# Interference, Diffraction and Fourier Theory

ATI 2014 Lecture 02 Keller and Kenworthy

## The three major branches of optics

**Geometrical Optics** 

"Light travels as straight rays"



**Physical Optics** 

"Light can be described as a series of waves"



**Diffraction, interference** 

**Quantum Optics** 

"Light can be described as discrete particles"



http://skullsinthestars.com/2007/08/31/optics-basics-the-three-major-branches-of-optical-science/

### **Solving Maxwell's Equations directly**

$$\nabla \cdot \vec{D} = 4\pi\rho$$
$$\nabla \times \vec{H} - \frac{1}{c} \frac{\partial \vec{D}}{\partial t} = \frac{4\pi}{c} \vec{j}$$
$$\nabla \times \vec{E} + \frac{1}{c} \frac{\partial \vec{B}}{\partial t} = 0$$
$$\nabla \cdot \vec{B} = 0$$

Need to solve four coupled differential equations together with boundary conditions

Numerically, require a 3D mesh with sub-wavelength resolution

Each point has a vector for E and B field

# ...can be done for optics a few wavelengths in size

Waveguide for microwave radiation

Green = magnetic field Red = electric fiels

http://www.comsol.com/blogs/quick-intro-modeling-rf-microwave-heating/

### **Simplified with Wave Equation in Matter**

$$\nabla^{2}\vec{E} - \frac{\mu\epsilon}{c^{2}}\frac{\partial^{2}\vec{E}}{\partial t^{2}} - \frac{4\pi\mu\sigma}{c^{2}}\frac{\partial\vec{E}}{\partial t} = 0$$

Now one equation for E field



## Solutions with plane waves

Plane Vector Wave ansatz:  $\vec{E} = \vec{E}_0 e^{i(\vec{k}\cdot\vec{x}-\omega t)}$ 

- $\vec{k}$  spatially and temporally constant wave vector
- $\vec{k}$  normal to surfaces of constant phase
- $|\vec{k}|$  wave number
  - $\vec{x}$  spatial location
  - $\omega$  angular frequency ( $2\pi \times$  frequency)
  - t time

 $\vec{E}_0$  a (generally complex) vector independent of time and space

• real electric field vector given by real part of  $\vec{E}$ 

#### Scalar Wave

- electric field at position  $\vec{r}$  at time t is  $\tilde{E}(\vec{r}, t)$
- complex notation to easily express amplitude and phase
- real part of complex quantity is the physical quantity

### Young's Double Slit Experiment



- monochromatic wave
- infinitely small holes (pinholes)
- source *S* generates fields  $\tilde{E}(\vec{r}_1, t) \equiv \tilde{E}_1(t)$  at  $S_1$  and  $\tilde{E}(\vec{r}_2, t) \equiv \tilde{E}_2(t)$  at  $S_2$
- two spherical waves from pinholes interfere on screen
- electrical field at P

$$\tilde{E}_P(t) = \tilde{C}_1 \tilde{E}_1(t-t_1) + \tilde{C}_2 \tilde{E}_2(t-t_2)$$

- $t_1 = r_1/c, t_2 = r_2/c$
- $r_1$ ,  $r_2$ : path lengths from  $S_1$ ,  $S_2$  to P
- propagators  $\tilde{C}_{1,2} = rac{i}{\lambda}$

## Two pinholes produce diffraction pattern



#### Change in Angle of Incoming Wave

- phase of fringe pattern changes, but not fringe spacing
- tilt of  $\lambda/d$  produces identical fringe pattern

## **Different wavelengths make envelope**



#### Change in Wavelength

- fringe spacing changes, central fringe broadens
- integral over 0.8 to 1.2 of central wavelength
- integral over wavelength makes fringe envelope

## **Visibility Function V**

$$V = \frac{I_{\rm max} - I_{\rm min}}{I_{\rm max} + I_{\rm min}}$$

 $I_{max}$ ,  $I_{min}$  are maximum and adjacent minimum in fringe pattern

# Fringes seen at VLT of the star Sirius



# Why do we not see fringes everywhere during the day?

## COHERENCE

**Real world sources are:** 



#### Not point like

#### Not monochromatic

# Why do we not see fringes everywhere during the day?



## Coherence

#### **Mutual Coherence**

• total field in point P

$$\tilde{E}_P(t) = \tilde{C}_1 \tilde{E}_1(t-t_1) + \tilde{C}_2 \tilde{E}_2(t-t_2)$$

