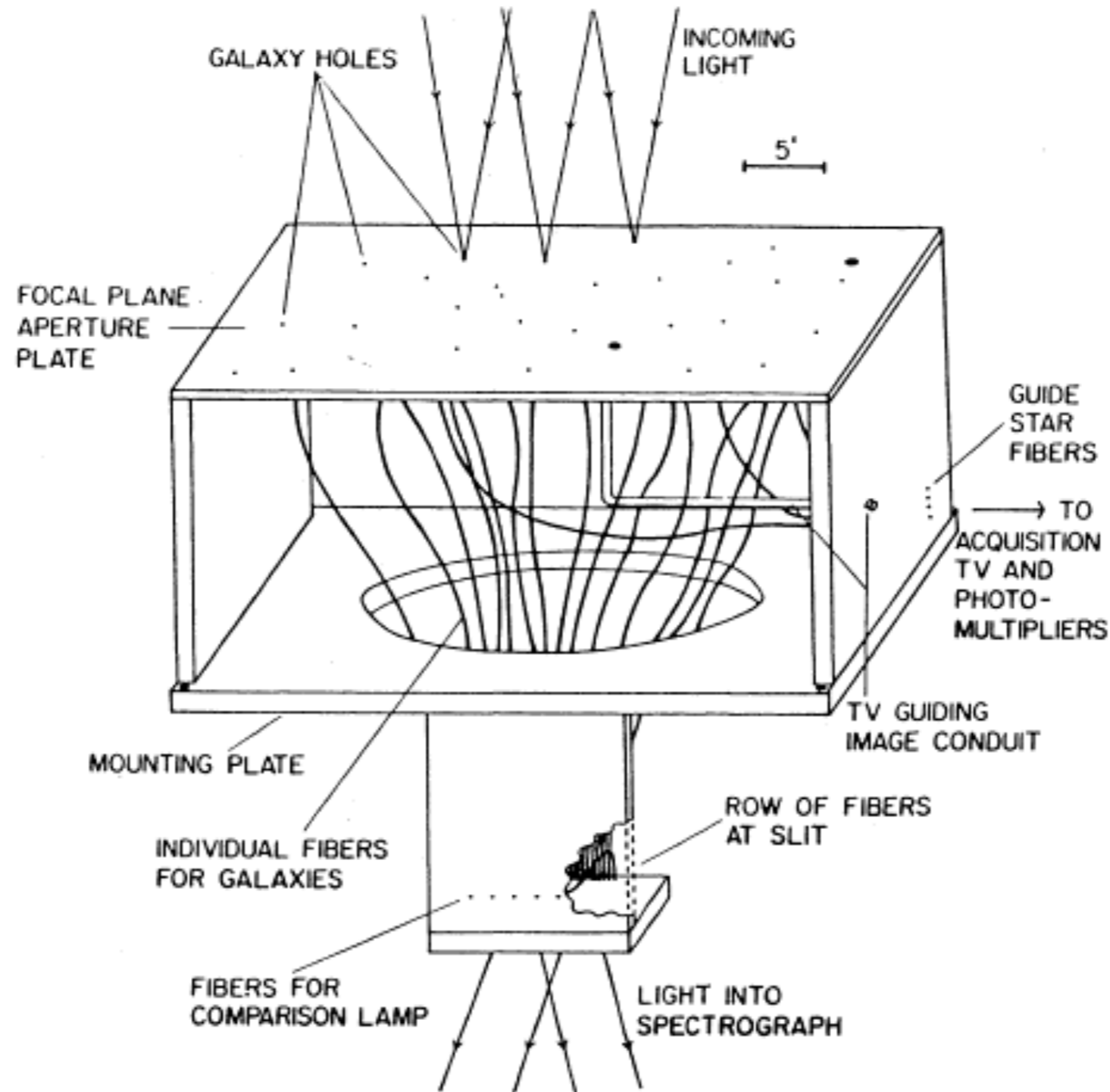
**Deformation and stress causes light to 'spread' in output angle cone**

# Loss of flux from FRD if you don't make the output optics bigger in size



**Figure 2-19** *FRD test results for seven SPIRAL fibres. This is for a 16m length of Polymicro 50/70/90/110 $\mu$ m fibre measured at 600nm.*

# Plug plates drilled manually to match target fields

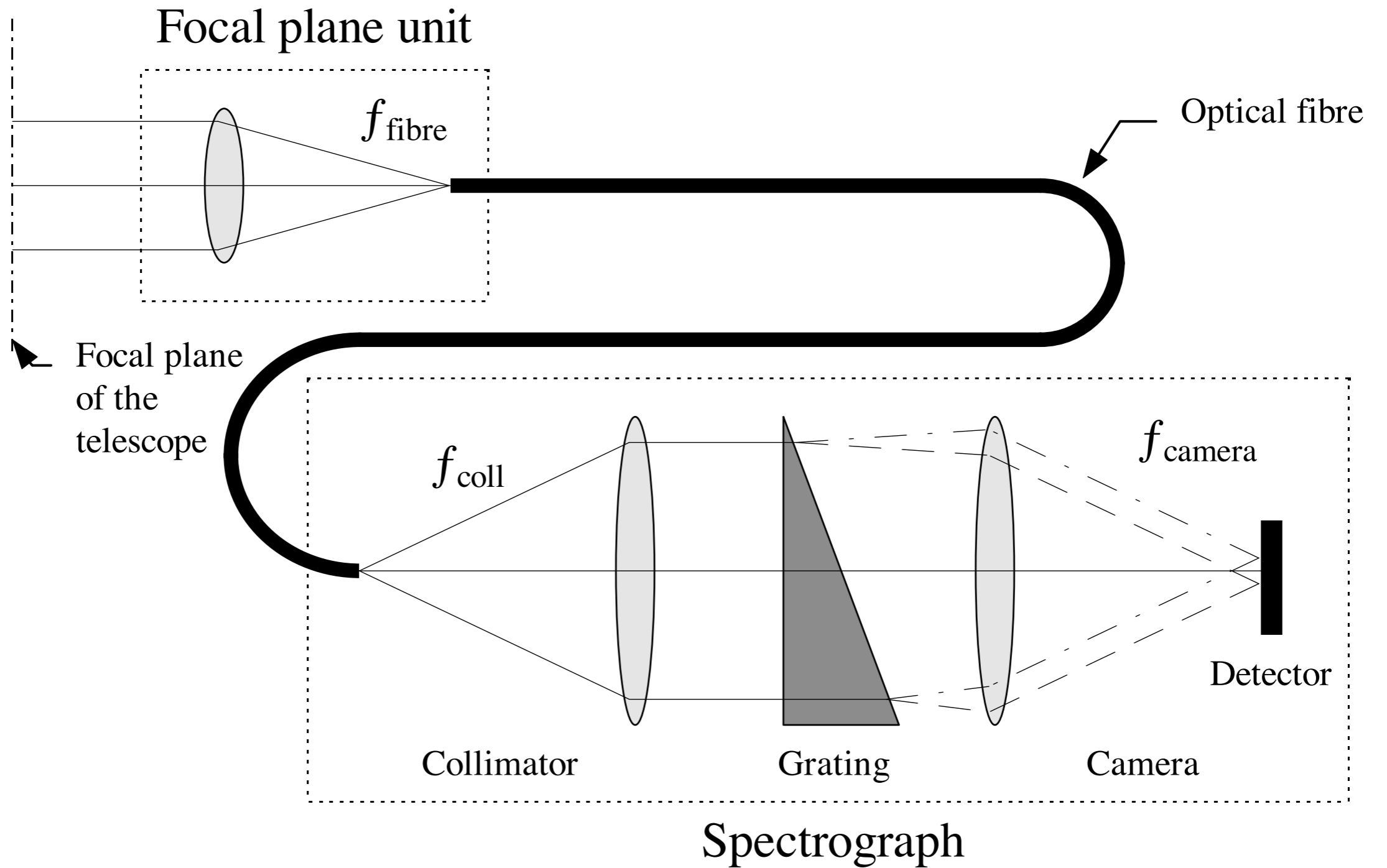


Hill 1988 ASPC

Figure 2. Schematic drawing of the aperture plate nucleus of the MEDUSA spectrograph.



# Optical Fibre Spectrograph



# Gluing optical fibres onto a glass plate(!)

The fine plate-scale (67 arcsec/mm) meant that drilling holes in brass plates was not an option for fibre positioning, due to thermal and other considerations. The required positioning accuracy for the fibres was 10  $\mu\text{m}$  over the whole field (think of sticking a pin in a cricket pitch with a precision of 1 mm). It was one of the editors of these proceedings who suggested a viable alternative. Tacking the fibres directly onto transparent star and galaxy images on a positive copy of the target field using UV-curing cement seemed like a blindingly obvious solution to David Malin, with his background in photography and polymer chemistry.

Unlikely as it sounds, this technique worked rather well when it was tried out late in 1983. It required a special plate-holder to support the glass positive plate and bend it to the focal curvature. This had the same dimensions as the photographic plate-holders, so it could be loaded via the existing elevator, and was built for the project by UKST technicians Eric Coyte and Magnus Paterson (Fig. 3). It was another nine months before the necessary components for a fibre acquisition system had been built, but by October 1984, sets of stars spread over the full 6.5 degrees square field of the telescope were being simultaneously acquired. By then, too, the system had a name – FLAIR, for Fibre-Linked Array Image Reformatter. What else?



