

Astronomische Waarneemtechnieken (Astronomical Observing Techniques)

based on lectures by Bernhard Brandl

$$S_{cont} = \frac{\sigma h \lambda \sqrt{n_{pix}} 10^{30}}{SR \Delta \lambda A_{tel} \eta_D G \eta_{atm} \eta_{tot} t_{int}} \sqrt{\frac{2hc^2}{\lambda^5} \left(\frac{\epsilon_T}{\exp\left[\frac{hc}{kT_T \lambda}\right] - 1} + \frac{\epsilon_A}{\exp\left[\frac{hc}{kT_A \lambda}\right] - 1} \right) \eta_{tot}} \cdot \sqrt{2\pi \left(1 - \cos\left(\arctan\left(\frac{1}{2F\#} \right) \right) \right)} D_{pix}^2 \cdot \frac{\eta_D G \lambda}{hc} \cdot \Delta \lambda \cdot t_{int} + I_d t_{int} + N_{read}^2 n$$

Lecture 4: Signal to Noise

1. Noise: Introduction
2. Noise: Distributions
3. Signal-to-noise (= $f\{t_{int}, D_{tel}\}$)
4. Instrument sensitivities

What is noise?

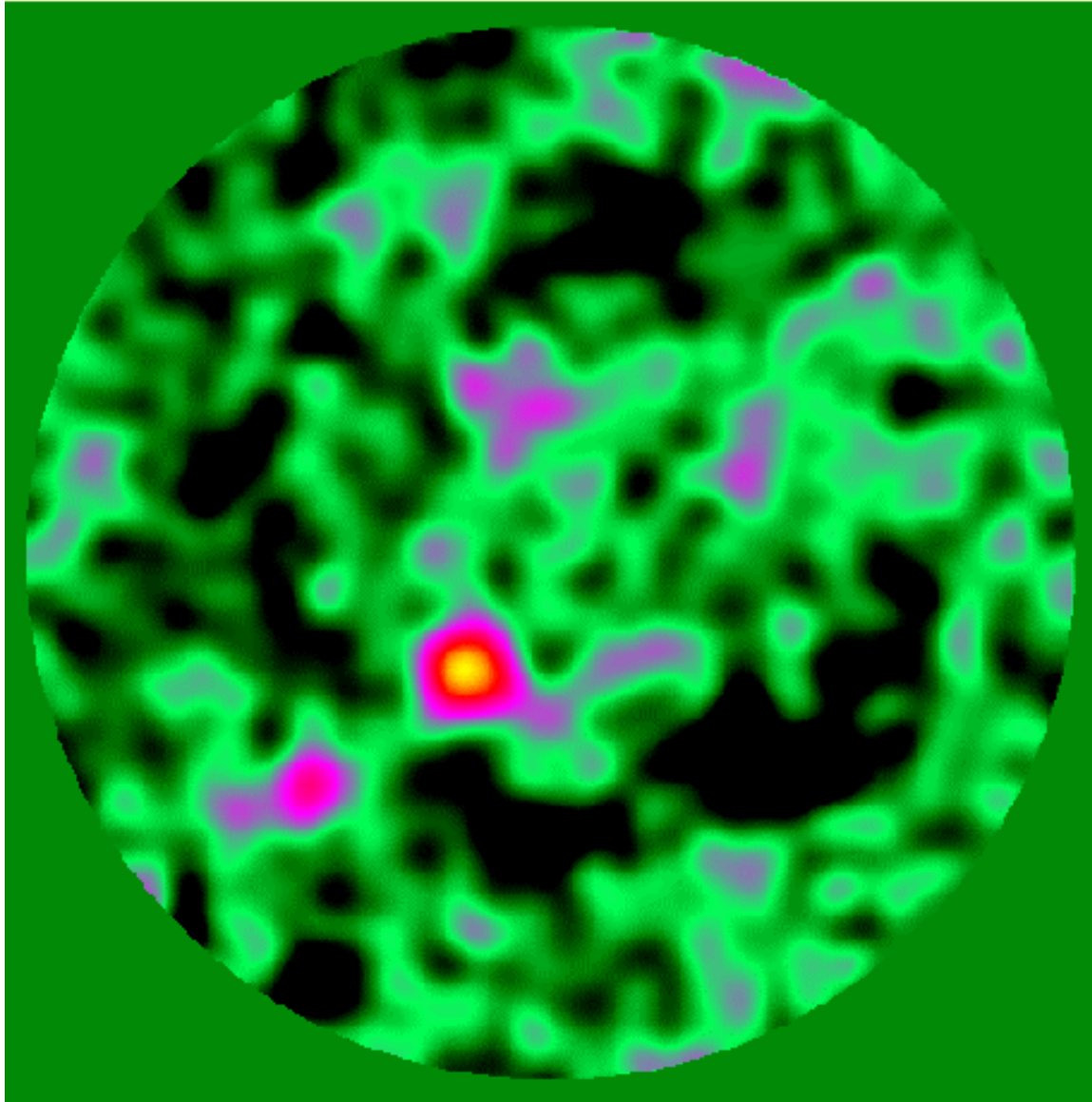
Wikipedia:

- Common use: unwanted sound
- Signal processing: random unwanted data without meaning
- "Signal-to-noise ratio" is sometimes used to refer to the ratio of useful to irrelevant information



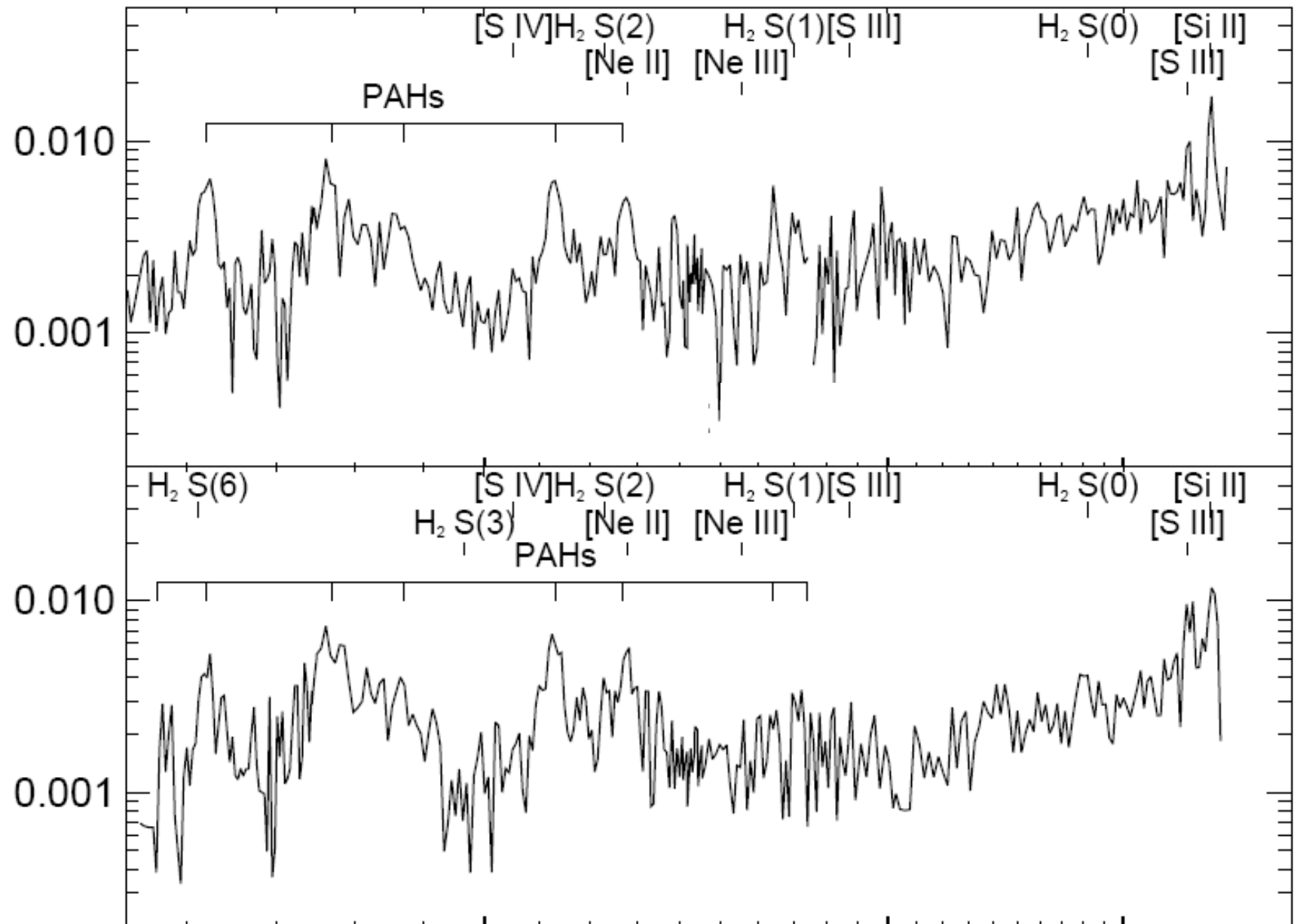
NASA researchers at Glenn Research Center conducting tests on aircraft engine noise in 1967

