Challenges in Stellar Population Studies

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Abstract. The stellar populations of galaxies contain a wealth of detailed information. From the youngest, most massive stars, to almost invisible remnants, the history of star formation is encoded in the stars that make up a galaxy. Extracting some, or all, of this information has long been a goal of stellar population studies. This was achieved in the last couple of decades and it is now a routine task, which forms a crucial ingredient in much of observational galaxy evolution, from our Galaxy out to the most distant systems found. In many of these domains we are now limited not by sample size, but by systematic uncertainties and this will increasingly be the case in the future.

The aim of this review is to outline the challenges faced by stellar population studies in the coming decade within the context of upcoming observational facilities. I will highlight the need to better understand the near-IR spectral range and outline the difficulties presented by less well understood phases of stellar evolution such as thermally pulsing AGB stars, horizontal branch stars and the very first stars. The influence of rotation and binarity on stellar population modelling is also briefly discussed.

1. Introduction

The luminous output of normal galaxies is ultimately generated by stars in various stages of stellar evolution — in isolation a trite observation, but this simple fact gives us the opportunity to extract a vast amount of information from observations of galaxies through the modelling of their stellar populations.

While the study of stellar populations in galaxies started with Baade’s (1944) identification of two populations of stars in M32 and NGC 205, a rigorous study of the topic only commenced in the late 60’s and early 70’s (Tinsley 1968; Faber 1972; Searle et al. 1973) with Tinsley’s *Fundamentals of Cosmic Physics* article (Tinsley 1980) particularly influential. Since that time, the number of articles discussing stellar populations has risen rapidly so that today about 12% of all articles in the major journals mention stellar populations in their abstracts (Figure 1).

The majority of this growth has been made possible through the development of simple models for the evolution of stellar populations that have found widespread use in a wide range of astronomical studies, from stellar clusters in the Milky Way to the most distant galaxies in the Universe. I will repeatedly refer to stellar population models below, and use this term loosely to refer to any model that predict the observational properties of any ensemble of stars. These start from models of stellar evolution (e.g. Bertelli et al 1994; see contribution by Cassisi these proceedings). By applying empirical colour corrections (e.g. Lejeune et al 1997) they can be placed on an observational Hertzsprung-Russell diagram. For a given star formation history and initial mass function (IMF), this can be sampled, either to produce a Monte Carlo realisation of an observed colour-magnitude diagrams (see Tolstoy et al 2009), or by convolution to create integrated properties of a stellar population (e.g. Fioc & Rocca-Volmerange 1997; Leitherer et al 1999; Vazdekis 1999; Bruzual & Charlot 2003; Maraston 2005; Kotulla et al 2009). Finally, these models
2. A series of successes

2.1. Resolved stellar populations — extracting star formation histories

From the very beginning, the study of objects where individual stars can be resolved has been central to stellar population modelling. The reason for this is that one can construct a colour-magnitude diagram (CMD) directly from the observations and this is sufficiently close to the theoretical Hertzsprung-Russell diagram that insight into the properties of the population of stars can be had fairly straightforwardly.

By comparing a theoretical CMD to the observational one, it is possible to infer a number of properties for the stellar system being studied. The first such study was arguably that by Maeder (1974) but the full power of the approach has only been realised in the last couple of decades, with an early application of this technique to infer the star formation history of Local Group dwarfs by Tosi et al. (1991).

The field blossomed through the use of Hubble Space Telescope (HST) to obtain very deep CMDs of dwarf galaxies throughout the Local Group (see Tolstoy, Hill & Tosi 2009 for a review) and through the development of sophisticated algorithms for the analysis of CMDs (see Gallart et al. 2005). This wealth of data has provided us with an impressive insight into the history of star formation in small galaxies in the Local Group and it is now clear that they show an enormous range in star formation histories.