• irradiance at *P*, averaged over time (expectation operator **E**)

$$I = \mathbf{E}|\tilde{E}_P(t)|^2 = \mathbf{E}\left\{\tilde{E}_P(t)\tilde{E}_P^*(t)\right\}$$

• writing out all the terms

$$egin{aligned} & I = ilde{C}_1 ilde{C}_1^* \mathbf{E} \left\{ ilde{E}_1 (t-t_1) ilde{E}_1^* (t-t_1) 
ight\} + ilde{C}_2 ilde{C}_2^* \mathbf{E} \left\{ ilde{E}_2 (t-t_2) ilde{E}_2^* (t-t_2) 
ight\} \ & + ilde{C}_1 ilde{C}_2^* \mathbf{E} \left\{ ilde{E}_1 (t-t_1) ilde{E}_2^* (t-t_2) 
ight\} + ilde{C}_1^* ilde{C}_2 \mathbf{E} \left\{ ilde{E}_1^* (t-t_1) ilde{E}_2 (t-t_2) 
ight\} \end{aligned}$$

• *stationary* wave field, time average independent of absolute time

$$I_{S_1} = \mathbf{E}\left\{\tilde{E}_1(t)\tilde{E}_1^*(t)\right\}, \ I_{S_2} = \mathbf{E}\left\{\tilde{E}_2(t)\tilde{E}_2^*(t)\right\}$$

• irradiance at P is now

$$I = \tilde{C}_1 \tilde{C}_1^* I_{S_1} + \tilde{C}_2 \tilde{C}_2^* I_{S_2} \\ + \tilde{C}_1 \tilde{C}_2^* \mathbf{E} \left\{ \tilde{E}_1 (t - t_1) \tilde{E}_2^* (t - t_2) \right\} + \tilde{C}_1^* \tilde{C}_2 \mathbf{E} \left\{ \tilde{E}_1^* (t - t_1) \tilde{E}_2 (t - t_2) \right\}$$

• time difference  $\tau = t_2 - t_1 \Rightarrow$  last two terms become

$$\tilde{C}_1 \tilde{C}_2^* \mathbf{E} \left\{ \tilde{E}_1(t+\tau) \tilde{E}_2^*(t) \right\} + \tilde{C}_1^* \tilde{C}_2 \mathbf{E} \left\{ \tilde{E}_1^*(t+\tau) \tilde{E}_2(t) \right\}$$

equivalent to

2 
$$Re\left[\tilde{C}_1\tilde{C}_2^*\mathbf{E}\left\{\tilde{E}_1(t+\tau)\tilde{E}_2^*(t)\right\}\right]$$

• propagators  $\tilde{C}$  purely imaginary:  $\tilde{C}_1 \tilde{C}_2^* = \tilde{C}_1^* \tilde{C}_2 = |\tilde{C}_1| |\tilde{C}_2|$ • cross-term becomes  $2|\tilde{C}_1| |\tilde{C}_2| Re \left[ \mathbf{E} \left\{ \tilde{E}_1(t+\tau) \tilde{E}_2^*(t) \right\} \right]$ 

#### irradiance at P

$$I = \tilde{C}_1 \tilde{C}_1^* I_{S_1} + \tilde{C}_2 \tilde{C}_2^* I_{S_2} + 2|\tilde{C}_1||\tilde{C}_2|Re\left[\mathbf{E}\left\{\tilde{E}_1(t+\tau)\tilde{E}_2^*(t)\right\}\right]$$

• mutual coherence function of wave field at  $S_1$  and  $S_2$ 

$$\tilde{\Gamma}_{12}(\tau) = \mathbf{E}\left\{\tilde{E}_1(t+\tau)\tilde{E}_2^*(t)\right\}$$

• therefore  $I = |\tilde{C}_1|^2 I_{S_1} + |\tilde{C}_2|^2 I_{S_2} + 2|\tilde{C}_1||\tilde{C}_2| Re \tilde{\Gamma}_{12}(\tau)$ 