What is Noise? And what is real Signal?



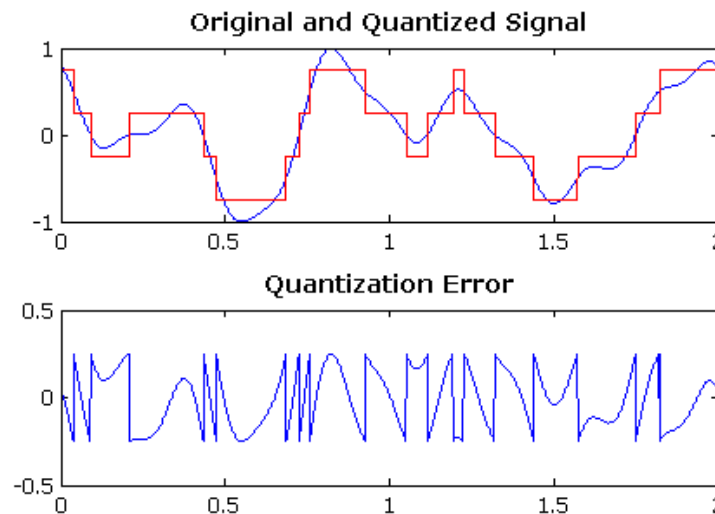
SCUBA 850 μ m map of the Hubble deep field

What is Noise? And what is real Signal?



Example: Digitization/Quantization Noise

- Quantization/Digitization = converting analog signal into digital signal with **Analog-to-Digital Converter (ADC)**.
- Number of bits determines **dynamic range** of ADC
- Resolution is 2^n , n = number of bits
Typical ADCs have 12 bit: $2^{12} = 4096$ quantization levels
16 bit: $2^{16} = 65536$ quantization levels
- Too few bits \rightarrow discrete, "artificial" steps in signal levels
 \rightarrow noise



Some Sources of Noise in Astronomical Data

Noise type	Signal	Background
Photon shot noise	X	X
Scintillation	X	
Cosmic rays		X
Image stability	X	
Read noise	X	X
Dark current noise	X	X
CTE (CCDs)	X	X
Flat fielding (non-linearity)	X	X
Digitization noise	X	X
Other calibration errors	X	X
Image subtraction	X	X

Noise Distribution: 1. Gaussian Noise

Gaussian noise has Gaussian (normal) distribution

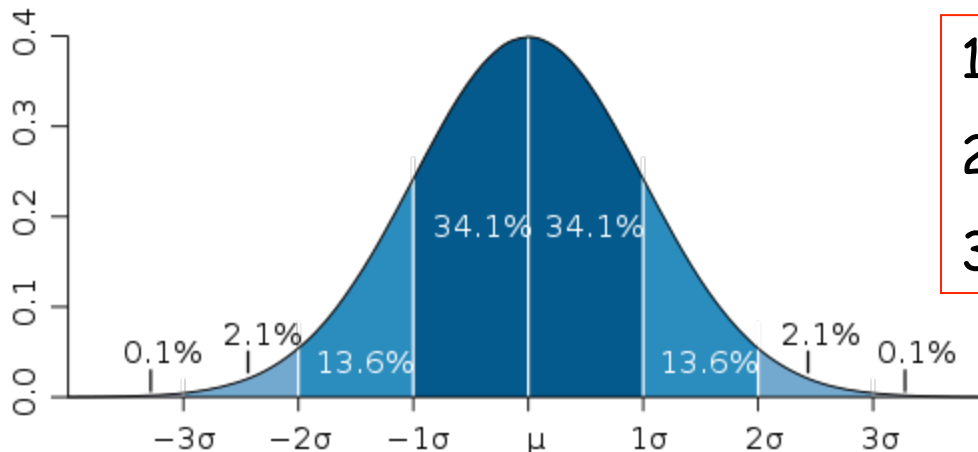
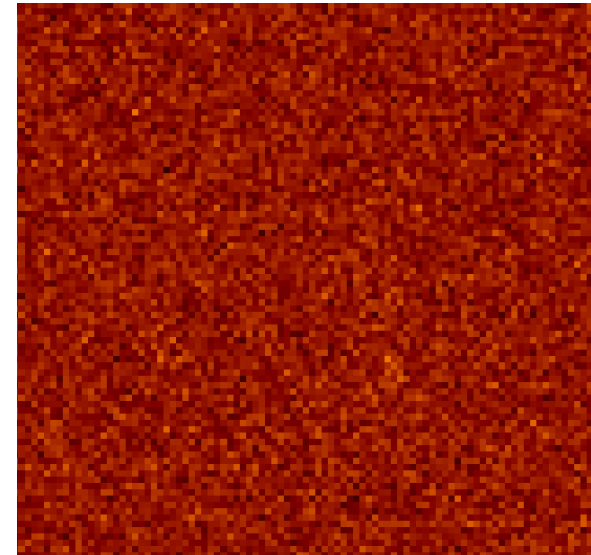
Sometimes (incorrectly) called white noise (uncorrelated noise)

$$S = \frac{1}{\sqrt{2\pi}\sigma} \exp\left[-\frac{(x-\mu)^2}{2\sigma^2}\right]$$