Figure 1. Left panel: The fraction of papers in A&A, AJ, ApJ and MNRAS each year that mention “Stellar populations” (solid line) or “Population synthesis” (dashed line, scaled by a factor of 8) in their abstracts. Currently about 12% of all papers mention “Stellar populations” and 1.5% “Population synthesis”. Middle panel: The mass-metallicity relation for SDSS DR6 (cf. Tremonti et al 2004 for DR2) with a metallicity adjustment following Brinchmann et al. (2008). Right panel: The relation between stellar mass and the 4000Å break in the SDSS DR7. This is an updated version of the influential study by Kauffmann et al. (2003b), showing the bi-modality of the galaxy population.
2.2. The estimation of stellar masses and the mass assembly of the Universe

There is now a vast body of deep photometric observations of the sky† covering a large stretch of cosmic time. By matching these observations to stellar population models, it is possible to infer the stellar mass of the distant objects (e.g. Giallongo et al. 1998; Brinchmann & Ellis 2000; Bell & de Jong 2001; Drory et al. 2001; Fontana et al. 2003; Kauffmann et al. 2003a; Dickinson et al. 2003; Maraston et al. 2006). This has led to stellar mass becoming the most important independent variable for galaxy evolution studies. Notable applications of this is the quantification of a transition mass in the local galaxy population (Kauffmann et al. 2003b) and the mass-metallicity relation (Tremonti et al. 2004), updated versions of the key plots from those studies is shown in Figure 1.

When the stellar masses of galaxies have been calculated for a statistically well-defined sample, it is possible to calculate the stellar mass density in galaxies. By combining samples over a wide range in redshift, we can infer the stellar mass assembly history of the Universe (e.g. Brinchmann & Ellis 2000; Dickinson et al. 2003; Fontana et al. 2003; Arnouts et al. 2007, see Wilkins et al 2008 for a compilation).

This has provided a very visual image of the assembly of mass, and with the large samples currently available it is possible to do this in bins of mass and hence derive the evolution of the stellar mass function with cosmic time (e.g. Marchesini et al. 2009) and we now know that the massive end of the stellar mass function was in place at much higher redshift than was expected a decade ago.

3. The future — context

Major progress has come in extra-galactic research in the recent decade through large-scale surveys of the sky, such as the 2dF Galaxy Redshift Survey (Colless et al. 2001) and the Sloan Digital Sky Survey (SDSS, York et al. 2000) in the nearby Universe, and the Vimos Very Deep Survey (VVDS, Le Fèvre et al. 2004) and DEEP-2 survey (Davis et al. 2003) out to \( z \sim 1 \). The coming years will see a continuation of this trend with, for instance, the commencement of the various VISTA and VST surveys (see Arnaboldi et al. 2007), and several Dark Energy surveys (e.g. BOSS‡, WiggleZ¶ and HETDEX∥). These developments are very exciting and it is clear that stellar population modelling will be key to the exploitation of the data coming out of these studies.

However, while these large-scale studies undoubtedly will be very valuable for a wide range of scientific questions, they do not present stellar populations with very different challenges from what we already face and hence I will not focus on these surveys here. The situation is somewhat different with the Large Synoptic Survey Telescope†† (LSST), which, if built, will provide repeat imaging of the northern sky — opening up the possibility for a systematic use of variability in the study of stellar populations. This is clearly a challenge for the coming decade but not one I will discuss further here. Neither will I discuss the opportunities offered by new long-wavelength facilities such as Herschel, ALMA or SKA, or high energy facilities such as Fermi and IXO, even though these all offer novel challenges and in the future we will hopefully see them integrated into stellar population analysis (c.f. da Cunha et al. 2008).

Instead I will focus on the major change in studies of the extra-galactic Universe that will be ushered in with the launch of the James Webb Space Telescope (JWST, Gardner

† For a compilation see http://www.strw.leidenuniv.nl/~jarle/DeepFields/
‡ http://cosmology.lbl.gov/BOSS/
¶ http://wigglez.swin.edu.au/Welcome.html
∥ http://www.as.utexas.edu/hetDEX/
†† http://www.lsst.org/lsst
et al. 2006) and the building of one or more Extremely Large Telescopes (ELTs) such as the European ELT‡‡, the TMT¶¶ and the GMT∥∥. These facilities will present a significant shift in emphasis relative to much of the stellar population modelling carried out at present.

The JWST will be a truly transformative influence on astronomy and will firmly shift the focus of extra-galactic observations to longer wavelengths than is commonly used today. At wavelengths λ > 2µm JWST will be two orders of magnitude more sensitive than current facilities, opening up an entirely new area of wavelength space for extra-galactic studies.