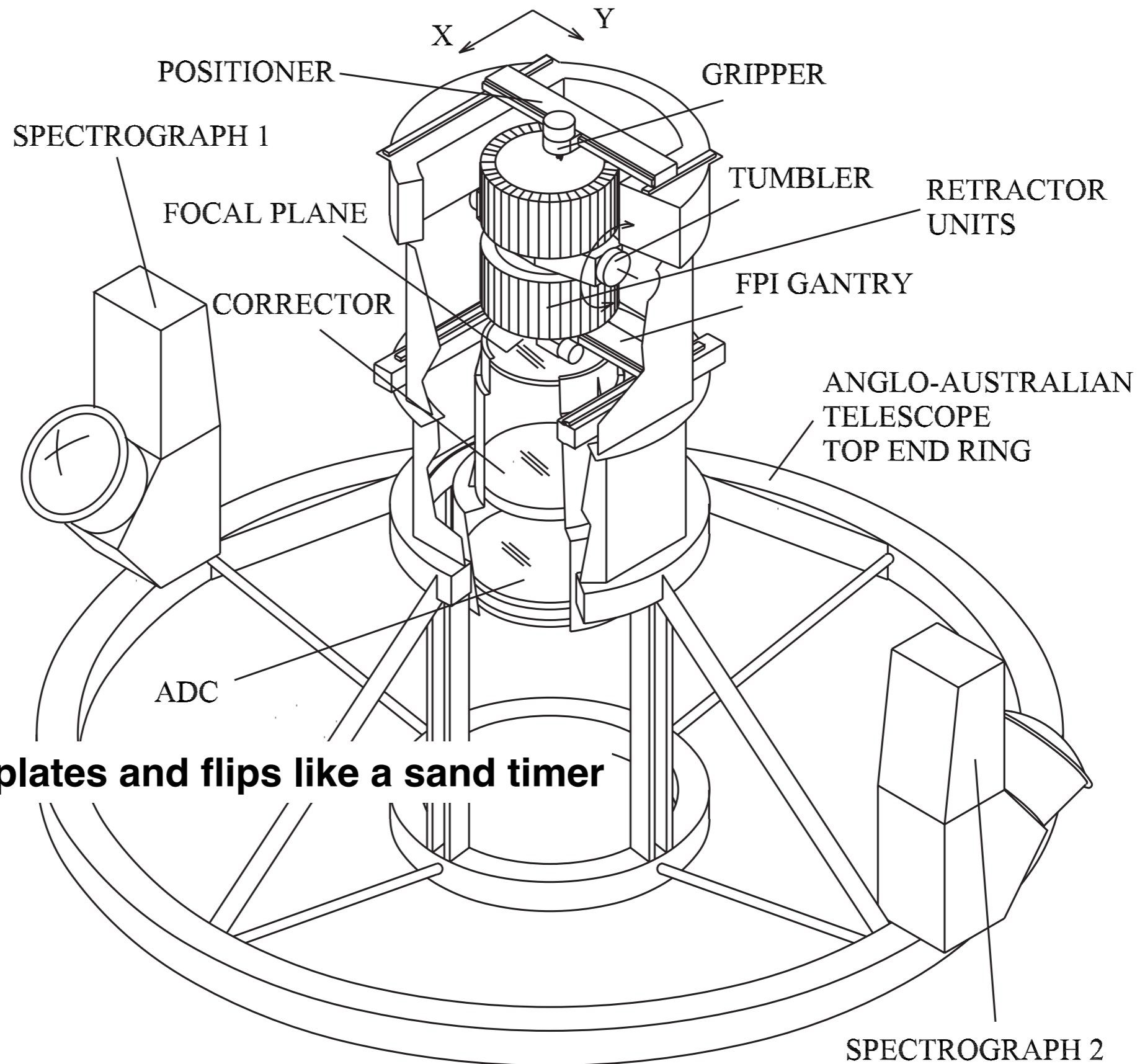
# **Robotic positioners - 2dF**

**Sits at prime focus of 4m Anglo-Australian Telescope  
400 fibres positioned whilst other 400 are observing!**



**Diameter of 140 microns (2.1 arcsec on the sky)**

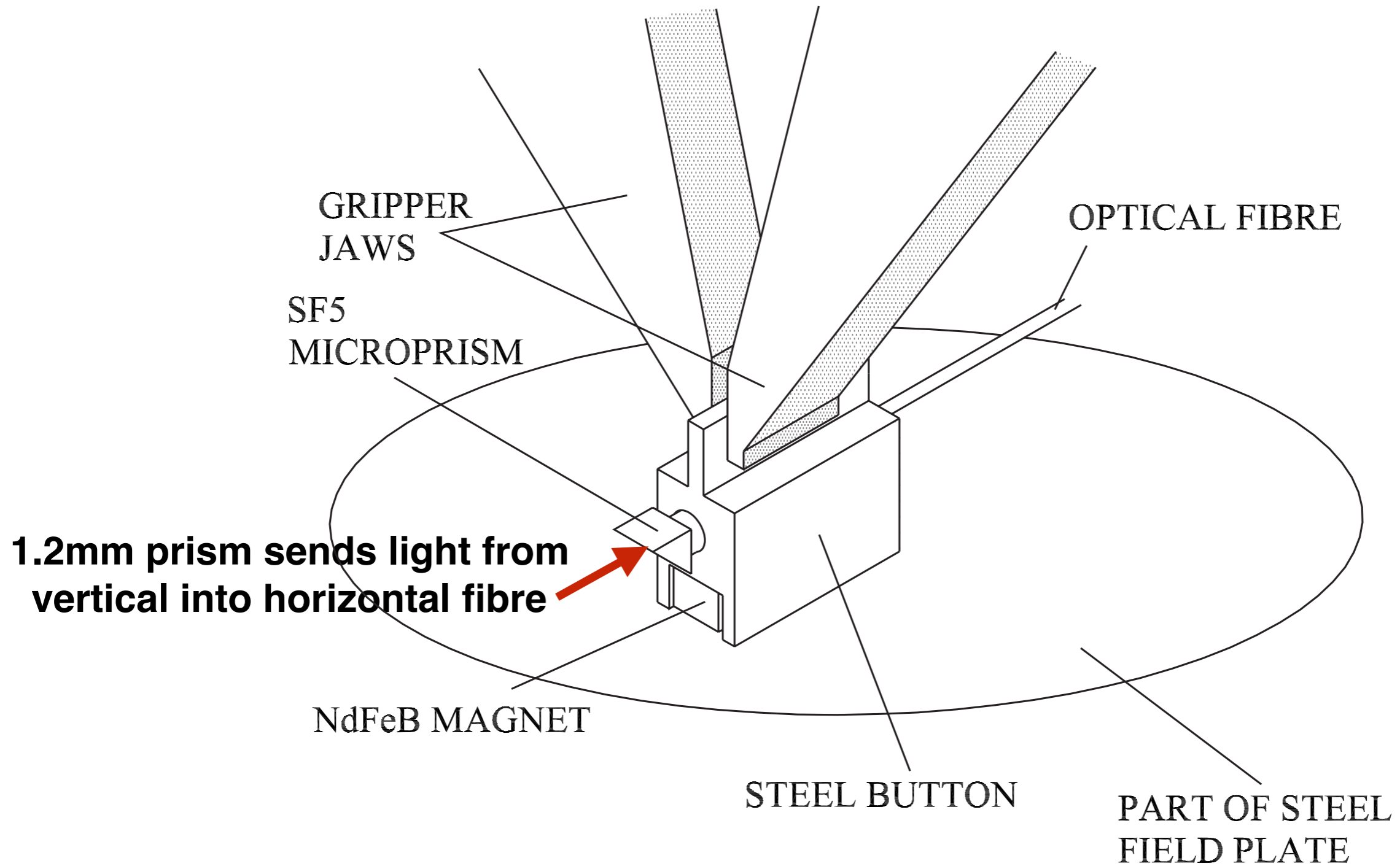
# Robotic positioners - 2dF



**Tumbler has two plates and flips like a sand timer**

**Lewis (2002)**

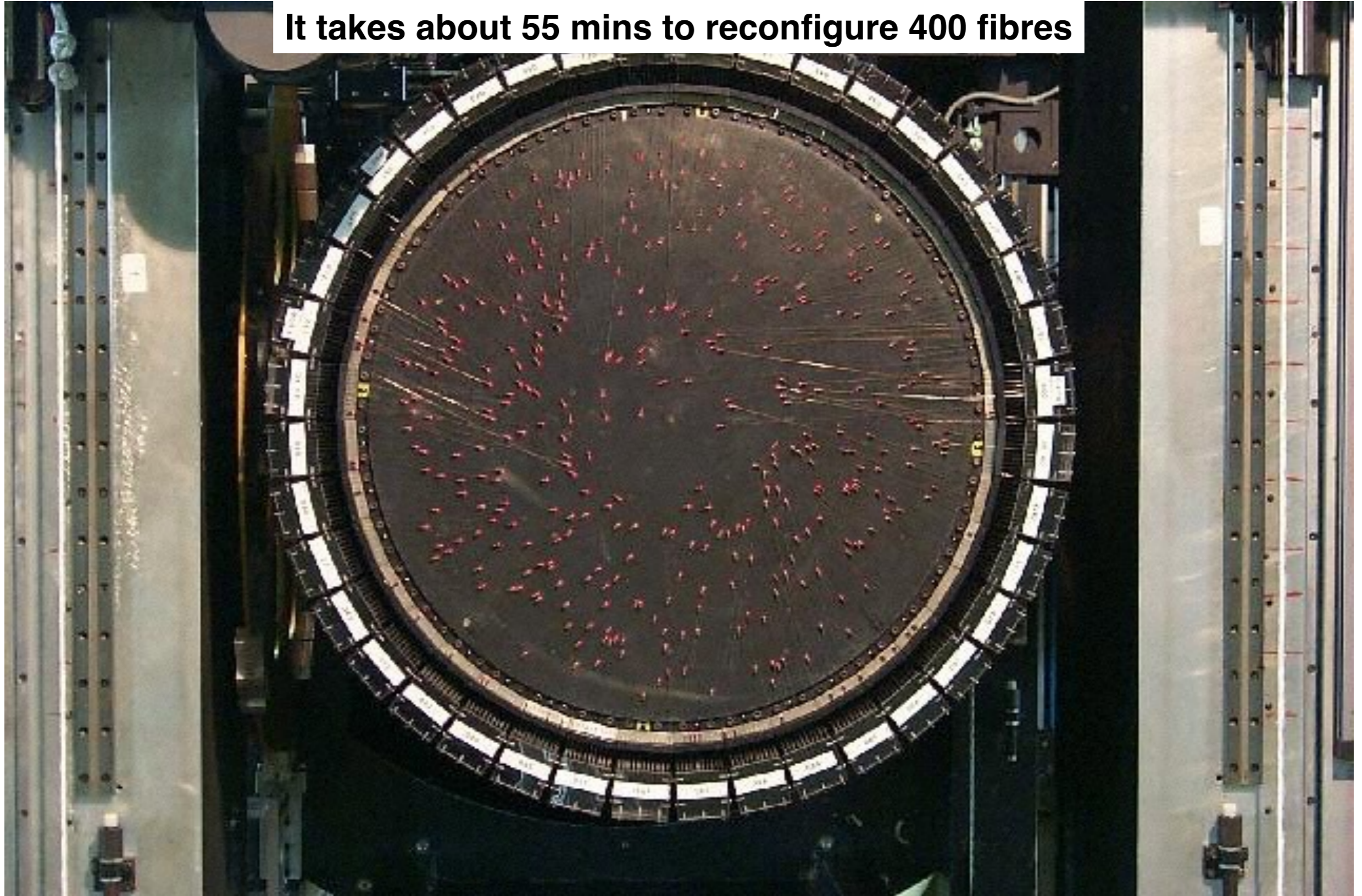