x is the actual value

μ is the mean of the distribution

σ is the standard deviation of the distribution



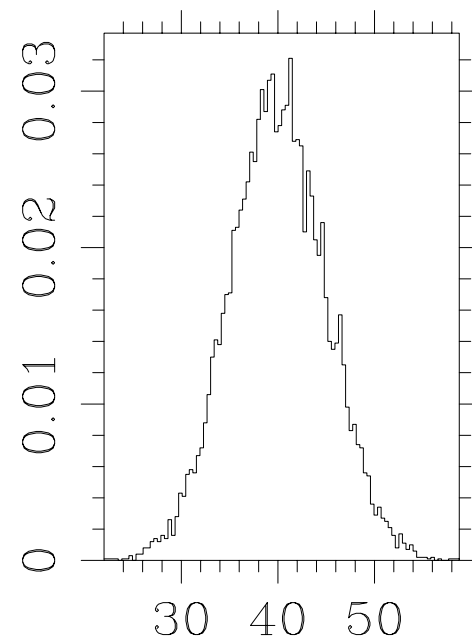
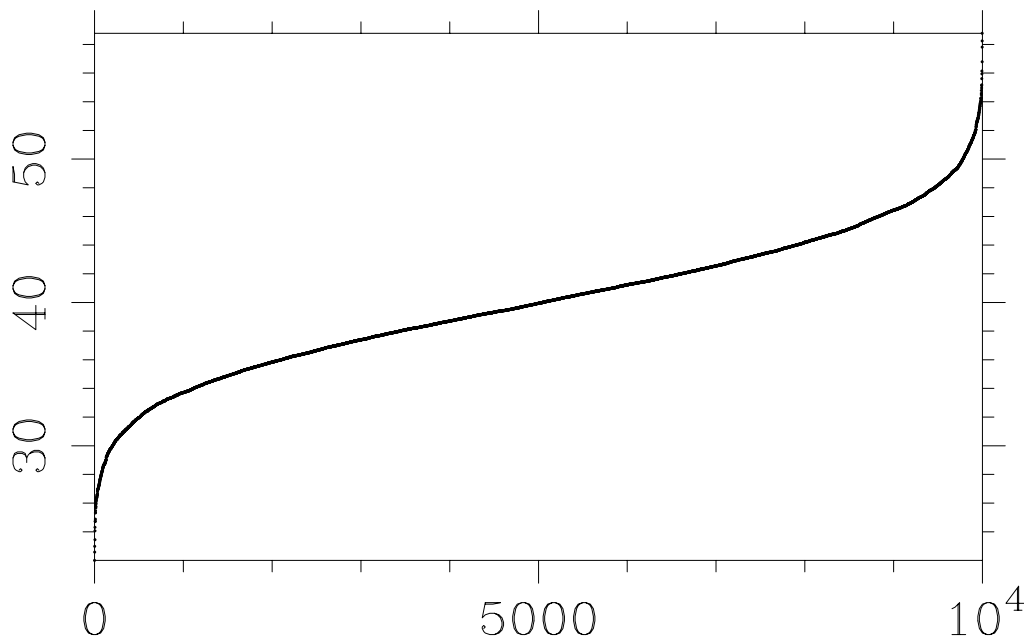
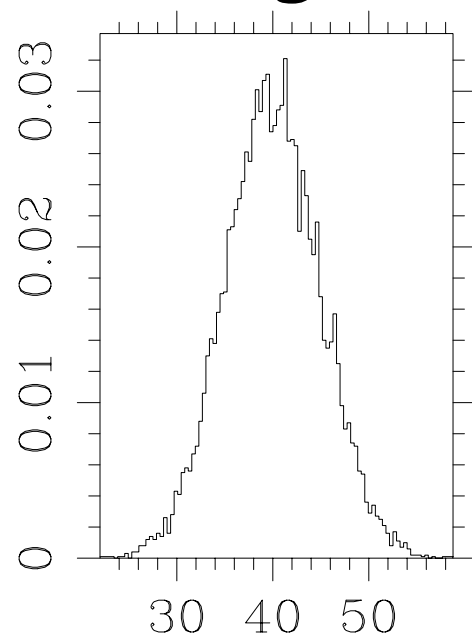
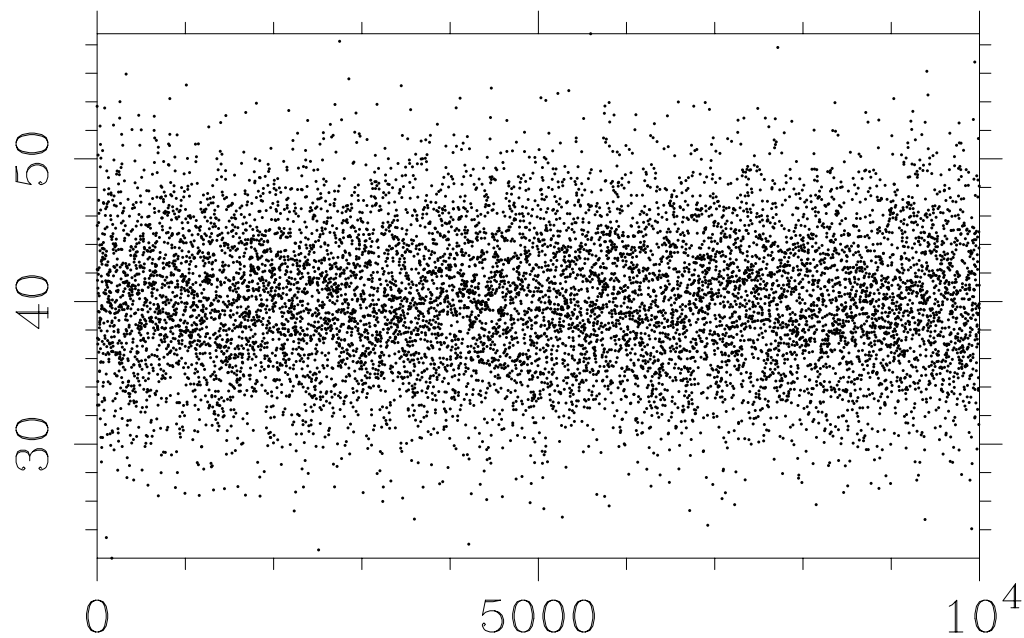
1- σ ~ 68%