•  $I_1 = |\tilde{C}_1|^2 I_{S_1}$ ,  $I_2 = |\tilde{C}_2|^2 I_{S_2}$ : irradiances at *P* from single aperture

$$I = I_1 + I_2 + 2|\tilde{C}_1||\tilde{C}_2| Re \tilde{\Gamma}_{12}(\tau)$$

#### Self-Coherence

•  $S_1 = S_2 \Rightarrow$  mutual coherence function = autocorrelation

$$\tilde{\Gamma}_{11}(\tau) = \tilde{R}_1(\tau) = \mathbf{E}\left\{\tilde{E}_1(t+\tau)\tilde{E}_1^*(t)\right\}$$
$$\tilde{\Gamma}_{22}(\tau) = \tilde{R}_2(\tau) = \mathbf{E}\left\{\tilde{E}_2(t+\tau)\tilde{E}_2^*(t)\right\}$$

autocorrelation functions are also called *self-coherence functions*for \(\tau = 0\)

$$I_{S_1} = \mathbf{E}\left\{\tilde{E}_1(t)\tilde{E}_1^*(t)\right\} = \Gamma_{11}(0) = \mathbf{E}\left\{|\tilde{E}_1(t)|^2\right\}$$
$$I_{S_2} = \mathbf{E}\left\{\tilde{E}_2(t)\tilde{E}_2^*(t)\right\} = \Gamma_{22}(0) = \mathbf{E}\left\{|\tilde{E}_2(t)|^2\right\}$$

• autocorrelation function with zero lag ( $\tau = 0$ ) represent (average) irradiance (power) of wave field at  $S_1$ ,  $S_2$ 

#### Complex Degree of Coherence

using selfcoherence functions

$$|\tilde{C}_1||\tilde{C}_2| = \frac{\sqrt{I_1}\sqrt{I_2}}{\sqrt{\Gamma_{11}(0)}\sqrt{\Gamma_{22}(0)}}$$

 normalized mutual coherence defines the complex degree of coherence

$$\tilde{\gamma}_{12}(\tau) \equiv \frac{\tilde{\Gamma}_{12}(\tau)}{\sqrt{\Gamma_{11}(0)\Gamma_{22}(0)}} = \frac{\mathsf{E}\left\{\tilde{E}_{1}(t+\tau)\tilde{E}_{2}^{*}(t)\right\}}{\sqrt{\mathsf{E}\left\{|\tilde{E}_{1}(t)|^{2}\right\}}\mathsf{E}\left\{|\tilde{E}_{2}(t)|^{2}\right\}}$$

 irradiance in point P as general interference law for a partially coherent radiation field

$$I = I_1 + I_2 + 2\sqrt{I_1I_2} Re \tilde{\gamma}_{12}(\tau)$$

#### Spatial and Temporal Coherence

complex degree of coherence

$$\tilde{\gamma}_{12}(\tau) \equiv \frac{\tilde{\Gamma}_{12}(\tau)}{\sqrt{\Gamma_{11}(0)\Gamma_{22}(0)}} = \frac{\mathsf{E}\left\{\tilde{E}_{1}(t+\tau)\tilde{E}_{2}^{*}(t)\right\}}{\sqrt{\mathsf{E}\left\{|\tilde{E}_{1}(t)|^{2}\right\}}\mathsf{E}\left\{|\tilde{E}_{2}(t)|^{2}\right\}}$$

- measures both
  - spatial coherence at  $S_1$  and  $S_2$
  - temporal coherence through time lag  $\tau$
- $\tilde{\gamma}_{12}(\tau)$  is a complex variable and can be written as:

$$\tilde{\gamma}_{12}(\tau) = |\tilde{\gamma}_{12}(\tau)| \boldsymbol{e}^{i\psi_{12}(\tau)}$$

- 0  $\leq | ilde{\gamma}_{12}( au)| \leq 1$
- phase angle  $\psi_{12}(\tau)$  relates to
  - phase angle between fields at  $S_1$  and  $S_2$
  - phase angle difference in P resulting in time lag  $\tau$