The proposed ELTs will have two advantages relative to today’s 10m-class telescopes: Much higher sensitivity and much higher spatial resolution. The former will enable us to obtain much larger samples of spectra of distant galaxies and detect much fainter and more distant galaxies than we currently can. The improved resolution will be achieved with the help of adaptive optics systems working in the near- and mid-IR, and this will enable resolved stellar population studies to reconstruct star formation histories for more distant galaxies and in more crowded regions.

Thus, while extra-galactic research in the coming decade will make use of much larger samples and the routine observations of very faint objects, the main gain relative to today will come from studies in the near- and mid-IR; both because JWST will operate at those wavelengths, but also because those are the wavelengths where adaptive optics, and hence improved spatial resolution, will work best. The challenge for stellar population studies will be to exploit these data in an optimal manner. I should emphasise that this does not mean that optical astronomy will become obsolete, merely that I don’t view that wavelength range as presenting as many new challenges as longer wavelengths and hence of less importance for this review, although it will be discussed below.

4. Challenges — or areas of interest

4.1. Error estimates on stellar population models

Models for stellar populations have reached a high degree of sophistication and ease of use. As mentioned above, this has led many researchers to use them to help interpret their observational data. With this wide-spread adoption comes an increased need to quantify the uncertainties in the models as well — stellar population models are rather involved and it is difficult for a user to assess the reliability of a particular prediction without input from the model builders. These error sources are many: Uncertainties in the stellar evolution models for normal stars, such as the treatment of turbulent mixing; uncertainties in atomic data; treatment of uncertain or intractable phases of stellar evolution such as horizontal branch (HB) stars, thermally pulsing asymptotic giant branch (TP-AGB) stars, post-AGB stars or various phases of binary evolution; stellar wind loss; uncertainties in stellar atmosphere calculations; mismatches between stars in observational libraries and the theoretical tracks they are matched to and observational uncertainties in the empirical data included in the models — just to mention a few.

These model uncertainties are likely to dominate the error budget in analyses of high S/N galaxy spectra, and until we understand them, we will not be able to take full advantage of the best data a telescope can deliver. Thus a major challenge for model builders in the coming decade is to construct models that incorporate uncertainty estimates, or

‡‡ http://www.eso.org/sci/facilities/eelt/
¶¶ http://www.tmt.org
∥∥ http://www.gmto.org
probably more realistically, indications of reliability for different predictions. Notable first attempts at this has been recently published by (Conroy et al. 2009), who explored the consequences of uncertainties in our understanding of HB and TP-AGB stars on the predictions of population synthesis models, and Percival & Salaris (2009), who explored the impact of uncertainties in the calibration of fundamental stellar parameters. These are promising first steps but are still far from a comprehensive study. This is likely to improve in the future but an accurate treatment of uncertainties is likely to remain a major challenge for years to come.

4.2. The near-IR — can we properly make use of future observations?

We emphasised above that adaptive optics on ELTs and in particular the flight of JWST will ensure that much of the focus for future astronomical observations will move into the near- and mid-IR, both for photometry and spectroscopy. It is therefore essential to ensure that models accurately reproduce the rest-frame near- to mid-IR fluxes of stellar populations, and to understand better what near-IR spectral features are of importance for studying stellar populations.

We expect that resolved stellar population studies making use of the much improved resolution offered by adaptive optics systems on ELTs will be widespread in the future. It is therefore paramount that we start carrying out similar studies with current facilities to learn how to make optimal use of ELTs for this kind of work. The results from such efforts have only recently started to appear. An example of this is the study of Galactic globular clusters by Origlia et al. (2008, NGC6440) and Moretti et al. (2009, NGC 6388) using NACO on the VLT, and the studies by Fiorentino et al. (in prep.) of NGC 1928 and Campbell et al. (2008) of R136 using the Multi-Conjugate Adaptive Optics Demonstrator (MAD, Marchetti et al. 2007) on the VLT. While very useful, these latter two studies in the Magellanic clouds do not yet probe down to the main-sequence turn-off and hence do not put very strong constraints on models, hopefully this can be remedied in the near future with deeper observations.