2- σ ~ 95%

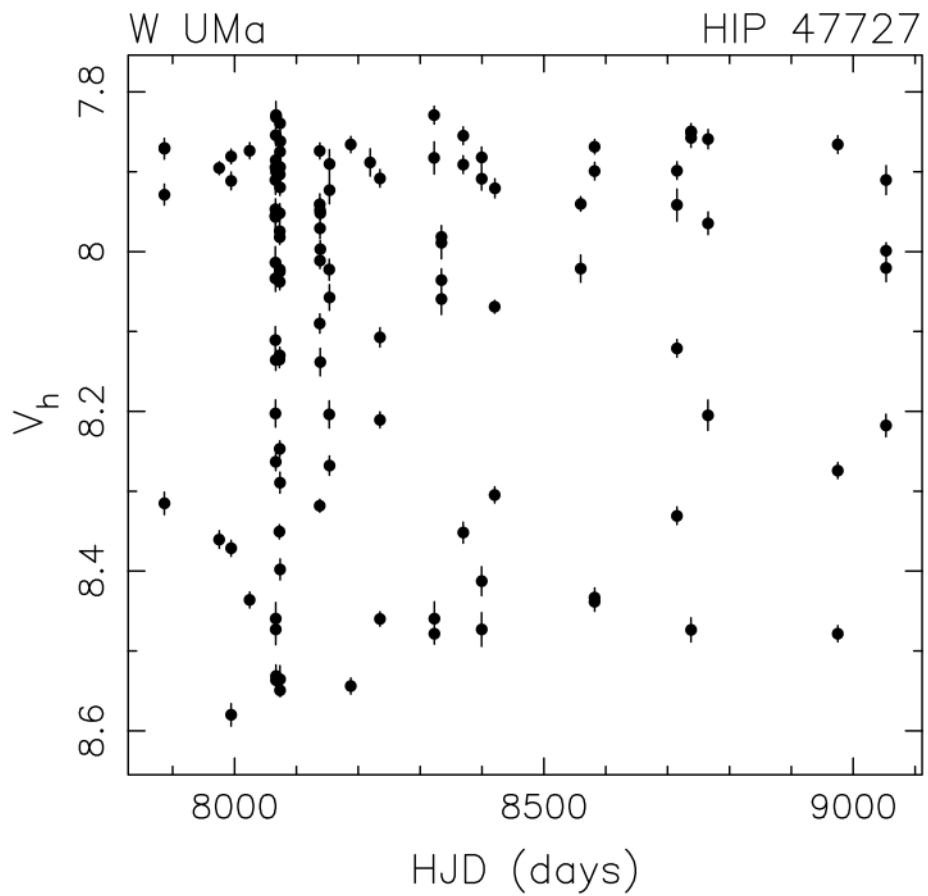
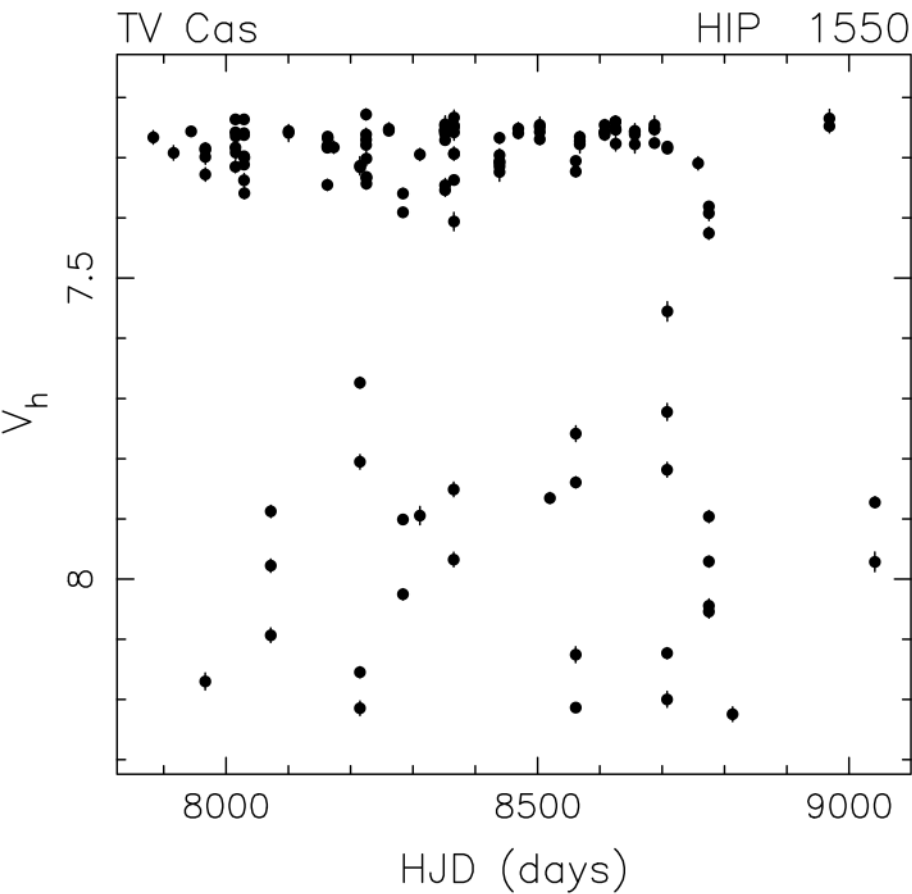
3- σ ~ 99.7%

Astronomers usually consider $S/N > 3\sigma$ as significant.

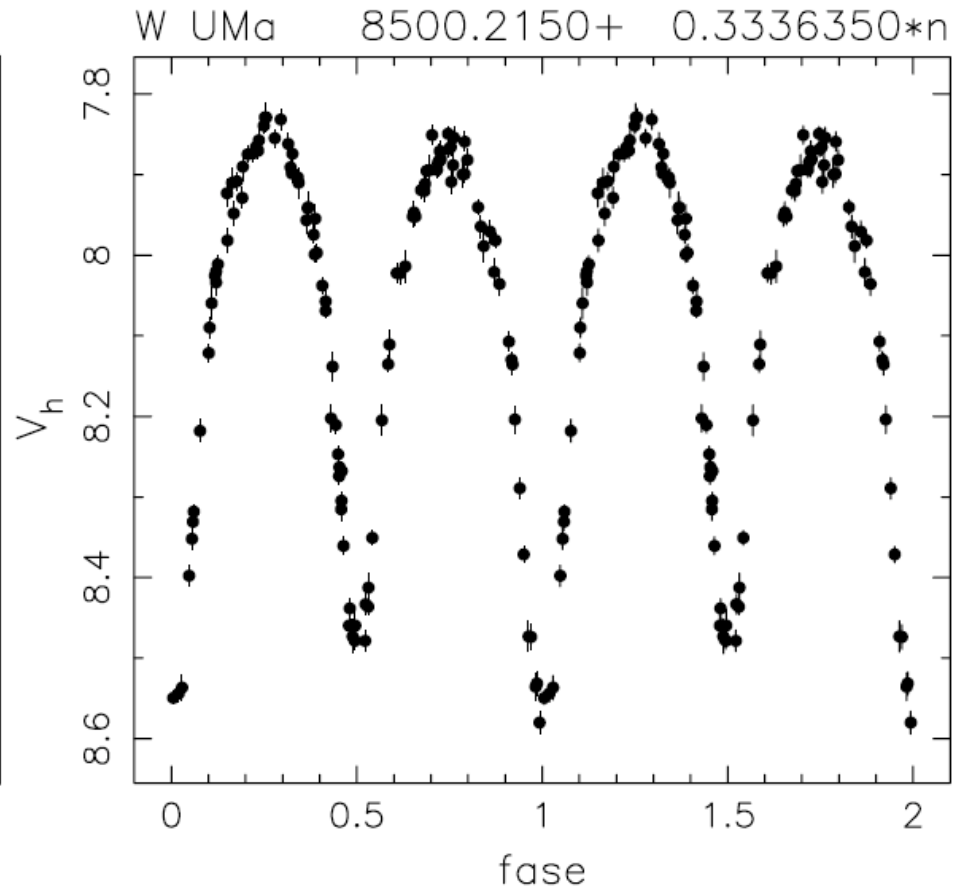
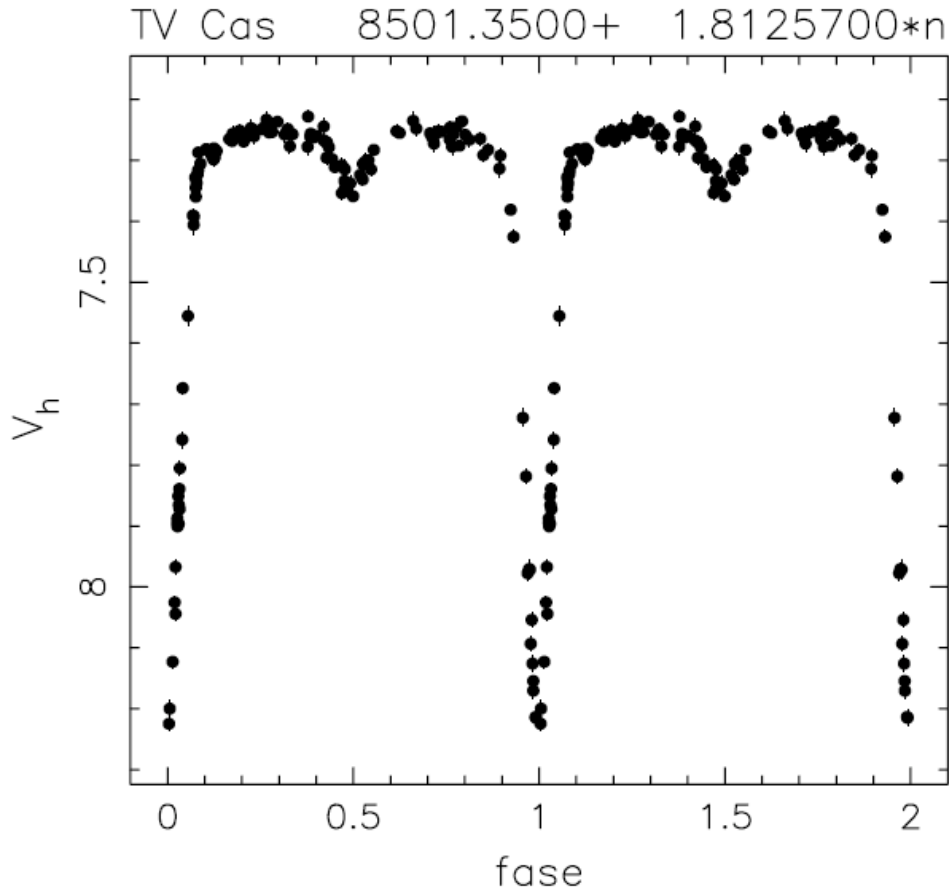
Same Distribution, Different Signals