#### Coherence of Quasi-Monochromatic Light

• quasi-monochromatic light, mean wavelength  $\overline{\lambda}$ , frequency  $\overline{\nu}$ , phase difference  $\phi$  due to optical path difference:

$$\phi = \frac{2\pi}{\overline{\lambda}}(r_2 - r_1) = \frac{2\pi}{\overline{\lambda}}c(t_2 - t_1) = 2\pi\overline{\nu}\tau$$

• with phase angle  $\alpha_{12}(\tau)$  between fields at pinholes  $S_1$ ,  $S_2$ 

$$\psi_{12}(\tau) = \alpha_{12}(\tau) - \phi$$

and

$$\operatorname{Re} \tilde{\gamma}_{12}(\tau) = |\tilde{\gamma}_{12}(\tau)| \cos \left[\alpha_{12}(\tau) - \phi\right]$$

• intensity in *P* becomes

$$I = I_1 + I_2 + 2\sqrt{I_1 I_2} |\tilde{\gamma}_{12}(\tau)| \cos [\alpha_{12}(\tau) - \phi]$$

Visibility of Quasi-Monochromatic, Partially Coherent Light

• intensity in P

$$I = I_{1} + I_{2} + 2\sqrt{I_{1}I_{2}} |\tilde{\gamma}_{12}(\tau)| \cos [\alpha_{12}(\tau) - \phi]$$

- maximum, minimum I for  $cos(...) = \pm 1$
- visibility V at position P

$$V = \frac{2\sqrt{I_1}\sqrt{I_2}}{I_1 + I_2} |\tilde{\gamma}_{12}(\tau)|$$

• for  $I_1 = I_2 = I_0$ 

$$I = 2I_0 \{ 1 + |\tilde{\gamma}_{12}(\tau)| \cos [\alpha_{12}(\tau) - \phi] \}$$
$$V = |\tilde{\gamma}_{12}(\tau)|$$

#### Interpretation of Visibility

• for  $I_1 = I_2 = I_0$ 

$$I = 2I_0 \{1 + |\tilde{\gamma}_{12}(\tau)| \cos [\alpha_{12}(\tau) - \phi] \}$$
$$V = |\tilde{\gamma}_{12}(\tau)|$$

- modulus of complex degree of coherence = visibility of fringes
- modulus can therefore be measured
- shift in location of central fringe (no optical path length difference,  $\phi = 0$ ) is measure of  $\alpha_{12}(\tau)$
- measurements of visibility and fringe position yield amplitude and phase of complex degree of coherence

#### Fringe Pattern



• for  $I_1 = I_2 = I_0$ 

$$I = 2I_0 \{1 + |\tilde{\gamma}_{12}(\tau)| \cos [\alpha_{12}(\tau) - \phi]\} \quad V = |\tilde{\gamma}_{12}(\tau)|$$

• source S on central axis, fully coherent waves from two holes

$$I = 2I_0(1 + \cos \phi) = 4I_0 \cos^2 \frac{\phi}{2}$$



$$I = 4I_0 \cos^2 \frac{\phi}{2}$$
$$\phi = \frac{2\pi}{\overline{\lambda}}(r_2 - r_1) = 2\pi\overline{\nu}\tau$$

- distance a between pinholes
- distance *s* to observation plane  $\Sigma_O$ ,  $s \gg a$
- path difference  $(r_2 r_1)$  in equation for  $\phi$  in good approximation

$$r_2 - r_1 = a\theta = \frac{a}{s}y$$

and therefore

$$I = 4I_0 \cos^2 \frac{\pi a y}{s \overline{\lambda}}$$

#### Interference Fringes from Monochromatic Point Source



- irradiance as a function of the *y*-coordinate of the fringes in observation plane  $\Sigma_O$
- irradiance vs. distance distribution is Point-Spread Function (PSF) of ideal two-element interferometer

#### Huygens-Fresnel Principle



en.wikipedia.org/wiki/File:Refraction\_on\_an\_aperture\_-\_Huygens-Fresnel\_principle.svg

- every unobstructed point of a wavefront at a given moment in time serves as a source of spherical, secondary waves with the same frequency as the primary wave
- the amplitude of the optical field at any point beyond is the coherent superposition of all these secondary, spherical waves

## Diffraction



If obstructions are small compared to the wavelength, then waves will spread out

Huygens-Fresnel works for most cases and is much faster than solving Maxwell's Equations!