Resolved stellar population studies in nearby galaxies will not only be carried out using photometric observations, but also using adaptive optics allied to near-IR IFUs, such as the proposed EAGLE for the E-ELT, IRS/IRMOS on the TMT and GMTIFS on the GMT. To make optimal use of these facilities it is clearly necessary to make use of spectral features in the near-IR. This is an area that has seen significant effort recently, with in-depth studies of the CO band-head at 2.3µm by e.g. Mármol-Queraltó et al. (2008), and assembly of near-IR atlases of stellar spectra such as the IRTF Spectral Library (Rayner et al. 2009). At the moment these studies cover relatively restricted ranges in stellar parameter space and we need a considerable effort both on the theoretical and observational side in the coming decade to ensure that we can make optimal use of cutting-edge facilities on ELTs.

Studies of resolved stellar populations can often ignore problematic stellar phases by excluding them from analysis. For the majority of galaxies, which are unresolved, there is no such option. Thus fitting unresolved stellar populations in the near-IR can be expected to be challenging. This is borne out by experience, although for old stellar populations (ellipticals/S0s), Carter et al. (2009) showed that a wide range of population synthesis models give consistent results when applied to broad-band photometry including near-IR. In general, the situation is more complex as was shown, for instance, by Eminian et al. (2008) in their comparison between models and UKIDSS + SDSS photometry. They pointed out that while some combinations of near-IR and optical filters could be well-fit by models, others could not.

To focus our discussion further, let us consider the determination of stellar masses. As
emphasised above, this is an essential ingredient of most galaxy evolution studies today and it is therefore important to understand what systematic uncertainties can influence their determinations. The estimation of stellar masses fundamentally involve a fit to either broad-band photometry or spectral features to estimate $M_*/L_X$ in some band, $X$, and finally this is scaled by $L_X$ to give the stellar mass. Any systematic uncertainties in the models might lead to biases in the estimates of $M_*/L_X$.

The most obvious systematic uncertainty is probably the IMF, but we will ignore this here due to space limitations. Focusing instead on the population synthesis models, Conroy et al. (2009) showed that systematic uncertainties in stellar population models probably limit the accuracy of stellar mass determinations to about 0.3 dex. In addition to this we know that different algorithms for how star formation histories are treated can lead to systematic differences of the order of 0.2 dex (Pozzetti et al. 2007), but that when the algorithms are the same, different models give the same stellar mass to within 0.15 dex (based on a comparison of the mass estimates from Bruzual & Charlot 2003 models (BC03) and Maraston 2005 models (M05) by Tojeiro et al 2009).

Many studies looking at the impact of including rest-frame near-IR data in the fit have focused on higher redshift galaxies. They generally find that the situation is less good. van der Wel et al (2006) compared dynamical and stellar mass estimates at both low and high redshift and found that models did not give acceptable results when near-IR data was included. More recently, e.g. Muzzin et al. (2009) found that model predictions in the near-IR were the limiting systematic uncertainty in their study of $z \sim 2.3$ galaxies. Some of this could be due to the way very young stars are treated in the models as Conroy et al (2009) showed that there is a glaring discrepancy between the observed $V-K$ colour of LMC clusters and the predictions of models at young ages, but we note that this relies age measurements from a broad range of sources with rather different age estimation techniques and dust corrections are non-trivial. A careful re-examination of this issue might be worthwhile. However it is generally accepted that part of this discrepancy in stellar mass estimates is likely to be due to the treatment of TP-AGB stars in the models. These stars dominate the near-IR luminosity of stellar populations with an age of a few Gyr. Since this is the typical age of galaxies at $z \sim 2-3$, it follows that uncertainties in the treatment of this population in the models can have severe impact on the interpretation of observational data. This was emphasised by Maraston et al. (2006) who highlighted the differences in the treatment of TP-AGB stars in M05 and BC03. Subsequent work has done much to improve the situation and Marigo & Girardi have recently published improved evolutionary tracks including a significantly improved treatment of TP-AGB stars (Marigo et al. 2008; Marigo & Girardi 2007, see also the contribution by Margio in these proceedings). Despite this, it is fair to say that there is still considerable uncertainty associated to the treatment of TP-AGB stars at low metallicity and high redshift. It is difficult to see that major progress can be made with resolved stellar populations alone, rather one might have to combine resolved stellar population studies with "almost resolved" studies using surface brightness fluctuation studies in the near-IR and optical (e.g. Raimondo 2009; Lee et al. 2009).