Time Series of Two Stars



Two Stars: Differently Sorted



Noise Distribution: 2. Poisson Noise

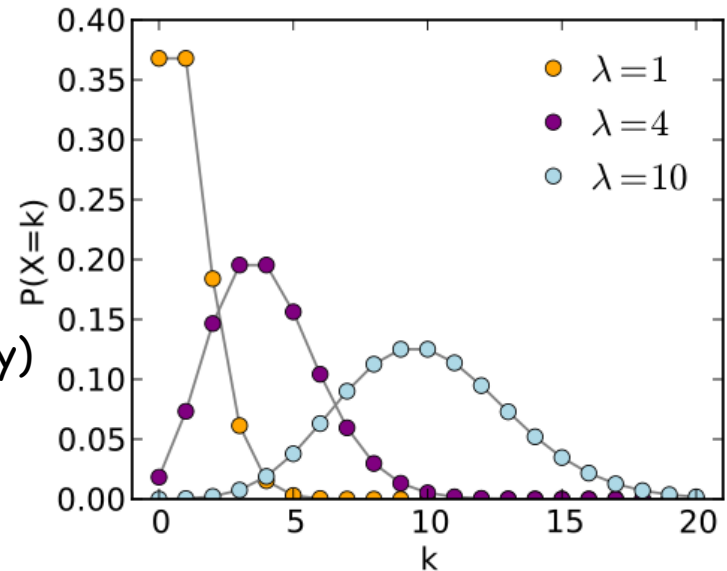
Poisson noise has Poissonian distribution.

Probability of number of events occurring in constant interval of time/ space **if** events occur with known *average rate* and *independently* of each other.

$$P(k, \lambda) = \frac{\lambda^k e^{-\lambda}}{k!}$$

k is the number of occurrences of an event (probability)

λ is the *expected* number of occurrences



- the **mean** (average) of $P(k, \lambda)$ is λ .
- the **standard deviation** of $P(k, \lambda)$ is $\sqrt{\lambda}$.

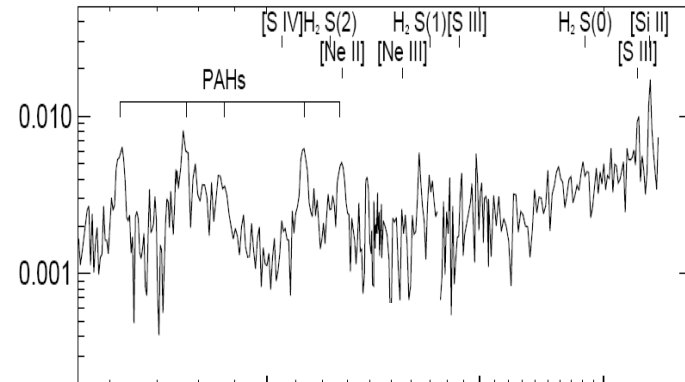
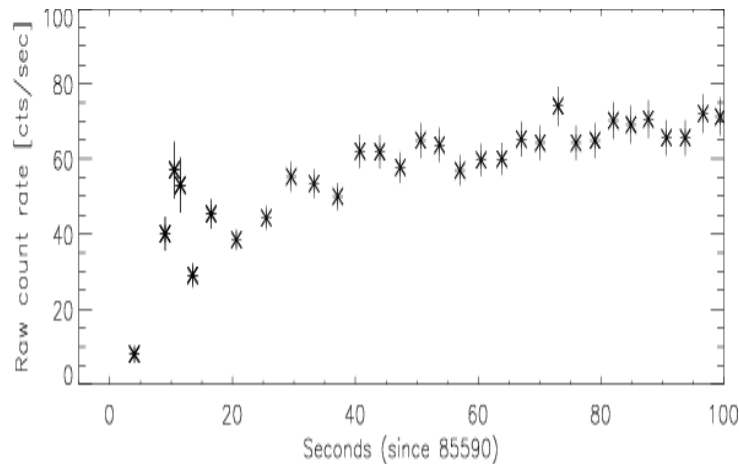
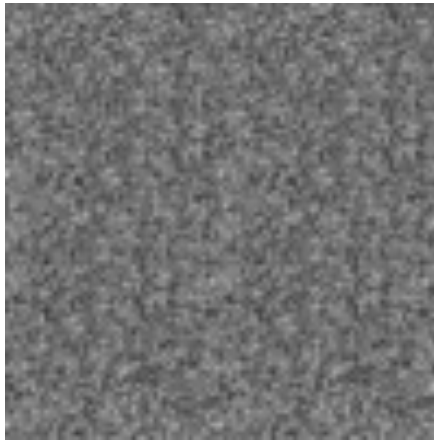
Example: fluctuations in the detected photon flux between finite time intervals Δt . Detected are k photons, while expected are on average λ photons.