# Robotic positioners - 2dF



Lewis (2002)

# Robotic positioners - 2dF

It takes about 55 mins to reconfigure 400 fibres

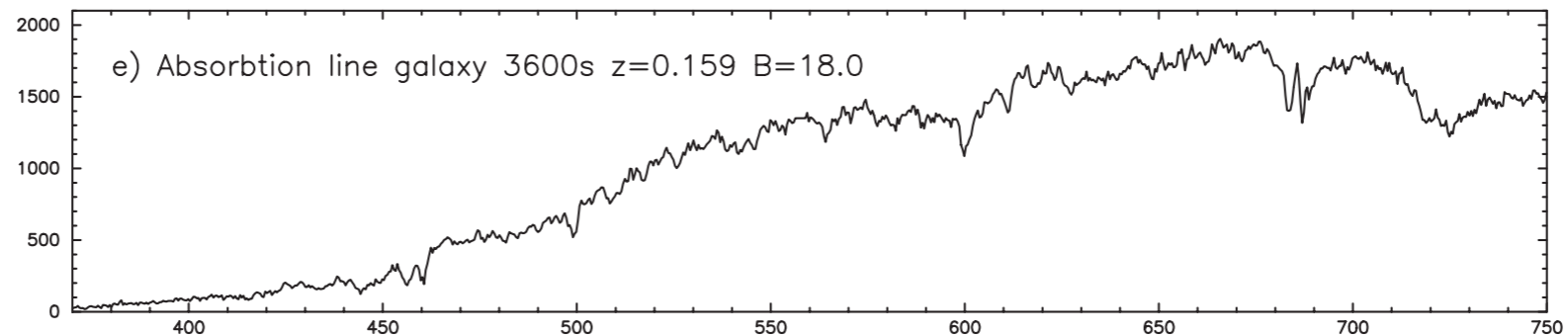
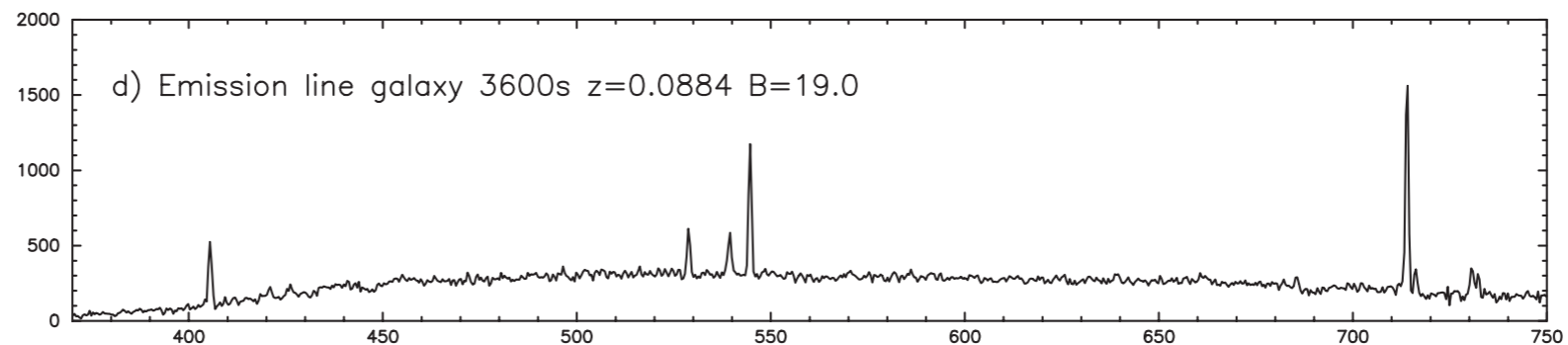
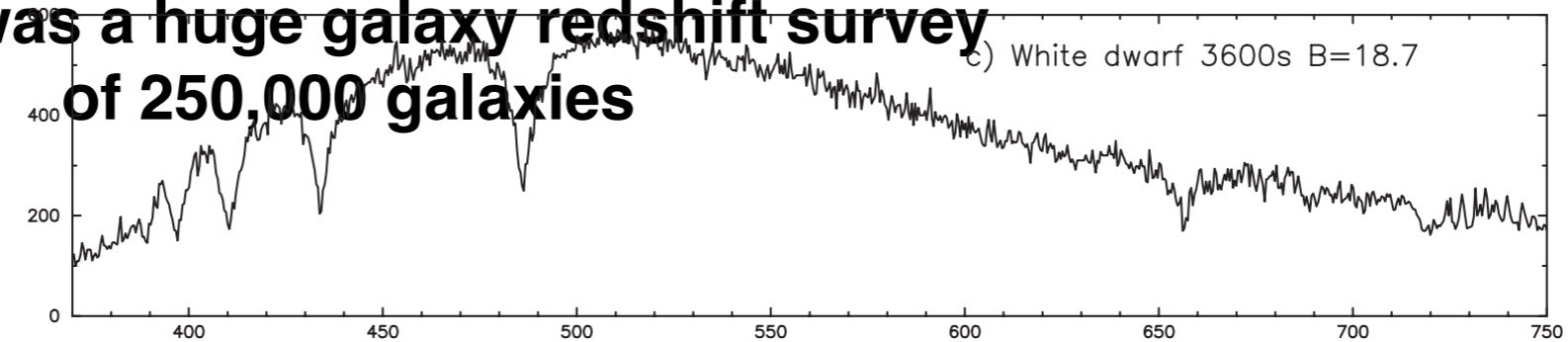
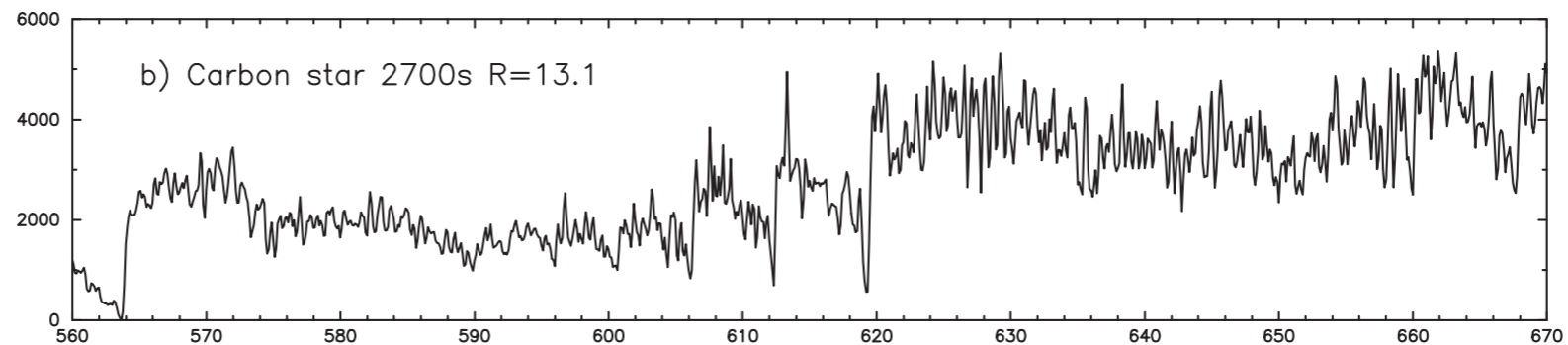
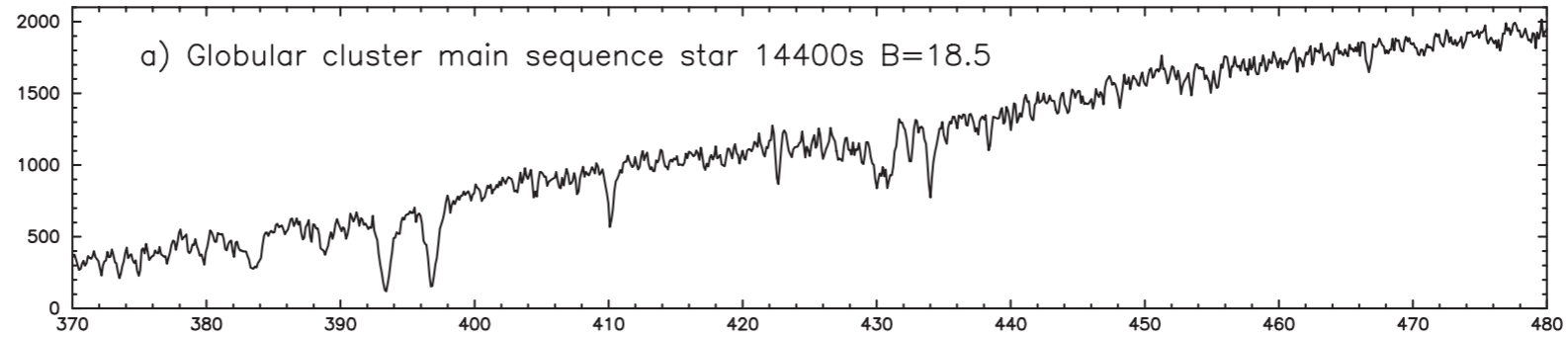