4.3. Non-solar abundance ratios — interpreting high S/N data

While most population synthesis models assume scaled-solar abundance ratios for their stellar tracks and atmospheres, it has long been recognised that variations in the abundance ratios of elements away from solar ratios can strongly affect any inferences one makes based on spectral features. Deviations from scaled-solar abundance ratios reflect a variation in past star formation histories, in particular the relative contributions by Supernova Type II and Ia (e.g. Worthey et al. 1992; Trager et al. 2000; Thomas et al.
This is normally seen where the typical time-scale for star formation is shorter than $\sim 1\text{Gyr}$ (e.g. Matteucci & Recchi 2001), as in elliptical galaxies (Thomas et al. 2005) or at $z > 4$.

Treating non-solar abundance variations has long been a challenge for stellar population models, but the last decade, in particular, has seen great progress. There is now a fairly well-developed machinery for dealing with $\alpha$-variation within the Lick index system using fitting functions (e.g. Tripiccò & Bell 1995; Trager et al. 2000; Thomas et al. 2003; Tantalo et al. 2007; Schiavon 2007) and this has been extensively used to study the properties of massive galaxies in the nearby Universe (e.g. Thomas et al. 2005; Graves et al. 2009a,b; Smith et al. 2009).

There has also been great progress in the calculation of theoretical isochrones for non-solar abundance ratios (Pietrinferni et al. 2004; Cassisi et al. 2004; Pietrinferni et al. 2006; Weiss et al. 2007; Dotter et al. 2007) and there has also been significant progress in the calculation of stellar atmosphere models (e.g. Coelho et al. 2005; Munari et al. 2005), see Martins & Coelho (2007) for an in-depth comparison of some of these libraries. Taken together this has allowed the construction of libraries suited to population synthesis of integrated populations (e.g. Coelho et al. 2007; Percival et al. 2009). These models are now sufficiently mature that they can begin to be used for the interpretation of galaxy spectra (e.g. Walcher et al. 2009).

Despite the great progress made, there are still some major issues outstanding. The first is that considerable work must be done to understand what detailed features in the theoretical libraries can be fully trusted — this needs careful testing against high-resolution spectroscopy. Related to this, and also of great importance and interest, is the question of whether it is sufficient to just vary the $\alpha$-elements as a block, or whether the individual elements must be varied one by one. It has been argued that the latter is indeed necessary to optimally extract information from high-$S/N$ spectra, and some progress has been made recently (Dotter et al. 2007; Lee et al. 2009). This does expand the available parameter space significantly and should be applied in a careful manner.

### 4.4. The first stars and galaxies

One of the major challenges for stellar populations in general will be to interpret observations of the very high-redshift Universe from JWST. It is clear that it will become necessary to understand what kind of objects we are likely to see, will they be truly zero metallicity, or will they have very small metallicities? And even within the zero metallicity class there is now thought to be two distinct classes of stars, referred to as Pop III.1 and Pop III.2 stars by Tan & McKee (2008), depending on whether or not their formation was affected by radiation by an earlier population (McKee & Tan 2008; Greif & Bromm 2006). This in turn has important implications for the IMF of the first stars, and therefore on the strength of the HeII $1640\text{Å}$ line which has often been suggested as a probe of Population III stars (Tumlinson et al. 2001, 2003; Schaerer 2003).

In addition to these uncertainties, it has also recently been realised that the halos where the first stars form have a very high dark matter density. If the dark matter is its own anti-particle, self-annihilation is a possible energy source for the first stars, a topic that has seen considerable interest recently (e.g. Spolyar et al. 2008; Freese et al. 2008; Iocco et al. 2008; Ripamonti et al. 2009). It is not clear whether the effect of dark matter annihilation on the first stars will turn out to be crucial, or indeed observable. However it has been argued that similar effects might be observable in the Galactic centre (Scott et al. 2009; Fairbairn et al. 2008), thus this is an area with potential future implications.
4.5. The rest-frame ultraviolet — understanding massive stars

In any galaxy with on-going star formation, the rest-frame ultraviolet (rest-UV) spectrum is dominated by O and B stars. This can be viewed as both a disadvantage — our understanding of massive stars, whether their evolution is strongly influenced by rotation (e.g. Meynet et al 2009), or significantly influenced by binary evolution (e.g. Eldridge et al 2008), is certainly lacking in some aspects. On the other hand it is an advantage because it offers us a direct probe of massive stars, providing us with a wealth of information to test and develop models. With the upcoming demise of HST, the coming decade will see a dearth of UV-sensitive facilities, but optical spectrographs on ELTs will provide very large samples of optical spectra of galaxies at $z \sim 2$–3, sampling the rest-UV.