Side note on Noise Measurement

Let's assume the noise distribution is purely Gaussian or Poissonian, no other systematic noise, no correlations

Then the spatial distribution (neighbouring pixels) of the noise is equivalent to the temporal distribution (successive measurements with one pixel)

This is analogous to throwing 5 dices once versus throwing one dice 5 times.



Case 1: Spatial noise
(detector pixels)

Case 2: Repeated measurements
in time (time series)

Case 3: Spectrum
(dispersed information)

Poisson Noise and Integration Time

- Integrate light from uniformly extended source on CCD
- In finite time interval Δt , expect average of λ photons.
- Due to statistical nature of photon arrival rate, some pixels will detect more, some less than λ photons.
- Noise of signal λ (i.e., between pixels) is $\sqrt{\lambda}$
- Integrate for $2 \times \Delta t \rightarrow$ expect average of $2 \times \lambda$ photons
- Noise of that signal is now $\sqrt{2 \times \lambda}$, i.e., increased by $\sqrt{2}$
- With respect to integration time t , noise will only increase $\sim \sqrt{t}$ while signal increases $\sim t$.

S/N Basics

Wikipedia:

Signal-to-noise ratio (often abbreviated SNR or S/N) is a measure used in science and engineering that compares the level of a desired signal to the level of background noise.

Signal = S; Background = B; Noise = N;

$$\sigma = \frac{\text{Signal}}{\text{Noise}}$$

← measured as $(S+B) - \text{mean}\{B\}$

← total noise = $\sqrt{\sum (N_i)^2}$ (if statistically independent)

Both S and N should be in units of events (photons, electrons, data numbers) per unit area (pixel, PSF size, arcsec²).

S/N and Integration Time

Assuming the signal suffers from **Poisson shot noise**. Let's calculate the dependence on **integration time** t_{int} :

Integrating t_{int} :
$$\sigma = \frac{S}{N}$$

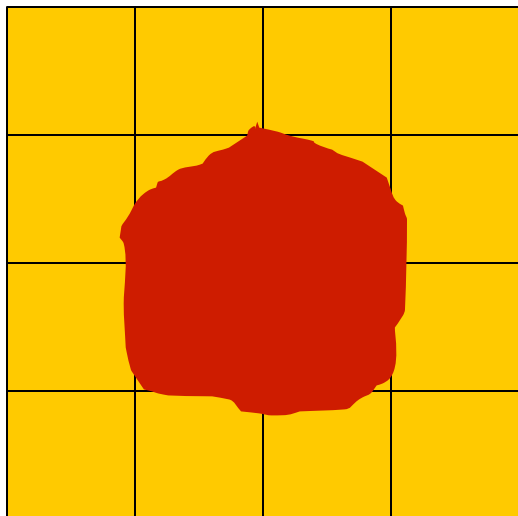
Integrating $n \times t_{\text{int}}$:
$$\sigma = \frac{n \cdot S}{\sqrt{n \cdot B}} \stackrel{N=\sqrt{B}}{=} \sqrt{n} \frac{S}{N} \Rightarrow \frac{S}{N} \propto \sqrt{t_{\text{int}}}$$

Need to integrate four times as long to get twice the S/N.

Several Cases to Consider...

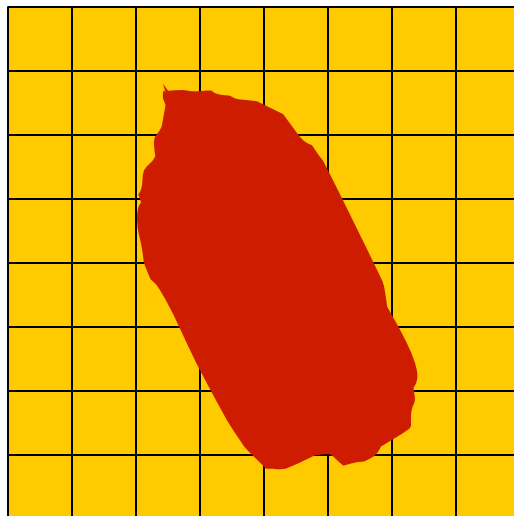
Background (=noise)

Target



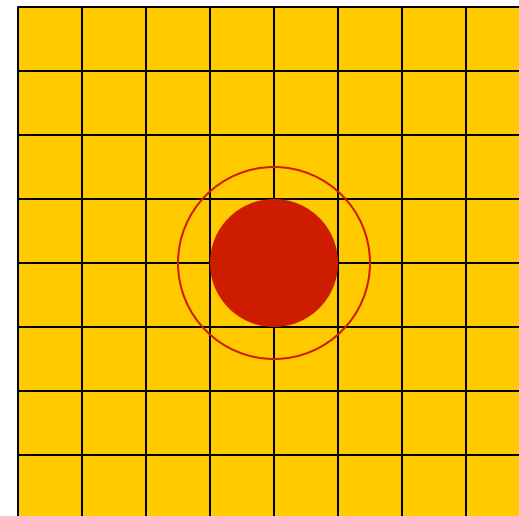
Seeing-limited
point source

- pixel size \sim seeing
- PSF $\neq f\{D\}$



Diffraction-limited,
extended source

- pixel size \sim diff.lim
- PSF = $f\{D\}$
- target \gg PSF



Diffraction-limited,
point source

- pixel size \sim diff.lim
- PSF = $f\{D\}$
- target \ll PSF

Case 1: Seeing-limited "Point Source"

Signal = S; Background = B; Noise = N; Telescope diameter = D

$$\theta_{\text{seeing}} \sim \text{const}$$

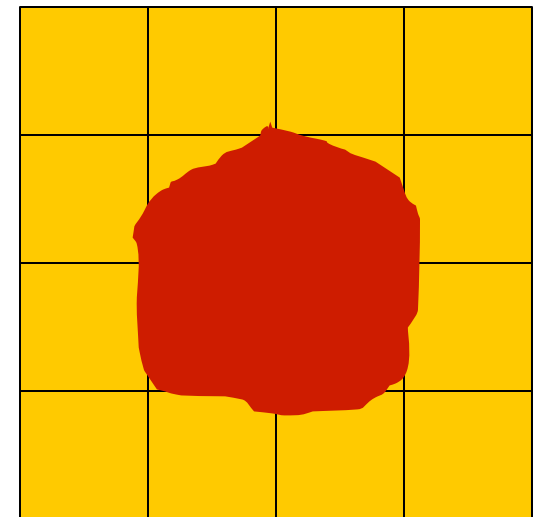
If detector is Nyquist-sampled to θ_{seeing} :

$$S \sim D^2 \text{ (area)}$$

$$B \sim D^2 \rightarrow N \sim D \text{ (Poisson std.dev)}$$

$$\rightarrow S/N \sim D$$

$$\rightarrow t_{\text{int}} \sim D^{-2}$$



Case 2: Diffraction-limited extended Source

Signal = S; Background = B; Noise = N; Telescope diameter = D

"Diameter" of PSF \sim const

If detector Nyquist sampled to θ_{diff} : pixel $\sim D^{-2}$ but $S \sim D^2$

D^2 (telescope size) and D^{-2} (pixel FOV) cancel each other
 \rightarrow no change in signal

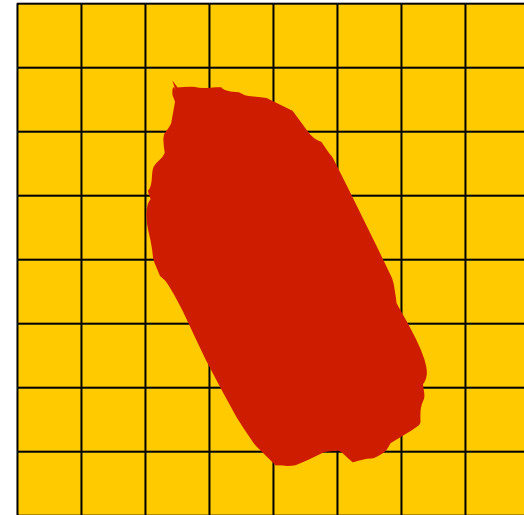
same for the background flux

$\rightarrow S/N \sim \text{const} \rightarrow t_{\text{int}} \sim \text{const}$

\rightarrow no gain for larger telescopes!