**Physical size of fiber carriers means that you cannot get two fibres closer than 30 arc seconds**

**Densely packed fields require MULTIPLE observations**

# Robotic positioners - 2dF



**Science was a huge galaxy redshift survey  
of 250,000 galaxies**

**Lewis (2002)**



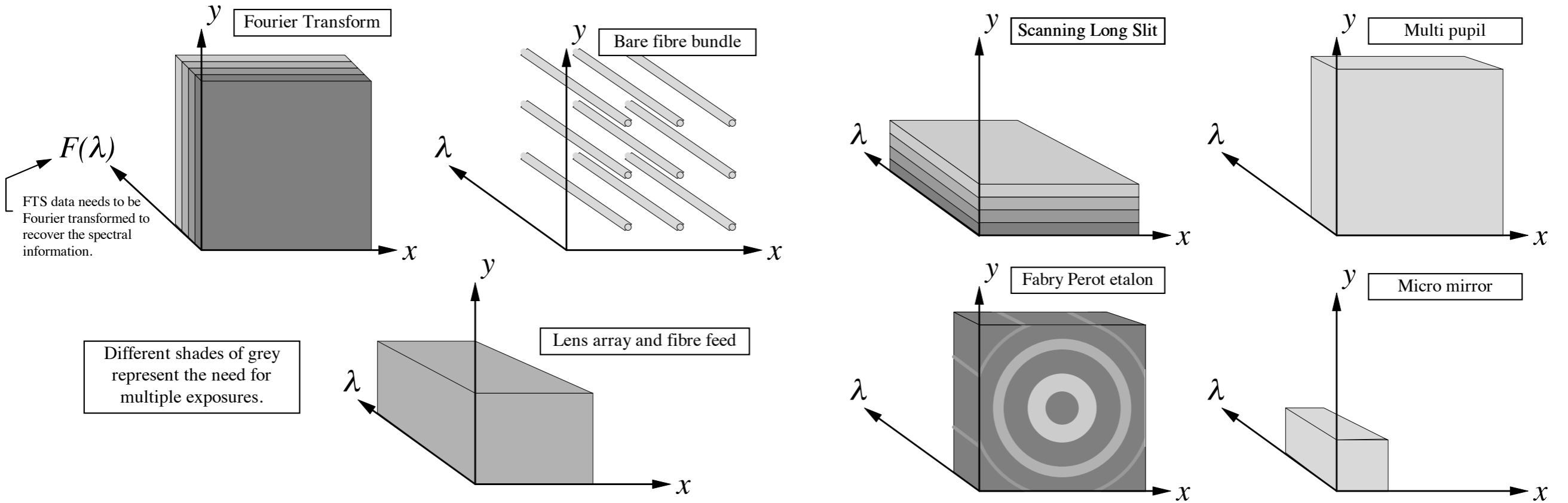
A top-down view of a robotic positioner. The device is a dark, rectangular unit with a grid of red LEDs on its surface. It is surrounded by various cables and connectors. The text "Robotic positioners - 2dF" is overlaid in a white box at the top.