## **Fraunhoffer and Fresnel Diffraction**



en.wikipedia.org/wiki/File:Fraunhofer\_diffraction\_pattern\_image.PNG

- wave shape changes as it moves away from obstruction
- Fresnel (or near-field) diffraction close to obstruction
- em Fraunhofer (or far-field) diffraction far away from obstruction
- rule of thumb: Frauenhofer diffraction for

$$R > a^2/\lambda$$

# **Single Slit Diffraction**



hyperphysics.phy-astr.gsu.edu/hbase/phyopt/sinslit.html

- diffraction of a plane wave on a slit aperture
- Huygens-Fresnel: line of spherical wave sources

### Break slit into many small radiating elements

• with  $E_0$  the strength of each slit segment *i* at point *P* is

$$E_i(P) = \frac{E_L}{r_i} \sin(k\omega - kr_i) \Delta y_i$$

- *i* segment index (1 M)
- *E<sub>L</sub>* source strength per unit length
  - $r_i$  distance between segment and point P
- $\Delta y_i$  small segment of slit
  - **D** length of slit

## Integrate small elements along slit

integrate along slit

$$E = E_L \int_{-D/2}^{D/2} \frac{\sin \omega t - kr}{r} dy$$

• express *r* as a function of *y*:

$$r = R - y\sin\theta + \frac{y^2}{2R}\cos^2\theta + \dots$$

**R** distance between center of slit and point *P* 

substituting, integrating and squaring for intensity:

$$I(\theta) = I(0) \left(\frac{\sin\beta}{\beta}\right)^2$$

• 
$$\beta = (kD/2) \sin \theta$$

## **Destructive interference**



hyperphysics.phy-astr.gsu.edu/hbase/phyopt/sinslitd.html

- assume infinite distance from aperture for source and observation plane
- equivalent to plane waves coming from aperture into different directions
- first minimum when phase delay at edge is exactly one wave

# **Circular aperture diffraction**

• integrate over circular aperture with radius a

$$E = \frac{E_A e^{i(\omega t - kR)}}{R} \int \int_{aperture} e^{ik(Yy + Zz)/R} dS$$

 using polar coordinates in aperture and plane of observation and Bessel functions

$$I(\theta) = I(0) \left(\frac{2J_1(ka\sin\theta)}{ka\sin\theta}\right)^2$$

- $J_1$  Bessel function of order 1
- Airy function
- first dark ring at  $1.22\frac{R\lambda}{2a}$
- images with perfect telescopes are convolution of Airy disk with actual image



## ...and for any type of aperture

 from before forgetting common phase term and 1/R amplitude drop-off

$$E(Y,Z) = \int \int_{aperture} A(y,z) e^{ik(Yy+Zz)/R} dS$$

- complex aperture function A(y, z) describing non-uniform absorption and phase delays
- finite aperture  $\Rightarrow$  change integration boundaries to infinity
- with  $k_y = kY/R$  and  $k_z = kZ/R$  we obtain

$$E(k_y, k_z) = \int \int_{aperture} A(y, z) e^{i(k_y y + k_z z)} dy dz$$

 field distribution in Fraunhofer diffraction pattern is Fourier transform of field distribution across aperture

# **The Point Spread Function**

- intensity is modulus squared of field distribution  $\Rightarrow$  point-spread function
- image of a point source: *Point Spread Function (PSF)*
- image of arbitrary object is a convolution of object with PSF

$$i = o * s$$

- *i* observed image
- o true object, constant in time
- s point spread function
- \* convolution

## **The Optical Transfer Function**

after Fourier transformation:

$$I = O \cdot S$$

- Fourier transformed
- I Fourier transform of image
- O Fourier transform of object
- S Optical Transfer Function (OTF)
- OTF is Fourier transform of PSF and vice versa



#### Finite Hole Diameter

- fringe spacing only depends on separation of holes and wavelength
- the smaller the hole, the larger the 'illuminated' area
- fringe envelope is Airy pattern (diffraction pattern of a single hole)