Case 2B: offline re-sampling by a factor x
(makes θ_{diff} x -times larger)

since $S/N \sim \sqrt{n_{\text{pix}}} \rightarrow S/N \sim \sqrt{x^2} = x \rightarrow t_{\text{int}} \sim x^{-2}$.



Case 3: Diffraction-limited "Point Source"

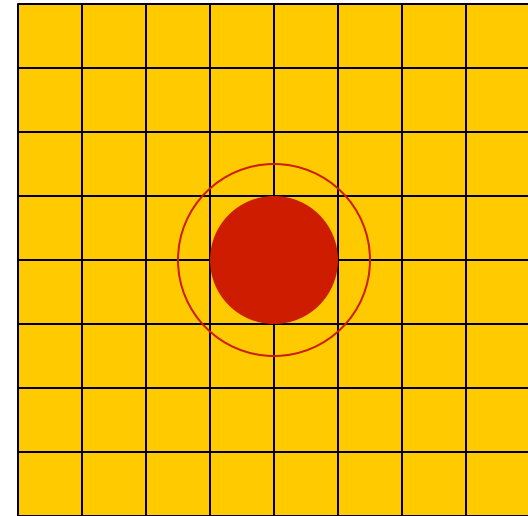
Signal = S; Background = B; Noise = N; Telescope diameter = D

$$S/N = (S/N)_{\text{light bucket}} \cdot (S/N)_{\text{pixel scale}}$$

(i) Effect of telescope aperture:

$$\text{Signal } S \sim D^2 \rightarrow S/N \sim D$$

$$\text{Background } B \sim D^2 \rightarrow N \sim D$$



(ii) Effect of pixel FOV (if Nyquist sampled to θ_{diff}):

$$S \sim \text{const (pixel samples PSF = all source flux)}$$

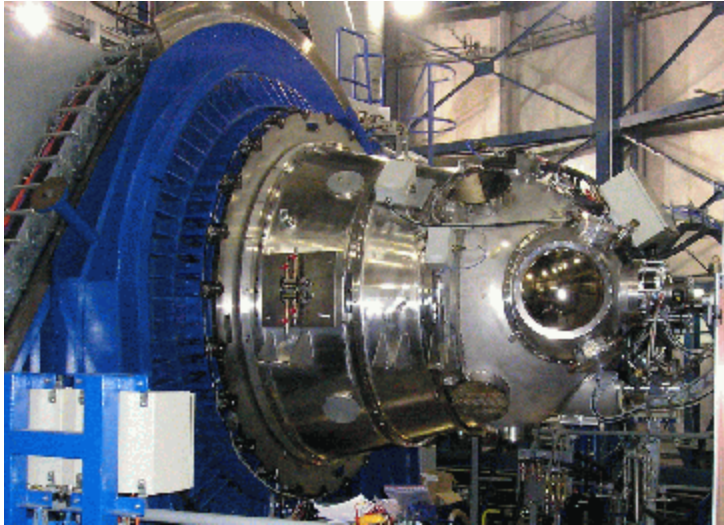
$$B \sim D^{-2} \rightarrow N \sim D^{-1} \rightarrow S/N \sim D$$

(i) and (ii) combined $S/N \sim D^2 \rightarrow t_{\text{int}} \sim D^{-4}$

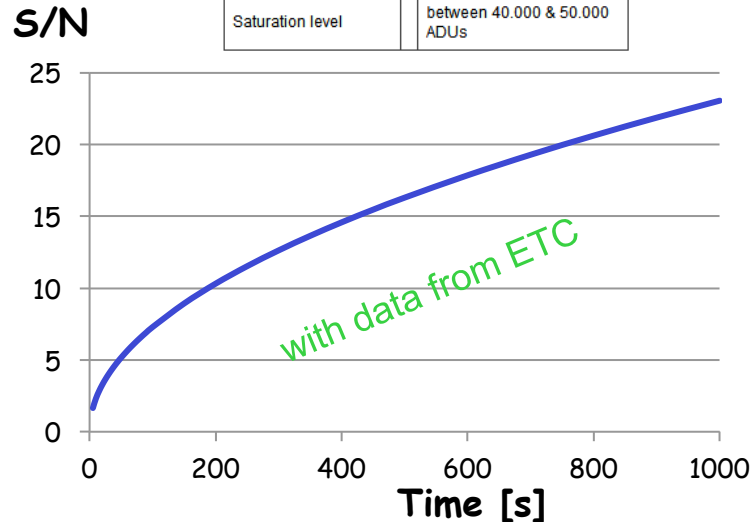
→ huge gain: 1hr ELT = 3 months VLT

Instrument Sensitivity Example: HAWK-I

<http://www.eso.org/observing/etc/bin/ut4/hawki/script/hawkisimu>



Operating temperature	75K, controlled to 1mK
Dark current [e-/s] (at 75K)	between 0.10 & 0.15
Read noise* (DCR)	~ 12 e-
Read noise* (NDR)	~ 5 e-
Linear range (1%)	60.000e- (~30.000 ADUs)
Saturation level	between 40.000 & 50.000 ADUs



Input Flux Distribution

Uniform (constant with wavelength)
NOTE: Please use the "Uniform" template spectrum instead of this option.

Template Spectrum: AOV (Pickles) (9480 K)
Redshift z = 0.00

Blackbody: Temperature : 15000.00 K

Single Line : Lambda: 1250.000 nm
Flux: 50.000 10^{-16} ergs/s/cm² (per arcsec² for extended sources)
FWHM: 1.000 nm

Target Magnitude and Mag.System:
K ▾ = 20.00 Vega AB
Magnitudes are given per arcsec² for extended sources.

Spatial Distribution:

Point Source

Extended Source diameter: 1.00 arcsec

Extended Source (per pixel) The Magnitude (or flux) is given per arcsec² for extended sources.