## Robotic positioners - 2dF

**optical fibres are backlit with a red LED to help positioning - typically 11 microns rms precision**

# **3D Spectroscopy**

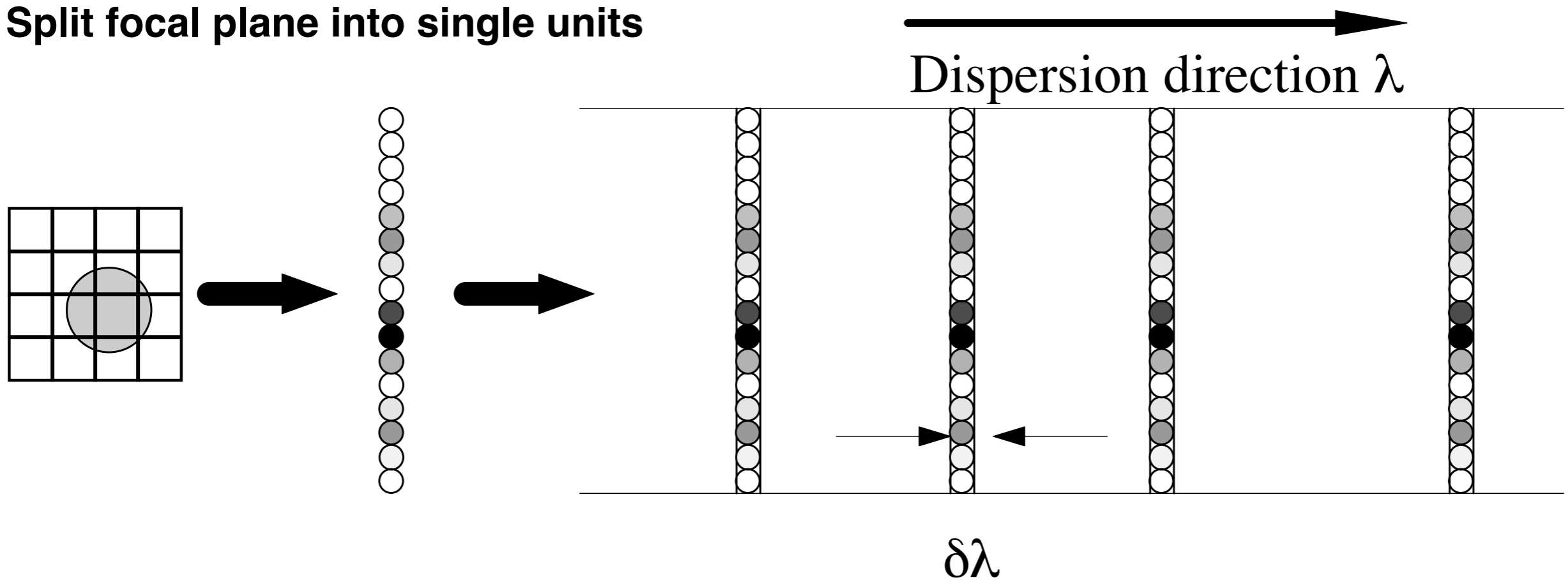
# 3D spectroscopy



# Optical Fibre Image Reformatter

Use the flexibility of fibres to reformat the 2D sky into a 1D entrance slit

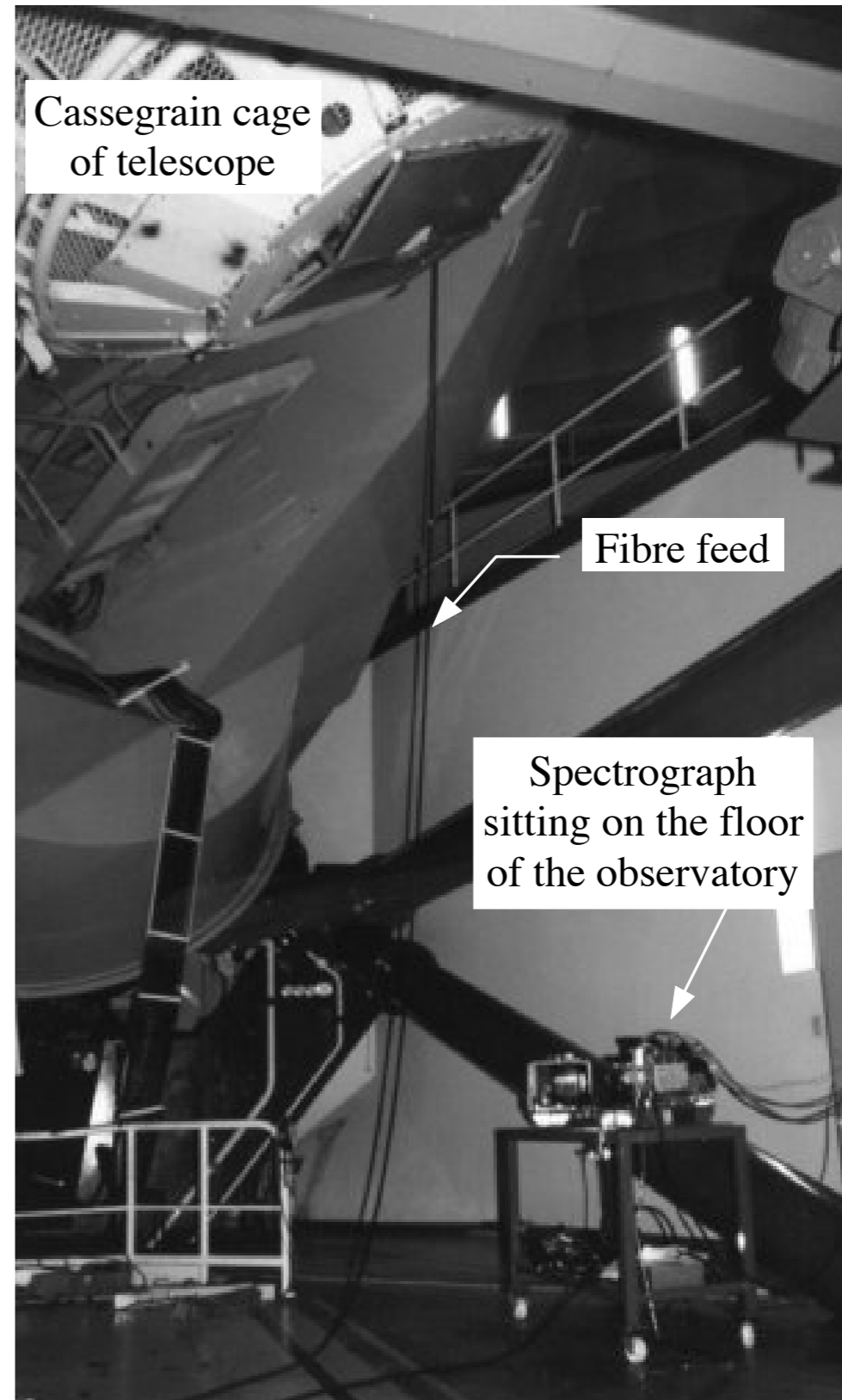
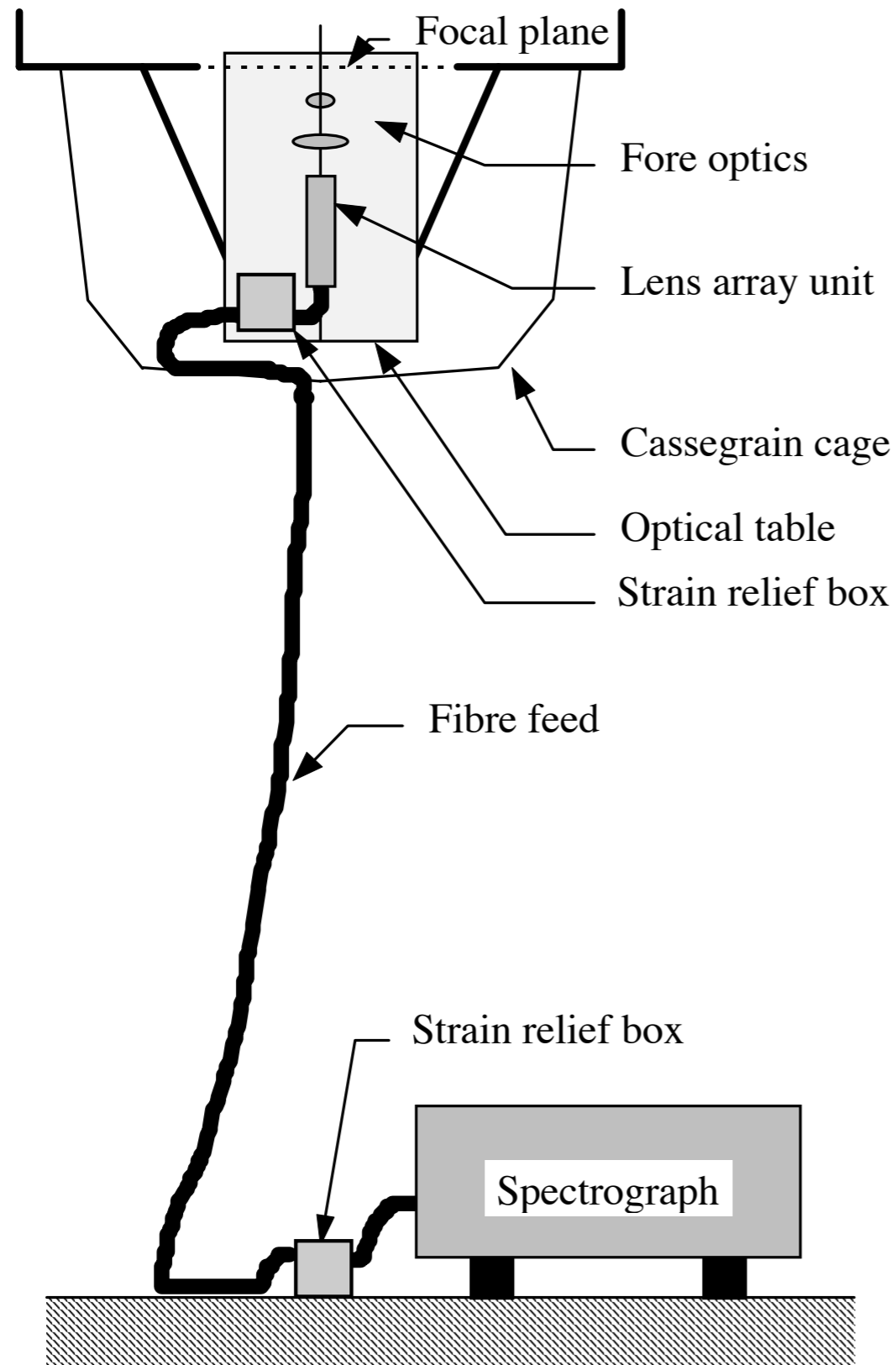
Split focal plane into single units



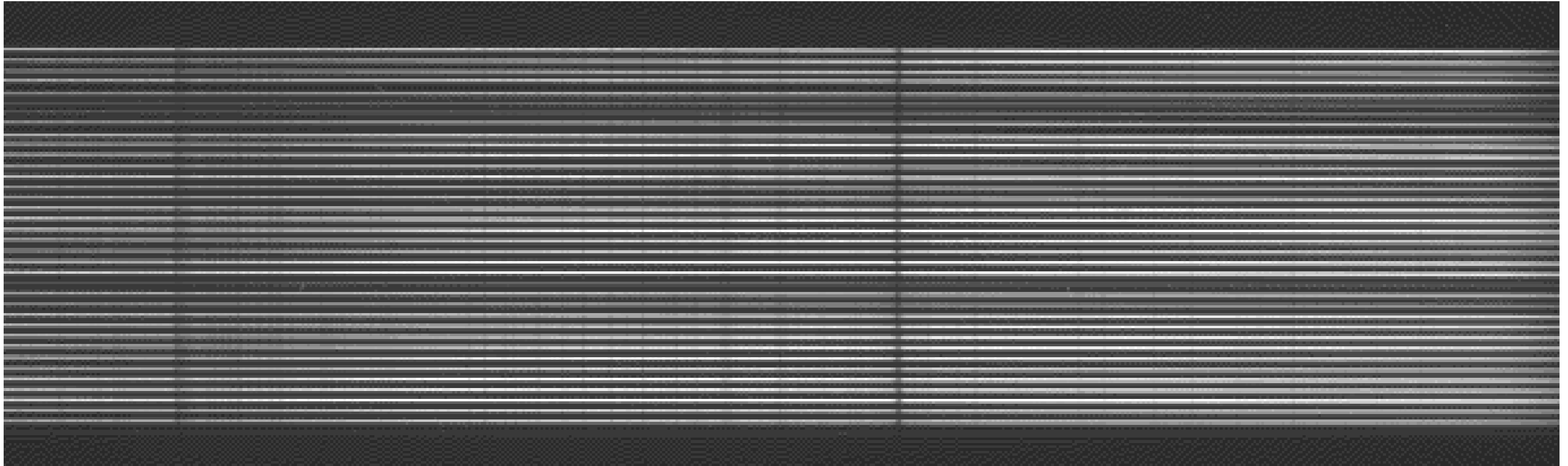
Fibers take light from telescope focus to the spectrograph