Thus it is a major challenge for stellar population models to be able to extract information from these spectra (e.g. Rix et al 2004; Maraston et al 2009). As discussed by Leitherer (these proceedings), stellar atmosphere models for hot, massive stars are now reaching maturity and will likely be sufficient for most future studies. On the other hand, the evolution of massive stars, their mass-loss prescriptions and disentangling the influence of rotation and of binaries remain a challenge.

It is interesting in this context to note that the light-gathering power of a 42m-class telescope is about 25 times larger than that of an 8m-class telescope (for resolved sources). This is similar to the amplification seen in gravitationally lensed Lyman-break galaxies (Allam et al. 2007; Belokurov et al. 2007; Smail et al. 2007; Belokurov et al. 2009; Lin et al. 2009; Kubo et al. 2009). Thus by observing these objects, it is possible to test models on very similar data to that which will be obtained routinely with an ELT, something that several groups have realised (e.g. Pettini et al. 2000; Quider et al. 2009; Hainline et al. 2009). This should clearly continue and a concerted effort for a survey of the nearby Universe with COS would also be very useful.

4.6. The importance of binaries

Most stars with mass $>1M_\odot$ are born in binaries and the binary fraction appears to increase with stellar mass (e.g. Lada 2006), thus there is no doubt that binary evolution must be important for understanding the stellar populations of galaxies at some level (see the contribution by Vanbeveren in these proceedings). However the inclusion of binaries into population synthesis models is problematic as it leads to a much increased parameter space and complexity.

Including binary evolution is however crucial to understand some rare, but bright, evolutionary phases. This includes certain variable star phases, X-ray binaries and stellar remnants, most of which should ideally be included in population synthesis modelling. However in the UV-optical region, the main concern is with horizontal branch stars (see Moni Bidin et al 2008 for a review). These stars dominate the near-UV light in old stellar populations and understanding whether they are due to binary evolution (e.g. Han et al 2007) or not has important implications for the analysis of elliptical galaxies.

Binary evolution might also be crucial for the development of Wolf-Rayet stars, particularly at low metallicities. Brinchmann et al (2008) showed that large number of Wolf-Rayet stars at low metallicity is a significant challenge to stellar evolution models. Eldridge & Stanway (2009) recently showed that this tension can be resolved by binary evolution effects, although similar results can be achieved by inclusion of rotation in the evolution of massive stars (e.g. Meynet & Maeder 2005). The relative importance of these two effects is not yet understood and is an important challenge for the future.
5. Conclusions

Rather than a traditional conclusion, I would here like to end by summarising the challenges above into a set of rest wavelength ranges, highlighting the issues and some suggestions as to what might be useful studies to do — note that most of these suggestions are merely reinforcing already existing studies!

**Far-UV** — Massive stars — what are realistic rotation and binary parameters. **To do:** Observations of lensed Lyman-break galaxies, COS observations of nearby stars and star-forming regions, more in-depth studies of stellar mass-loss.

**Near-UV** — Binary evolution, mass loss on the RGB. **To do:** COS and GALEX spectroscopy of UV-upturn galaxies, inclusion of horizontal branch uncertainties in models. Combination of optical, near-UV and X-ray data in analysis.

**Optical** — High-precision predictions. Non-solar abundance variations and impact on spectra, emission lines. **To do:** Careful and extensive testing of theoretical spectra, comparison to detailed spectroscopic analysis of nearby systems: what abundances can be reliably extracted from medium resolution spectra?

**Near-IR** — Evolution of and spectra of luminous, cold stars. TP-AGB stars, RGs and RSGs, spectral features in the near-IR. **To do:** Further observations of resolved stellar populations in the near-IR with and without adaptive optics with careful comparison to results including optical data. Calibration spectral features in the near-IR. Obtain ACS optical data to back up future studies in near-IR with ELTs. In depth analysis of uncertainties in population synthesis models and careful comparisons to data in the nearby and distant Universe.

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