#### Interferometer with Finite Apertures

- non-ideal two-element interferometer with finite apertures using *pupil function* concept (Observational Astrophysics 1)
- optical transfer function (OTF)

$$OTF = 2\left(\frac{\lambda}{R}\right)^{2} \left[\delta(\vec{\zeta}) + \frac{1}{2}\delta\left(\vec{\zeta} - \vec{s}/\lambda\right) + \frac{1}{2}\delta\left(\vec{\zeta} + \vec{s}/\lambda\right)\right]$$

- pair of pinholes transmits three spatial frequencies
  - DC-component  $\delta(\vec{0})$
  - two high frequencies related to length of baseline vector  $\vec{s}$  at  $\pm \vec{s}/\lambda$
- 3 spatial frequencies represent three-point sampling of the uv-plane in 2-d spatial frequency space
- complete sampling of uv-plane provides sufficient information to completely reconstruct original brightness distribution

#### Point-Spread Function (PSF)

• PSF is Fourier Transform of OTF

$$\delta(\vec{\zeta}) \Leftrightarrow \mathbf{1}$$
$$\delta\left(\vec{\zeta} - \vec{s}/\lambda\right) \Leftrightarrow e^{i2\pi\vec{\theta} \cdot \vec{s}/\lambda}$$
$$\delta\left(\vec{\zeta} + \vec{s}/\lambda\right) \Leftrightarrow e^{-i2\pi\vec{\theta} \cdot \vec{s}/\lambda}$$

Point-Spread Function of 2-element interferometer

$$\left(\frac{\lambda}{R}\right)^2 \left[2(1+\cos 2\pi\vec{\theta}\cdot\vec{s}/\lambda)\right] = 4\left(\frac{\lambda}{R}\right)^2 \cos^2\pi\vec{\theta}\cdot\vec{s}/\lambda$$

- $\vec{\theta}$ : 2-d angular coordinate vector
- attenuation factor  $(\lambda/R)^2$  from spherical expansion

#### 2-d Brightness Distribution



PSF of single circular aperture



4.00

2.56

1.44

 double beam interference fringes showing modulation effect of diffraction by aperture of a single pinhole

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2

## Why do we see Airy patterns of stars?

![](_page_40_Picture_1.jpeg)

Image of Vega taken with 8.4m diameter telescope in Arizona

## van Cittert-Zernike Theorem

![](_page_41_Figure_1.jpeg)

- relates brightness distribution of extended source and phase correlation between two points in radiation field
- extended source S incoherent, quasi-monochromatic
- positions  $P_1$  and  $P_2$  in observers plane  $\Sigma$

$$\tilde{E}_{1}(t)\tilde{E}_{2}^{*}(t) = \mathbf{E}\{\tilde{E}_{1}(t)\tilde{E}_{2}^{*}(t)\} = \tilde{\Gamma}_{12}(0)$$

#### The Solution

- $I(\vec{\Omega})$  is intensity distribution of extended source as function of unit direction vector  $\vec{\Omega}$  as seen from observation plane  $\Sigma$
- $\tilde{\Gamma}(\vec{r})$  is coherence function in  $\Sigma$ -plane
- vector  $\vec{r}$  represents arbitrary baseline
- van Cittert-Zernike theorem

$$\tilde{\Gamma}(\vec{r}) = \int \int_{\text{source}} I(\vec{\Omega}) e^{\frac{2\pi i \vec{\Omega}.\vec{r}}{\lambda}} d\vec{\Omega}$$

$$I(\vec{\Omega}) = \lambda^{-2} \int \int_{\Sigma\text{-plane}} \tilde{\Gamma}(\vec{r}) e^{-rac{2\pi i \vec{\Omega}. \vec{r}}{\lambda}} d\vec{r}$$

- $\tilde{\Gamma}(\vec{r})$  and  $I(\vec{\Omega})$  are linked through Fourier transform, except for scaling with wavelength  $\lambda$
- "true" Fourier transform with *conjugate variables*  $\vec{\Omega}$ ,  $\vec{r}/\lambda$ ,
- Fourier pair:  $I(\vec{\Omega}) \Leftrightarrow \tilde{\Gamma}(\vec{r}/\lambda)$