Spectrograph disperses the light

# Spectrograph can sit on floor of the observatory instead of at the focus

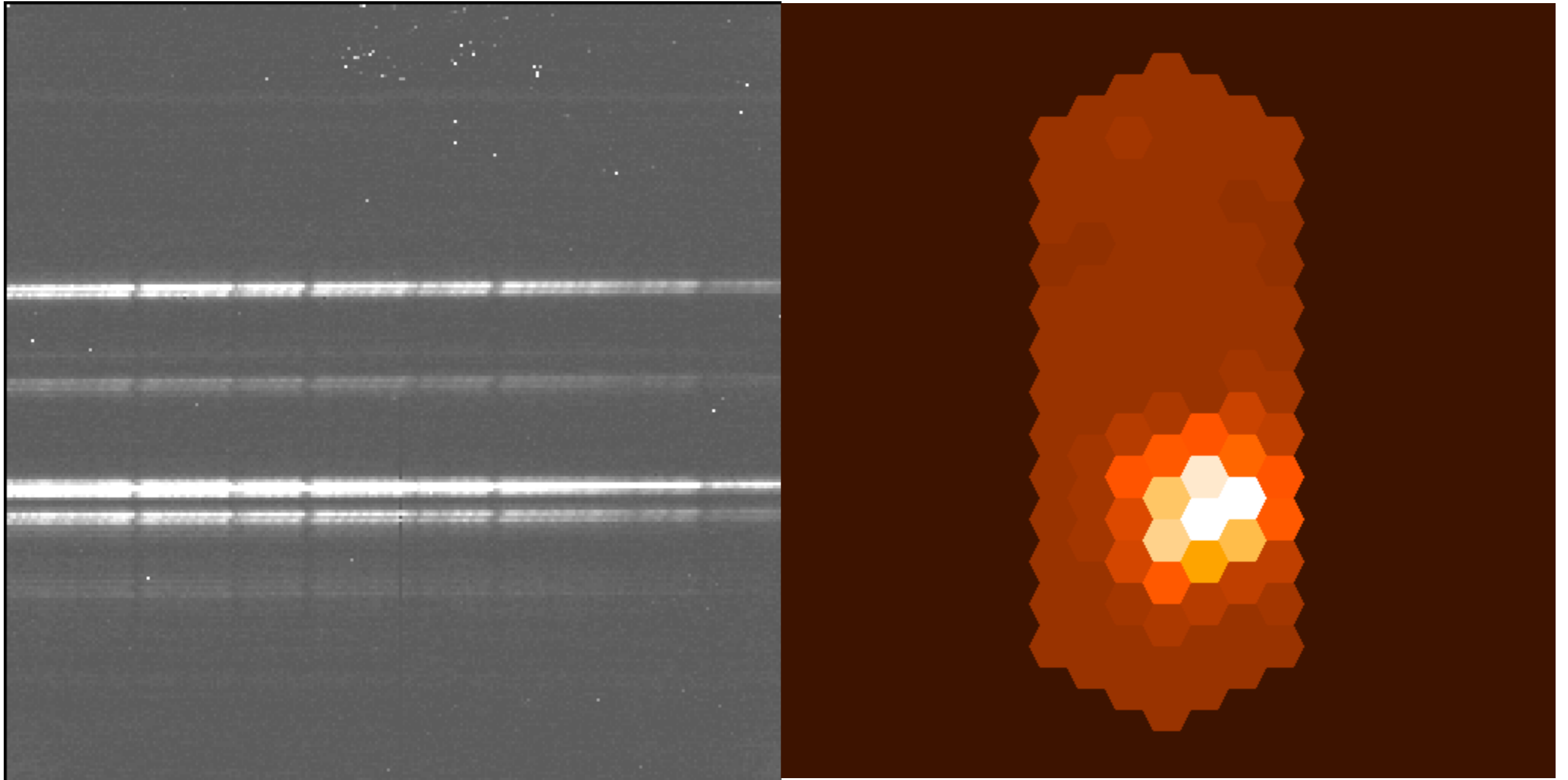


# Optical Fibre



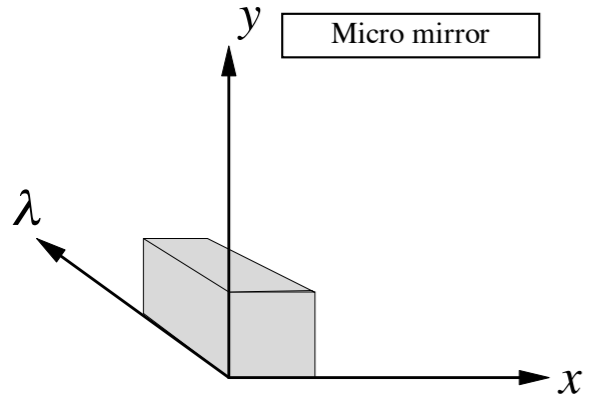
**Figure 6-1** *A raw IFS data frame.* In this data frame from SPIRAL the dispersion axis is across the page and the 37 separate fibre tracks can be seen. This is a twilight sky exposure, clearly showing absorption features in the atmosphere and the variation in throughput between fibres.

# Hexagonal lenslets on the sky



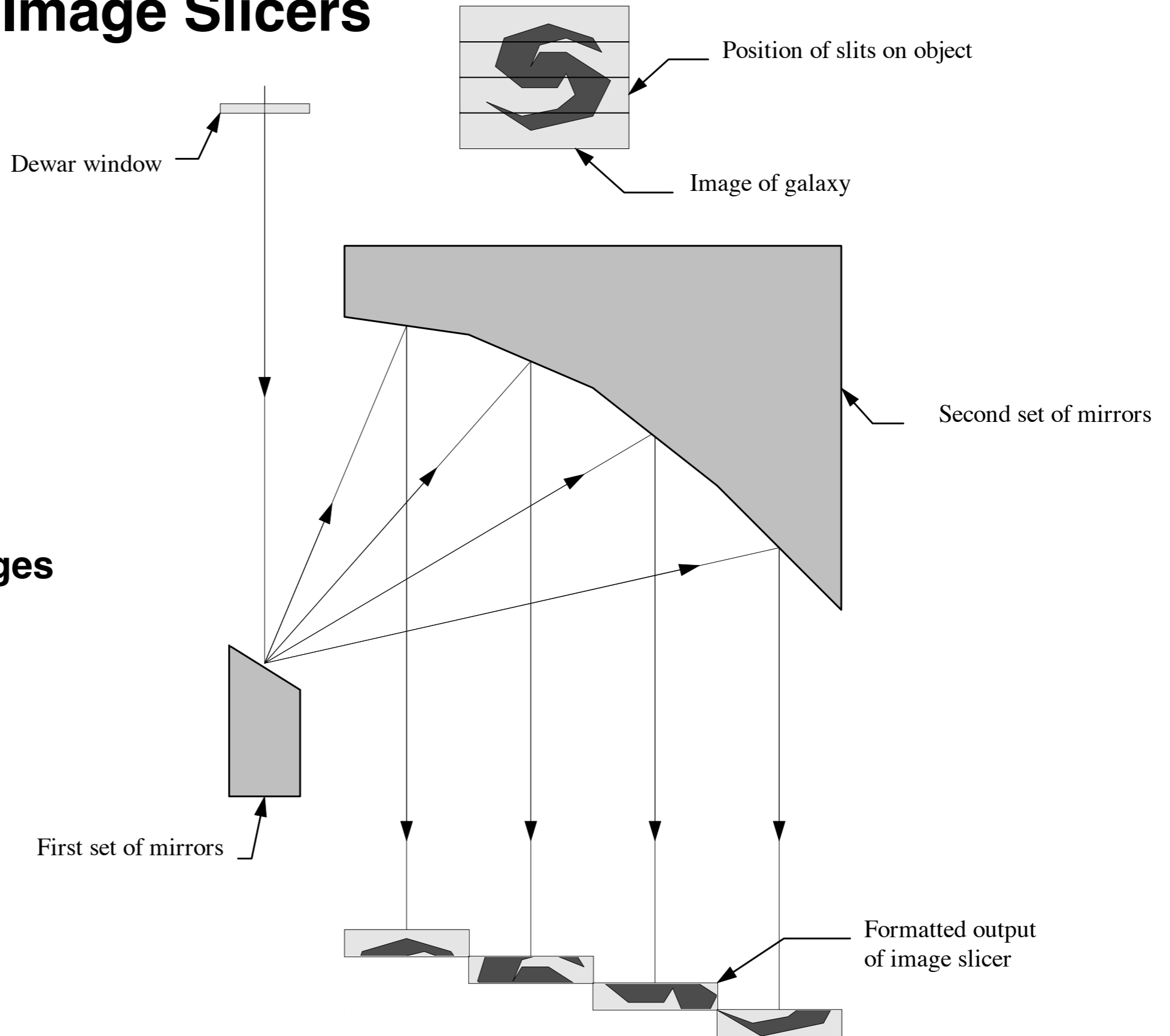
**Figure 9-1** *Image reconstruction using the LDISPLAY software.* The image of the left is a raw image from the COHSI spectrograph. By knowing the relation between fibres on the sky and fibres in the slit an image can be reconstructed (right-hand panel).