Sky Conditions

Airmass: 1.20

Seeing: 0.80 arcsec (FWHM in V band)

Instrument Setup

Filter: K ▾

Detector mode: Non-destructive Read-out (NDR)

Results

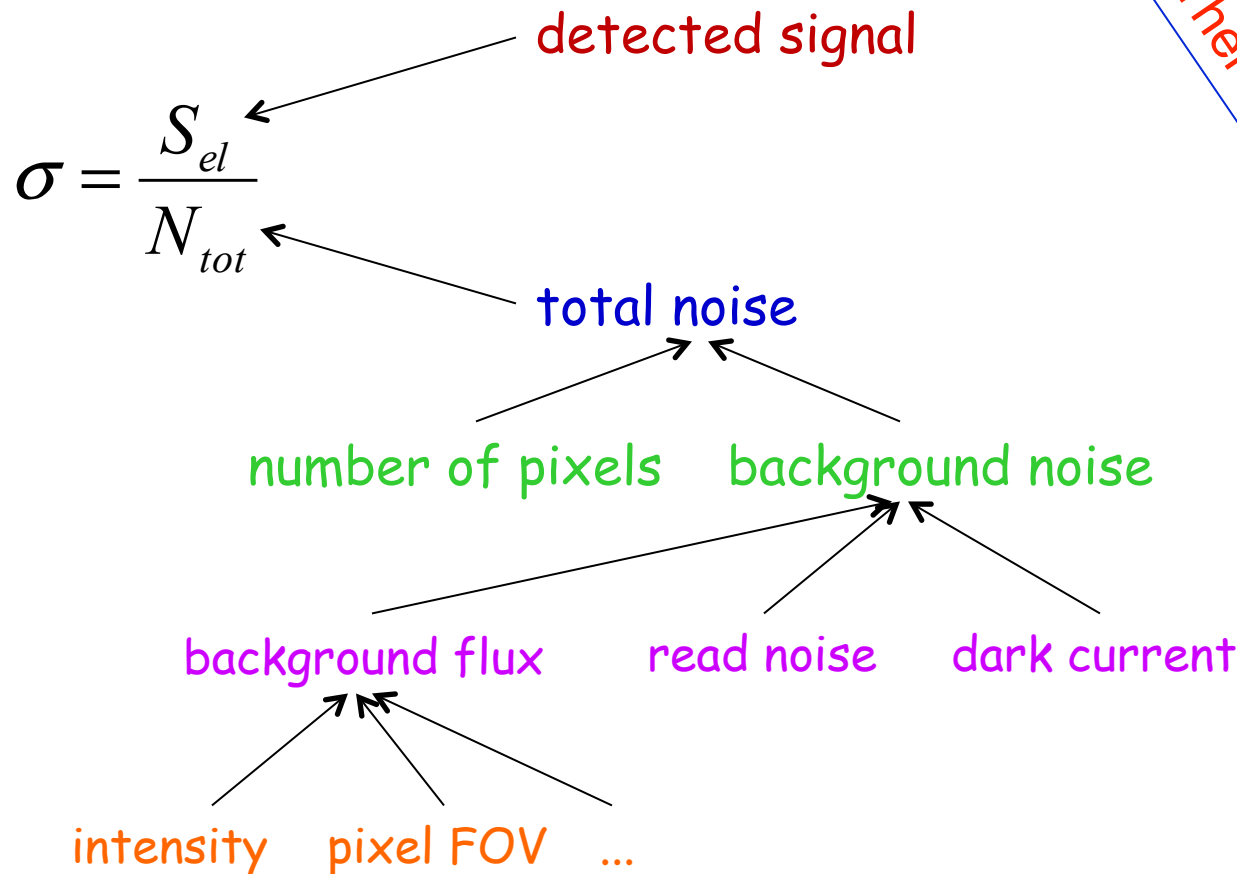
S/N ratio: S/N = 100.000

Exposure Time: NDIT = 100

DIT = 60.000 sec

Instrument Sensitivity: Preface

- (i) in this discussion we neglect quantum (shot) noise from source.
- (ii) we consider only point sources.



Note: There is no "one fits all" recipe!

Detected Signal

Detected signal S_{el} depends on:

- source flux density S_{src} [photons $s^{-1} cm^{-2} \mu m^{-1}$]
- integration time t_{int} [s]
- telescope aperture A_{tel} [m^2]
- transmission of the atmosphere η_{atm}
- total throughput of the system η_{tot} , which includes:
 - reflectivity of all telescope mirrors
 - reflectivity (or transmission) of all instrument components, such as mirrors, lenses, filters, beam splitters, grating efficiencies, slit losses, etc.
- Strehl ratio SR (ratio of actual to theoretical maximum intensity)
- detector responsivity $\eta_D G$
- spectral bandwidth $\Delta\lambda$ [μm]

$$S_{el} = S_{src} \cdot SR \cdot \Delta\lambda \cdot A_{tel} \cdot \eta_D G \cdot \eta_{atm} \cdot \eta_{tot} \cdot t_{int}$$

Total Noise (1)

Total noise N_{tot} depends on:

- number of pixels n_{pix} of one resolution element
- background noise per pixel N_{back}

$$N_{tot} = N_{back} \sqrt{n_{pix}}$$

total background noise N_{back} depends on:

- background flux density S_{back}
- integration time t_{int}
- detector dark current I_d
- pixel read noise (N) and detector frames (n)

$$N_{back} = \sqrt{S_{back} \cdot t_{int} + I_d \cdot t_{int} + N_{read}^2 \cdot n}$$

Total Noise (2)

Background flux density S_{back} depends on:

- the total background intensity $B_{tot} = (B_T + B_A) \cdot \eta_{tot}$
 where B_T and B_A are the thermal emissions from telescope and atmosphere, approximated by black body emission $B_{T,A} = \frac{2hc^2}{\lambda^5} \left[\frac{\epsilon}{\exp\left[\frac{hc}{kT\lambda}\right] - 1} \right]$
- the spectral bandwidth $\Delta\lambda$
- the pixel field of view $A \times \Omega = 2\pi \left(1 - \cos \left(\arctan \left(\frac{1}{2F\#} \right) \right) \right) D_{pix}^2$
- the detector responsivity $\eta_D G$, and
- the photon energy hc/λ

$$S_{back} = B_{tot} \cdot A \times \Omega \cdot \frac{\eta_D G \lambda}{hc} \cdot \Delta\lambda$$

Resulting Instrument Sensitivity

Putting it all together, the **minimum detectable source signal** is:

$$\sigma = \frac{S_{el}}{N_{tot}} = \frac{S_{src} \cdot SR \cdot \Delta\lambda \cdot A_{tel} \cdot \eta_D G \cdot \eta_{atm} \cdot \eta_{tot} \cdot t_{int}}{N_{back} \sqrt{n_{pix}}}$$

$$\Rightarrow S_{src} = \frac{\sigma \cdot \sqrt{S_{back} \cdot t_{int} + I_d \cdot t_{int} + N_{read}^2 \cdot n} \cdot \sqrt{n_{pix}}}{SR \cdot \Delta\lambda \cdot A_{tel} \cdot \eta_D G \cdot \eta_{atm} \cdot \eta_{tot} \cdot t_{int}}$$

Now we can calculate the unresolved **line sensitivity** S_{line} [W/m²] from the source flux S_{src} [photons/s/cm²/μm]:

$$S_{line} = \frac{hc}{\lambda} S_{src} \Delta\lambda \cdot 10^4$$

and with the relation $S_{\lambda} \left[\frac{W}{m^2 \mu m} \right] = S_{\nu} [Jy] \cdot 10^{-26} \frac{c}{\lambda^2}$

we can calculate the **continuum sensitivity** S_{cont} :

$$S_{cont} = \frac{hc}{\lambda} S_{src} \cdot 10^4 \cdot \frac{\lambda^2}{c} \cdot 10^{26} = 10^{30} h \lambda S_{src}$$