# Image Slicers



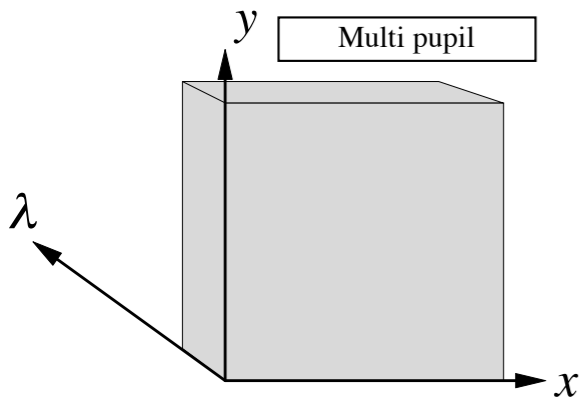
**Small field of views**

**Large wavelength ranges**



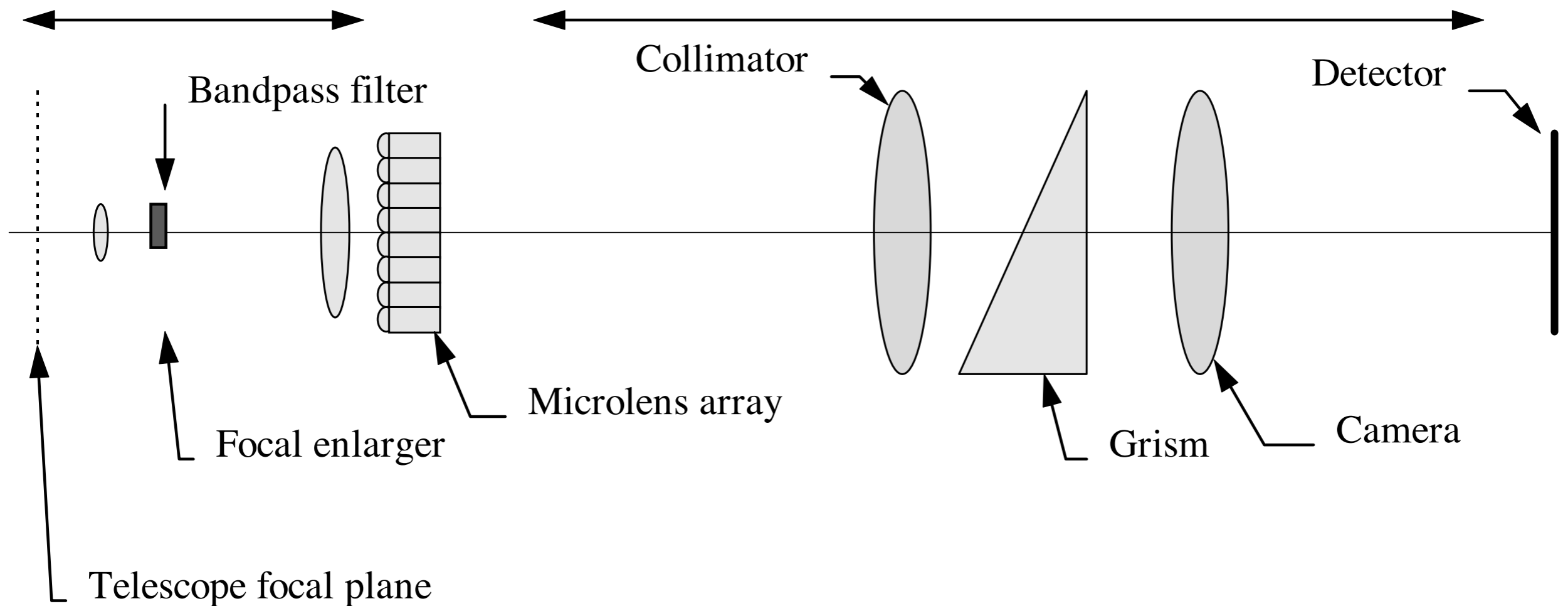


# Multi pupil reimagers



Imaging stage

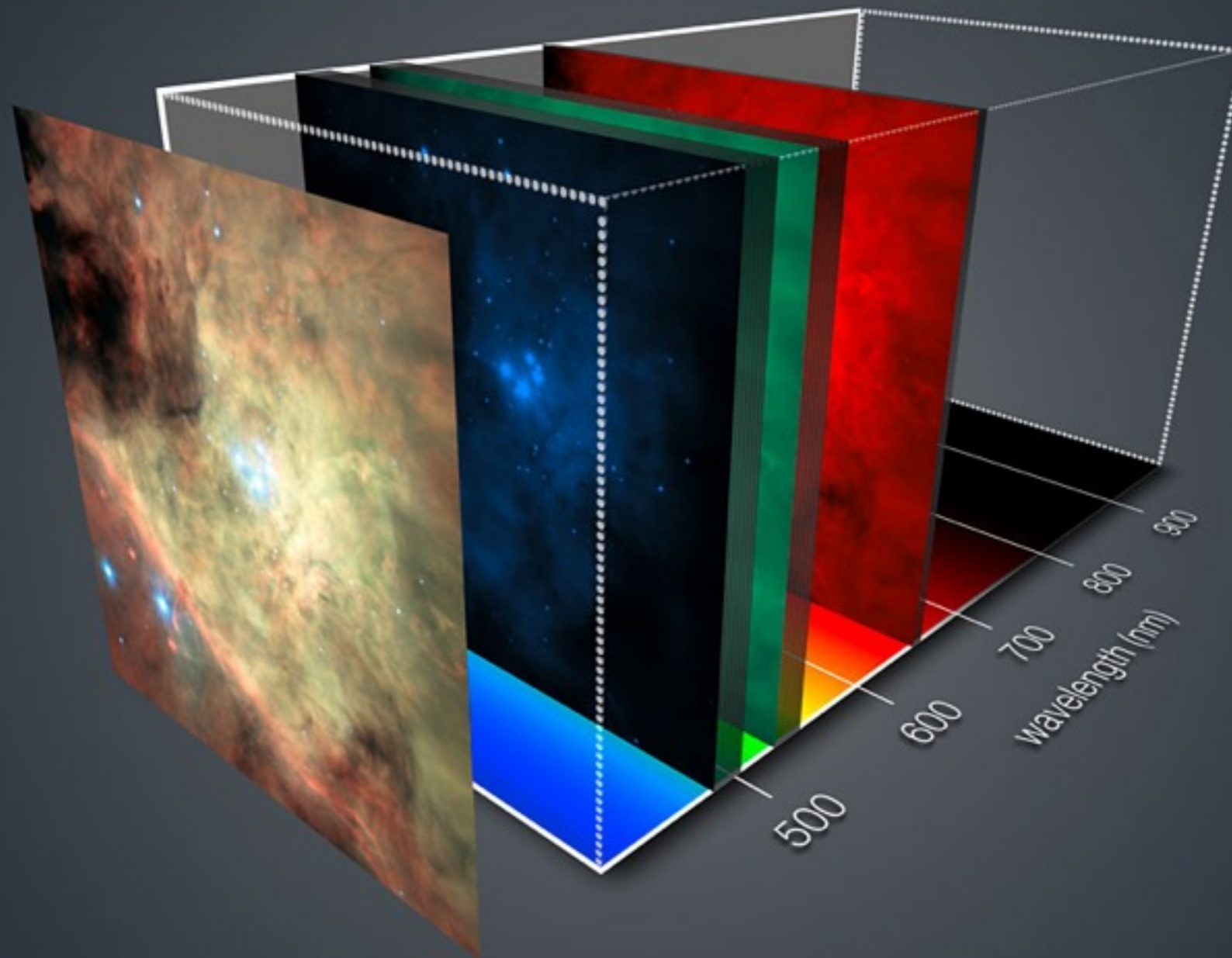
Spectrographic stage



**Microlenslet array cuts focal plane into tiny images**

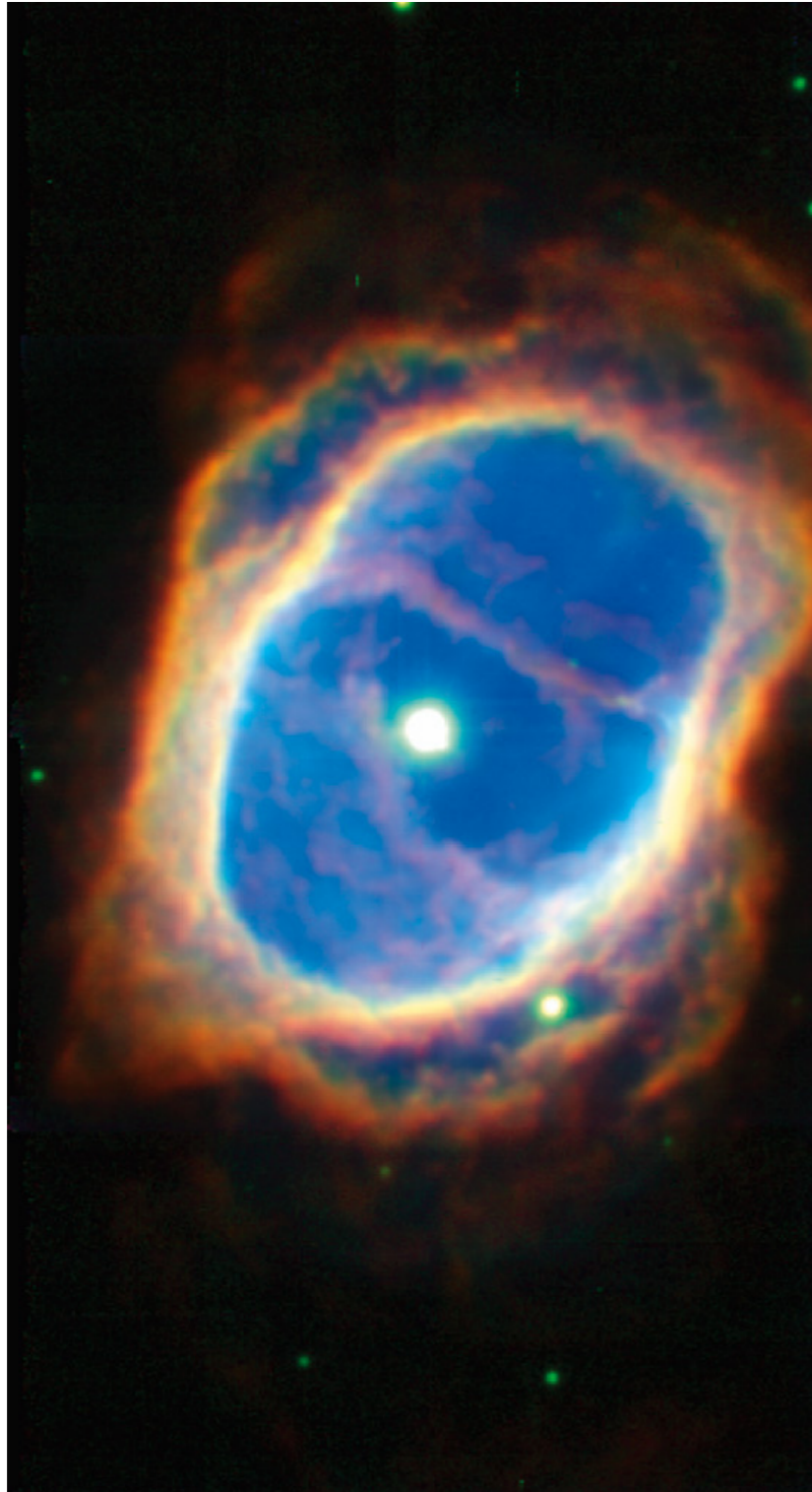
**Disperse these images into short spectra -> limited wavelength range**

# MUSE on VLT - 24 Integral Field Spectrographs

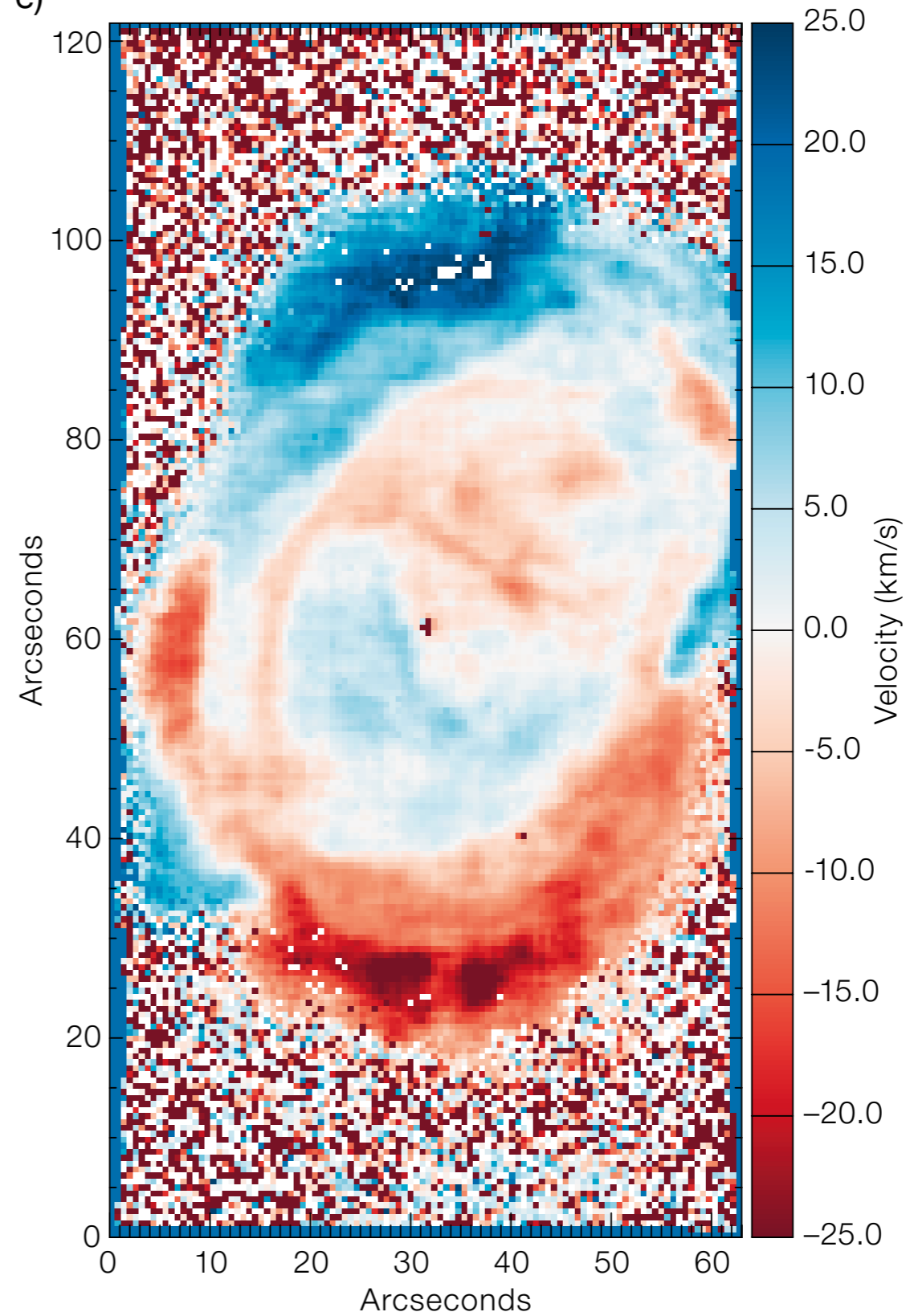


# MUSE velocity fields

d)

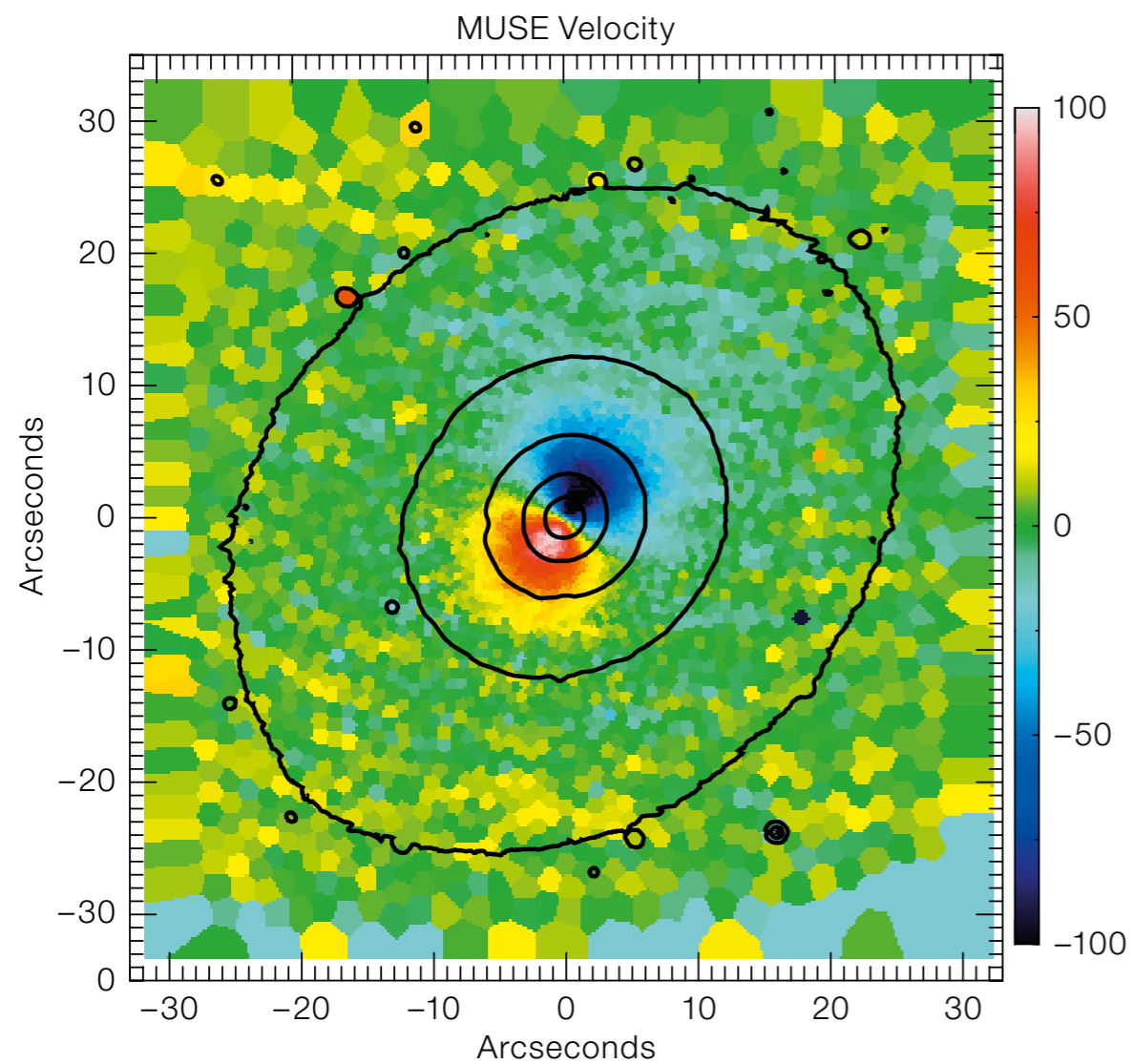


e)

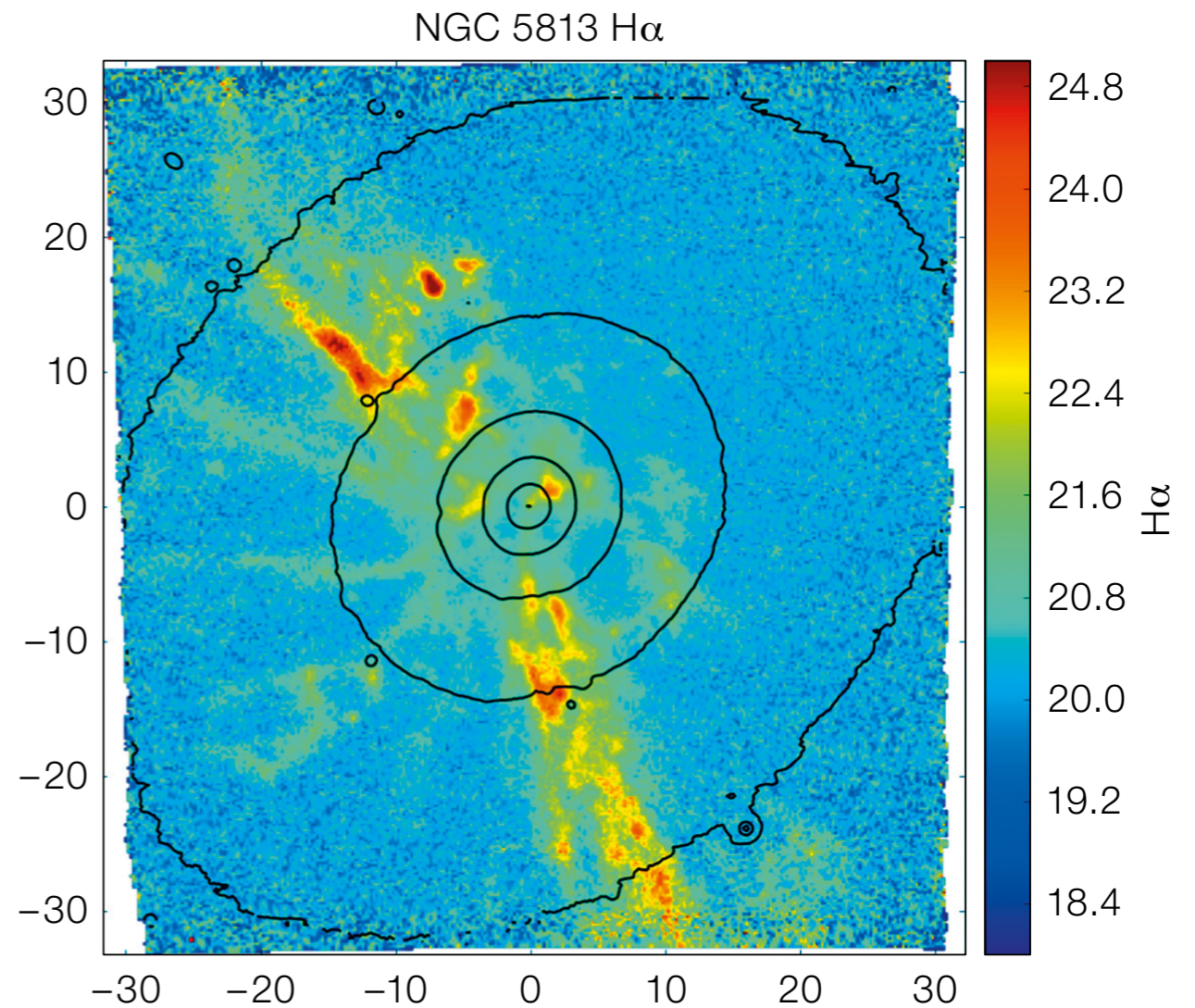


# Complete wavelength coverage gives both abundances, velocity fields of different species of atomic transition

f)



g)



# MUSE on VLT - 24 Integral Field Spectrographs



[www.eso.org](http://www.eso.org)